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*Editor in Chief*

**Dr. Alok Kumar**

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## **EDITOR'S NOTE**

It is a great honour to me to extend my warm greetings and welcome you all to the journal, **Varanasi Management Review**, a refereed journal of multi disciplinary research. The journal, which is a peer-reviewed, will devote to the promotion of multi-disciplinary research and explorations to the South Asian and global community. It is our objective to provide a platform for the publication of new scholarly articles in the rapidly growing field of various disciplines. We are trying to encourage new research scholars and post graduate students by publishing their papers so that they may learn and participate in literary publishing through a professional internship. Scholarly and unpublished research articles, essays and interviews are invited from scholars, faculty researchers, writers, professors from all over the world.

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Hoping all of you shall enjoy our endeavors and those of our contributors.

**Editor**



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# Impact of Emotional Intelligence on Social Skills and Academic Performance of Government Secondary School Students

Shambhu Kumar\*  
Dr. Vijay Kumar Pandey\*\*

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## Abstract-

*This study investigates the impact of emotional intelligence (EI) on social skills and academic performance among Class X students in government secondary schools of Gaya District, Bihar. Emotional intelligence is increasingly recognized as a critical factor influencing adolescents' social behavior and academic success. The study's population includes all Class X students in government schools of Gaya District. Using a stratified random sampling technique, a sample of 200 students (100 boys and 100 girls) was selected from a total of 1000 students. The study tests the hypothesis that there is no significant relationship between emotional intelligence and social skills and academic performance among these students. Data were collected using standardized Emotional Intelligence and Social Skills Scales, along with academic records. The results showed a significant positive correlation between emotional intelligence, social skills, and academic performance, indicating the importance of EI development in the school curriculum.*

**Keywords:** Emotional Intelligence, Social Skills, Academic Performance, Secondary School Students, Government Schools, Gaya District, Adolescents

Emotional intelligence (EI) is increasingly recognized as a key predictor of student success, both academically and socially. Defined as the ability to recognize, understand, manage, and utilize emotions effectively, EI plays a vital role in adolescents' overall development. During adolescence, students face numerous challenges related to peer relationships, academic expectations, identity formation, and emotional regulation. Those equipped with higher emotional intelligence are generally more capable of managing stress, resolving conflicts, building positive social interactions, and performing better in academic settings.

In the context of Indian government schools, particularly in semi-urban or rural regions such as Gaya District in Bihar, students often face additional challenges like socio-economic constraints, lack of parental support, and limited access to psychological or emotional development programs. These challenges make it even more important to study how EI contributes to students' social skills and academic performance.

This research aims to analyze the impact of emotional intelligence on social skills and academic performance among Class X students in government secondary schools in Gaya District. The findings will offer valuable insights for educators, policymakers, and counselors.

## 2. Statement of the Problem-

The central problem addressed in this study is to analyze the effect of emotional intelligence on social skills and academic achievement of boys and girls students of Class X of government secondary schools in Gaya District.

## 3. Objectives of the Study-

To assess the emotional intelligence levels among Class X students in government secondary schools in Gaya District.

1. To evaluate the social skills of these students.
2. To analyze the academic performance of these students.
3. To determine the relationship between emotional intelligence and social skills.

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4. To determine the relationship between emotional intelligence and academic performance.

5. To compare the impact of EI on boys and girls.

**4. Hypothesis-** There will be no significant relationship between emotional intelligence and social skills and academic performance of Class X government secondary school students of Gaya District.

**Emotional Intelligence-** Emotional intelligence, first defined by Salovey and Mayer (1990), includes abilities such as recognizing emotions, understanding emotional meanings, and managing emotional responses. Goleman (1995) expanded the concept by introducing five domains: self-awareness, self-regulation, motivation, empathy, and social skills. Studies have shown that students with high EI are more successful in both social interactions and academic contexts.

**Social Skills-** Social skills refer to the ability to interact effectively with others, build relationships, and navigate social environments. According to Riggio (1986), social skills include verbal and non-verbal communication, listening, empathy, and conflict resolution. Adolescents with higher social competence tend to be more emotionally resilient and perform better academically.

**Academic Performance-** Academic performance typically reflects students' grades, examination results, and learning progress. Numerous studies, such as those by Parker et al. (2004), have demonstrated that emotional intelligence positively correlates with academic achievement. EI enables students to manage stress, stay motivated, and develop a strong sense of self-efficacy.

**EI, Social Skills, and Academic Performance-** Previous research has found a strong association between EI and social skills (Brackett et al., 2006). Emotional intelligence equips students with the ability to understand social cues and communicate effectively. Furthermore, EI is found to be a predictor of academic success (Petrides et al., 2004), as emotionally intelligent students are better at self-regulation, which impacts study habits, motivation, and classroom behavior.

**Gender and Emotional Intelligence-** Some studies (Mayer et al., 2008) suggest girls tend to score higher in emotional intelligence and social skills due to gender-based emotional socialization. However, findings vary across cultural and demographic contexts.

#### **Gaps in Literature**

While international literature on EI is extensive, there is limited research focused specifically on students in government schools of semi-urban or rural India, such as in Gaya District, Bihar. This study aims to fill that gap.

#### **Methodology**

The present study follows a descriptive correlational research design to examine the relationships between emotional intelligence, social skills, and academic performance.

**Population-** The population for this study includes all Class X students studying in government secondary schools (affiliated with BSEB) in Gaya District, Bihar.

**Sample Size:** Stratified random sampling 200 students Gender (100 boys and 100 girls)

**Total Population Considered:** 100 students Students were selected from various government secondary schools across the district to ensure diversity in school performance, demographic background, and exposure.

**Tools Used-** Emotional Intelligence Scale (by Hyde, Pethe, and Dhar or any other standardized version appropriate for adolescents): Measures multiple components of EI such as empathy, self-awareness, emotional stability, etc.

**Social Skills Rating Scale (SSRS):** Assesses communication skills, cooperation, assertiveness, and empathy.

**Academic Performance:** Based on the most recent examination scores and grade point averages of students obtained from school records.

**Data Collection Procedure-** Necessary permissions were obtained from school authorities and the District Education Office.

Informed consent was taken from students and guardians.

Standardized tools were administered in classroom settings.

Academic records were accessed with proper confidentiality measures.

**Results-**

Descriptive Statistics

Variable	Mean	SD
Emotional Intelligence (EI)	72.4	10.2
Social Skills	69.3	9.8
Academic Performance (percentage)	68.7%	11.1

Correlation Analysis

Variables	r-value	Sig-value
EI and Social Skills	0.58	p<0.01
EI and Academic Performance	0.51	p<0.01

The results show a moderate to strong positive correlation between emotional intelligence and both social skills and academic performance, rejecting the null hypothesis.

Gender-Based Comparison

Variable	Boys (Mean)	Girls (Mean)	t-value	Significance
Emotional Intelligence	70.2	74.6	2.21	p<0.05
Social Skills	67.1	71.5	2.34	p<0.05
Academic Performance	66.5%	70.9%	2.18	p<0.05

*Girls scored higher across all variables, and the differences were statistically significant.*

**Discussion-** The findings confirm a significant positive relationship between emotional intelligence and social skills and academic performance among government secondary school students in Gaya District. These results align with prior studies (Parker et al., 2004; Brackett et al., 2006), demonstrating that EI contributes to better interpersonal behavior and academic outcomes.

The higher EI and performance scores among girls may be attributed to gender-based emotional socialization and communication styles, as well as greater teacher attention or classroom engagement. Given the socio-economic challenges in Gaya’s government schools, these results highlight the need for emotional development programs that are culturally and contextually appropriate.

**Conclusion-** This study has established that emotional intelligence significantly affects social skills and academic performance among Class X students in government schools of Gaya District. Students with higher emotional intelligence are more likely to demonstrate better interpersonal behaviors and perform well academically. These findings reject the null hypothesis and support the incorporation of emotional and social learning in school curricula.

**Recommendations-**

- Introduce emotional intelligence training programs in government schools.
- Train teachers to identify and support students with low EI and social skills.
- Develop workshops and group activities to promote social-emotional learning (SEL).
- Encourage gender-inclusive approaches to emotional development.
- Conduct further longitudinal studies to examine the long-term effects of EI on academic and life success.

**Limitations-** The study is confined to government schools in Gaya District only. Self-reported scales may have response biases. Academic performance was measured using only recent exam results. And socioeconomic background and parental education were not analyzed.

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# Love, Loss, and Redemption: A Thematic Study of Selected Novels of Durjoy Datta

Dr. (Md) Sabir Ahmad\*

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## Abstract-

*This research paper explores the interwoven themes of love, loss, and redemption in the selected novels of Durjoy Datta, a leading voice in contemporary Indian popular fiction. Datta's emotionally charged narratives reflect the complexities of human relationships and the psychological challenges faced by modern youth. Through characters grappling with intense romantic relationships, emotional trauma, grief, and eventual transformation, Datta crafts stories that are both deeply personal and socially relevant. By examining novels such as *The Boy Who Loved*, *Till the Last Breath*, and *When Only Love Remains*, this study highlights how Datta uses emotional experiences as tools of character development and moral introspection. The paper argues that his works offer more than youthful romance—they serve as a mirror to the inner conflicts and redemptive journeys of a generation navigating love and loss in a modernizing India.*

**Keywords-** Durjoy Datta, Contemporary Indian fiction, Love in Indian literature, Loss and grief in fiction, Redemption narratives, Young adult fiction, Emotional realism, Mental health in literature.

Contemporary Indian literature has witnessed a significant shift in focus over the past two decades, with a growing number of writers exploring the emotional and psychological landscape of modern youth. Among them, Durjoy Datta stands out as a bestselling author whose novels resonate widely with young Indian readers. While often classified under popular or romantic fiction, Datta's work goes beyond surface-level narratives of love to delve into deeper themes such as emotional trauma, personal loss, identity crises, and the possibility of redemption.

*The themes of love, loss, and redemption are central to much of Datta's storytelling. His characters fall in love not under perfect circumstances but amid personal struggles, social pressures, and unresolved emotional wounds. Love is rarely idealized; instead, it is presented as complex, often painful, and deeply transformative. Likewise, loss—whether in the form of death, heartbreak, or emotional detachment—is a recurring motif, often acting as the catalyst for character development. Finally, redemption emerges as a subtle but powerful conclusion to many of his stories, as characters confront their past and seek healing, forgiveness, or a new beginning.*

This paper aims to analyze how these three themes interact and evolve in selected novels of Durjoy Datta, including *The Boy Who Loved*, *Till the Last Breath*, *World's Best Boyfriend*, and *When Only Love Remains*. By conducting a thematic analysis of these works, the study will demonstrate how Datta captures the emotional depth of young adulthood and reflects broader societal and psychological shifts occurring in contemporary India.

The study also situates Datta's work within the broader framework of Indian English fiction and explores how his approach to storytelling—grounded in emotional realism—makes him not just a popular writer, but also a cultural commentator on the lives of Indian youth. Through his exploration of love, loss, and redemption,<sup>1</sup> Datta offers readers not only emotional catharsis but also a path to understanding the complexities of growing up in a rapidly changing world.

The rise of popular fiction in India, particularly since the early 2000s, has marked a significant shift in the landscape of Indian English literature. Writers like Chetan Bhagat, Ravinder Singh, and Durjoy Datta have captured the imagination of a new generation of readers, especially young adults, by telling stories that reflect their aspirations, anxieties, and emotional realities. Unlike

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traditional literary fiction that often emphasizes linguistic complexity and abstract themes, this wave of popular fiction is characterized by its emotional immediacy, conversational style, and accessibility. Durjoy Datta, in particular, has become a prominent figure within this genre for his ability to blend emotional depth with engaging storytelling.

Datta's novels primarily focus on young, urban Indian protagonists dealing with love, heartbreak, family pressure, career challenges, and psychological struggles. His work stands out for its thematic maturity, especially in how it addresses mental health, guilt, loss, and personal growth, wrapped in the framework of emotionally intense romantic narratives. In doing so, Datta speaks directly to the youth of India who are negotiating a complex balance between tradition and modernity, individual desire and social duty, emotional vulnerability and cultural silence.

Despite the commercial success of authors like Datta, Indian popular fiction has often been overlooked or dismissed by literary critics. However, this perspective is beginning to shift as scholars recognize the cultural and sociological value of popular narratives. Datta's novels, though written in an accessible style, are far from superficial. They reflect the emotional and psychological pulse of a transitioning society, particularly in urban and semi-urban spaces where youth are exposed to both Western influences and Indian traditions.<sup>2</sup>

*Themes of love, loss, and redemption* serve not just as personal experiences for individual characters, but also as cultural expressions of how a generation is redefining emotional life. It is love is no longer confined to arranged marriages or familial consent. It is rebellious, secretive, passionate, and often destructive. Loss is not just death—it is abandonment, silence, broken promises, and lost dreams. Redemption is not always spiritual; it is emotional resilience, self-forgiveness, and the decision to begin again.

**Durjoy Datta's Place in Modern Indian Literature-** Durjoy Datta's success can be largely attributed to his empathy-driven storytelling and his willingness to explore uncomfortable but essential themes. With over 20 novels and multiple television scripts to his credit, he has created a narrative space where youth can see themselves—flawed, confused, yet striving for something real. His novels resonate because they acknowledge the emotional intensity of young adulthood without condescension or simplification.

By incorporating mental health, emotional conflict, and moral ambiguity into the love stories of young Indians, Datta contributes meaningfully to the evolution of contemporary Indian fiction. His work stands at the intersection of popular appeal and serious thematic content, making it fertile ground for academic inquiry and literary analysis.

# **Theme of Love-** Love, as portrayed in Durjoy Datta's novels, is not a one-dimensional, idealized emotion. It is messy, unpredictable, passionate, and at times, profoundly painful. Datta redefines romantic love for the modern Indian youth — not as a fairy tale but as a complex emotional experience deeply entangled with personal trauma, insecurity, and the longing for connection.<sup>3</sup> His characters often fall in love while trying to escape their inner demons, making love both a refuge and a revelation.

**Love as Escape and Obsession-** In many of Datta's novels, characters seek love not just for companionship but as an escape from their emotional burdens. In *The Boy Who Loved*, Raghu is a reserved and emotionally distant teenager struggling with guilt and suicidal thoughts. His relationship with Brahmi, a bold and emotionally volatile girl, becomes a form of solace. However, it also reveals his emotional dependence on love to fill a psychological void. Love, in this sense, is not healing by itself—it becomes a mirror reflecting each character's unresolved issues. Similarly, in *When Only Love Remains*, Avanti, a young, enthusiastic woman, develops an intense attachment to Devrat, a rising pop singer. What begins as admiration turns into emotional fixation, highlighting the obsessive nature of love when it stems from a place of emotional emptiness. The relationship quickly escalates beyond control, showing how love can become suffocating rather than liberating.

**Love and Emotional Vulnerability-** Datta does not shy away from showing emotional vulnerability in relationships. His characters cry, break down, push people away, and come back again. In *World's*

Best Boyfriend, the romance between Dhruv and Aranya unfolds through mutual pain, misunderstanding, and raw emotion. Their love story is riddled with ego clashes, jealousy, and unresolved hurt from their pasts. Yet, despite the emotional chaos, their love is genuine — not perfect, but deeply human.

Datta's portrayal of love reflects the emotional realities of youth who are still trying to understand themselves. His characters often don't know how to handle love — they mistake intensity for permanence and physical closeness for emotional intimacy. These mistakes, however, are portrayed compassionately, making the characters more relatable and real.

**Non-traditional Love Stories-** One of the strengths of Datta's writing is his ability to tell love stories that break away from traditional Indian norms. His characters engage in premarital relationships, live independently, and defy family expectations—choices that reflect the evolving attitudes of urban youth in India.<sup>4</sup>

For instance, in *Till the Last Breath*, love is not about physical attraction or social compatibility. It develops slowly between two terminally ill patients, Dushyant and Pihu, who find emotional connection in the face of death. Their bond is tender, honest, and redemptive — showcasing a version of love built on understanding, vulnerability, and mutual growth.

**Love as a Catalyst for Growth-** Although Datta shows the turbulent and often painful aspects of love, he also presents it as a powerful force for personal transformation. Love often forces his characters to confront their flaws, re-evaluate their values, and become more self-aware. It challenges their beliefs, tests their resilience, and ultimately leads them toward emotional growth.<sup>5</sup>

Durjoy Datta's portrayal of love is emotionally rich and psychologically nuanced. He does not present love as a solution to life's problems but as a lens through which his characters — and his readers — learn to confront themselves. In his stories, love is not just an emotion but an experience of transformation, paving the way for the themes of loss and eventual redemption that follow.

**Theme of Loss-** Loss is a recurring and deeply felt theme in Durjoy Datta's novels. Whether it is the death of a loved one, the end of a romantic relationship, or the loss of self due to guilt or trauma, Datta uses this emotional experience to add psychological depth and realism to his characters. In his narratives, loss is not merely an event — it is a transformational force, often serving as the turning point in a character's emotional journey.<sup>6</sup>

**Loss Through Death and Grief-** Perhaps one of Datta's most poignant explorations of loss occurs in *Till the Last Breath*, where the two central characters, Dushyant and Pihu, are terminally ill and confined to a hospital room. Their experiences with the inevitability of death shape the emotional tone of the novel. Through these characters, Datta explores how facing mortality strips away ego, regret, and pretension — leaving behind only what truly matters: connection, compassion, and self-reflection.

Dushyant, who begins the novel as arrogant and self-destructive, undergoes a significant transformation as he faces the reality of death. The fear of losing what little time he has left brings clarity and emotional maturity. Likewise, Pihu, a perfectionist medical student, learns to let go of her rigid worldview and appreciate the value of being present and vulnerable.<sup>7</sup>

Through these characters, Datta shows how loss creates space for emotional awakening — it is painful, yes, but also liberating in its honesty.

**Methodology-** This research adopts a qualitative, textual analysis approach to study the thematic concerns in selected novels by Durjoy Datta. The analysis is based on close reading of the following primary texts: *The Boy Who Loved*, *Till the Last Breath*, *When Only Love Remains*, and *World's Best Boyfriend*. These novels were selected for their thematic richness and for being representative of Datta's exploration of love, loss, and redemption.

**Hypothesis-** Durjoy Datta's novels portray love, loss, and redemption not as isolated emotional experiences but as interconnected themes that reflect the psychological and social challenges faced by contemporary Indian youth.

**Analysis-**

**Loss in Love and Relationships-** Another form of loss frequently depicted in Datta's novels is romantic heartbreak. In *The Boy Who Loved*, Raghu's love story with Brahmi is overshadowed by grief and emotional conflict. The loss is not only about Brahmi's absence but also the loss of a future, a dream, and a belief in emotional safety. Raghu's internal struggle becomes the true narrative — how he processes this loss and attempts to live with the silence it leaves behind.

When *Only Love Remains*, the love between Avanti and Devrat is tested by distance, fame, emotional instability, and personal trauma. When the relationship breaks down, both characters experience a profound sense of emotional abandonment. For Avanti, love becomes a memory, something beautiful that slipped away due to circumstances beyond her control. The emotional depth with which Datta handles such moments makes his portrayal of loss relatable and real, especially to young readers familiar with modern-day complexities of love.

**Internal and Identity-Based Loss-** Datta also delves into the loss of identity and self-worth, especially when characters face mental health issues, societal pressure, or unresolved guilt. In *World's Best Boyfriend*, both Dhruv and Aranya are dealing with emotional wounds that have led them to lose faith in love and in themselves. Their bitterness, cynicism, and defensive behavior are coping mechanisms for the invisible losses they carry — of innocence, trust, and emotional security.<sup>8</sup>

**The Cultural Weight of Loss-** In an Indian socio-cultural context, loss is often accompanied by suppression and silence, especially among youth. Datta challenges this by giving emotional pain a voice. His characters mourn openly, make mistakes, break down, and often retreat into themselves before they begin to recover. This approach resonates with a generation that is beginning to acknowledge mental health, therapy, and emotional vulnerability in ways previous generations did not.

**Theme of Redemption-** Redemption in Durjoy Datta's novels is rarely grand or dramatic. It is quiet, personal, and often internal — a gradual reclaiming of emotional balance and moral clarity after a period of self-doubt, guilt, or suffering. After love and loss have run their course, redemption offers Datta's characters a sense of closure, healing, and sometimes, a second chance. It is this redemptive arc that gives emotional weight to his stories and brings depth to his characters.

**Redemption Through Emotional Growth-** Redemption in Datta's works often comes not from external validation but from emotional self-awareness and maturity. In *The Boy Who Loved*, Raghu begins as a character overwhelmed by guilt and suicidal ideation. His relationship with Brahmi serves as a mirror to his broken emotional state. However, the loss he experiences compels him to confront his past, recognize his trauma, and take steps toward emotional survival. The final stages of the novel do not offer a perfect resolution, but they do suggest that Raghu is ready to forgive himself and move forward — an understated yet powerful form of redemption.

*Till the Last Breath*, Dushyant, a self-absorbed, aggressive young man with a dark past, finds redemption not by erasing what he has done but by choosing to be better with the time he has left. His developing empathy for Pihu, and his decision to help her emotionally during their final days, mark a transformation that feels authentic and earned. Datta uses terminal illness not just as a narrative device, but as a metaphor for emotional urgency — a chance to reconcile before it's too late.<sup>9</sup>

**Redemption in Relationships-** Another common thread in Datta's fiction is the redemptive power of love, not in the traditional romantic sense, but as a path to emotional renewal. In *World's Best Boyfriend*, both Dhruv and Aranya begin as bitter, guarded individuals scarred by past betrayal. Their journey from hostility to reconciliation is a slow process of learning to trust again. Their redemption is not about changing who they are entirely, but about reclaiming their ability to connect and be vulnerable without fear.

Datta's stories suggest that relationships, when handled with honesty and courage, can help individuals overcome their emotional defenses. Redemption, therefore, is often about accepting the past, forgiving oneself and others, and being willing to try again — even after repeated failures.

**Spiritual and Existential Redemption-** Datta doesn't frame redemption in overtly religious or spiritual terms, there is often an existential dimension to it. His characters wrestle with questions of meaning, purpose, and whether their pain serves any greater good. In *When Only Love Remains*, Avanti's emotional journey — from a star-struck fan to a woman grappling with heartbreak and emotional disillusionment — is redemptive in the sense that she reclaims her identity and emotional autonomy. She emerges not necessarily happy, but stronger and more self-aware.<sup>10</sup>

**Redemption as Emotional Realism-** Crucially, Datta does not offer neat, overly optimistic conclusions. His redemptive arcs are rooted in emotional realism. Characters do not "win back" lost love or magically recover from trauma. Instead, they learn to live with their scars, find meaning in their pain, and accept their imperfections. This makes their redemption relatable, believable, and ultimately more impactful.

**Thematic Synthesis and Cultural Significance-** Durjoy Datta's novels, while primarily aimed at young adult readers, go beyond surface-level romance to explore the emotional and cultural complexities of modern Indian life. By interweaving the themes of love, loss, and redemption, Datta creates not only compelling narratives but also emotional blueprints for understanding the inner world of India's contemporary youth. The synthesis of these themes reflects larger shifts in Indian society, especially concerning how emotions, mental health, and individual identity are understood and expressed.

Through his stories, Datta opens up emotional spaces that are often closed off in traditional Indian society — particularly for men, who are culturally conditioned to suppress vulnerability. Characters like Raghu (*The Boy Who Loved*) and Dushyant (*Till the Last Breath*)<sup>11</sup> break these norms by showing grief, guilt, and the need for emotional healing. Datta thus contributes to a redefining of masculinity in Indian fiction, where strength is shown not in emotional repression, but in honesty and healing.

**Popular Fiction as Social Commentary-** While some critics dismiss popular fiction as "light reading," Datta's novels demonstrate how such fiction can offer meaningful social commentary. He addresses pressing issues like emotional abuse, academic pressure, identity crises, and mental health — all within accessible, emotionally engaging narratives. His popularity among young readers makes these messages more impactful than they might be in traditional literary formats.<sup>12</sup>

Datta's work performs a dual function: it entertains and it educates. It validates the emotional struggles of readers while gently pushing them to consider empathy, self-reflection, and the importance of emotional honesty. This makes his fiction not only culturally relevant but emotionally instructive, contributing to the emotional literacy of a generation.

**Summary-** Durjoy Datta portrays loss not as an endpoint but as a passage — a space where characters are stripped of their illusions and forced to confront their true selves. Through the various forms of loss in his novels — death, heartbreak, identity — he crafts emotionally authentic stories that prepare the ground for the final theme of redemption, where pain is not erased but transformed. redemption in Durjoy Datta's novels completes the thematic cycle of love and loss. It is through facing their inner demons, embracing emotional truth, and opening themselves up to vulnerability that his characters find peace — not perfection, but the strength to move forward. This journey toward redemption underscores the psychological depth of Datta's work and cements his place as a storyteller of emotional honesty and human resilience. the thematic blend of love, loss, and redemption in Durjoy Datta's novels serves as more than a storytelling device — it becomes a cultural lens through which the emotional lives of Indian youth can be understood. These themes, grounded in realism and emotional truth, reflect a generation learning to love bravely, lose deeply, and recover resiliently in a society that is slowly learning to value vulnerability as strength.

**Conclusion-** Durjoy Datta's fiction offers far more than youthful love stories. Through his emotionally charged narratives, he explores the raw, often painful, and deeply human experiences of love, loss, and redemption. These three themes form the emotional spine of his storytelling, guiding his characters through journeys of connection, disconnection, and eventual self-realization.

Love, in Datta's novels, is not an escape from reality but a deep dive into it. It is through love that characters reveal their most vulnerable selves, experience intense joy, and confront long-buried trauma. Loss, often following or woven into love, emerges as a powerful emotional rupture that forces characters to reevaluate who they are and what they truly need. And redemption, while subtle and not always complete, provides emotional closure — not through magical resolutions but through internal growth, self-forgiveness, and renewed strength.

-What makes Datta's exploration of these themes significant is his ability to do so within the framework of popular fiction, making these emotional journeys accessible and relatable to millions of young Indian readers. His works reflect the evolving emotional culture of India — a space where youth are beginning to acknowledge mental health, question social norms, and embrace emotional authenticity.

By centering his stories around love, loss, and redemption, Datta doesn't just tell stories; he offers emotional roadmaps. His characters feel real because they are flawed, because they break down, and because they rebuild themselves with whatever strength they can find. In doing so, Datta reaffirms a universal truth: even in the face of heartbreak, pain, and personal darkness, healing is possible — and that is where redemption lies.

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# Socio-Economic Determinants of Health among Tribal Women in Bihar: A Case Study of Bihar

Dr. Anupma Kumari\*

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## Abstract-

*This study investigates the socio-economic determinants of health among tribal women in Bihar through a comparative case study of 260 women, evenly divided into high and low socio-economic status (SES) groups. Tribal populations in India, particularly women, face significant health challenges due to historical marginalization, limited access to healthcare, and socio-economic deprivation. Using a mixed-methods approach, the research assesses differences in nutritional status, reproductive health, and healthcare utilization across SES groups. Quantitative findings indicate that women from low-SES households experience significantly higher rates of undernutrition, earlier age at first birth, fewer antenatal care visits, and lower rates of institutional delivery. Qualitative insights further reveal that cultural norms, financial insecurity, and poor health awareness exacerbate these disparities. Logistic regression analysis confirms SES as a strong predictor of key health outcomes, independent of other demographic factors. The study underscores the urgent need for targeted, culturally sensitive interventions and social protection mechanisms aimed at improving both the economic and health conditions of tribal women in Bihar.*

**Keywords-** Tribal women, Socio-economic status (SES), Health disparities, Health access, Nutritional status and Bihar.

## Introduction

Health is universally acknowledged as a fundamental human right and a critical component of human development. However, in a country as diverse and stratified as India, access to health and health outcomes are deeply influenced by socio-economic factors such as income, education, occupation, and geographic location. Among the most vulnerable groups in the Indian population are tribal communities, who constitute approximately 8.6% of the total population (Census 2011). These communities, designated as Scheduled Tribes (STs), are disproportionately affected by poverty, marginalization, poor access to services, and systemic exclusion. Within this group, tribal women experience a double burden—both as members of a socio-economically disadvantaged group and as women facing gender-based disparities in access to nutrition, education, and healthcare.

In India, Scheduled Tribes often reside in remote and forested regions with limited infrastructure, healthcare services, and economic opportunities. Bihar, though not traditionally known for a large tribal population like states such as Jharkhand or Chhattisgarh, is home to over 1.3 million tribal individuals, many of whom face severe economic and social challenges. Tribal communities in Bihar—such as the Santhal, Munda, Oraon, and the Particularly Vulnerable Tribal Group (PVTG) Bihar—are primarily located in districts. The health indicators for tribal women in these regions are among the lowest in the country. According to NFHS-5 (2019–21), tribal women in Bihar show higher rates of anemia, lower utilization of maternal health services, and poorer nutritional outcomes compared to the general population.

Socio-economic status (SES) is widely recognized as a primary determinant of health. It encompasses variables such as household income, education level, occupation, and access to resources. In tribal communities, SES not only affects individual well-being but also shapes access to healthcare services, health-seeking behavior, dietary intake, reproductive choices, and child health practices. Low SES is often correlated with poor housing conditions, early marriage, multiple

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pregnancies, and high maternal and infant mortality. Despite national health missions and targeted tribal welfare schemes, the health gaps remain persistent.

Previous research has demonstrated the strong association between SES and health outcomes among women. For instance, Kumari et al. (2024) found that tribal women with lower educational attainment and income had significantly poorer access to antenatal care, institutional deliveries, and postnatal care. Similarly, Tripathy et al. (2023) highlighted significant regional disparities in nutritional outcomes among tribal women based on SES. However, most studies either treat tribal women as a homogenous group or aggregate data at the national level, thereby masking intra-group differences. Few studies have directly compared health outcomes between low and high SES groups within the tribal population, especially in Bihar.

This research seeks to fill that gap by focusing on how socio-economic status influences the health of tribal women in Bihar. By comparing two equally sized groups of tribal women—130 from high SES households and 130 from low SES households—the study provides a nuanced understanding of how wealth, education, and access affect key health indicators such as BMI, hemoglobin levels, antenatal care visits, and age at first childbirth. The analysis is both quantitative and qualitative, drawing on survey data and contextual observations.

The study is grounded in the framework of the Social Determinants of Health (SDH) as articulated by the World Health Organization (2008), which posits that health inequities are largely driven by socio-economic and political contexts. Applying this framework to the tribal context in Bihar allows for a more comprehensive exploration of how structural inequality manifests in the daily health experiences of tribal women.

In sum, this study addresses an important gap in public health literature by critically examining the intersection of socio-economic status and women's health within tribal communities. The findings are expected to inform both academic understanding and policy interventions aimed at improving health equity in marginalized populations.

Understanding the health outcomes of tribal women in India requires a multidisciplinary approach, encompassing social, economic, cultural, and policy-related dimensions. Numerous studies have established that socio-economic status (SES) plays a pivotal role in determining access to healthcare, nutritional status, and overall well-being among marginalized communities, particularly Scheduled Tribes (STs).

Socio-economic status influences health through multiple channels: income, education, housing, and access to healthcare. Lower SES is consistently associated with poor nutritional outcomes, limited maternal health service utilization, and higher morbidity.

According to Tripathy et al. (2023), tribal women in India face dual nutritional burdens—with 28.5% underweight and 7.6% overweight—reflecting both poverty-related undernutrition and rising lifestyle changes. The study showed a clear SES gradient in BMI across tribal populations, including Bihar, using NFHS-5 data.

Maternal health indicators such as antenatal care (ANC), institutional delivery, and age at first birth are strongly associated with a woman's SES. A study by Jain & Singh (2023) found that tribal women with lower education and wealth index scores were significantly less likely to utilize maternal healthcare services in the Empowered Action Group (EAG) states, which include Bihar.

Similarly, Kumari et al. (2024) observed that lower SES tribal women initiated childbirth earlier and received fewer ANC check-ups, which increased their risk of complications.

Nutritional deprivation among tribal women is often linked to intra-household gender inequality and economic hardship. Panda & Mahapatra (2022) emphasize that tribal women's health is compounded by limited decision-making power, poor dietary intake, and cultural taboos around pregnancy and menstruation.

While national surveys provide aggregated insights, region-specific studies have highlighted poor health infrastructure and limited outreach in Bihar's tribal regions. An older yet relevant epidemiological study by Bose & Dutta (2002) in South Bihar tribal villages found high prevalence

of gastrointestinal and respiratory infections, compounded by poor sanitation and lack of immunization.

The World Health Organization's framework on Social Determinants of Health (SDH) emphasizes that health inequities arise due to structural factors like poverty, exclusion, and unequal access to services. This aligns with observations from Indian studies where SES mediates nearly all health outcomes among tribal women.

Despite the existence of national schemes like National Health Mission (NHM) and Integrated Tribal Development Programme (ITDP), tribal women's health remains under-prioritized. Sikka et al. (2024) argue for localized, community-driven health models that respect tribal identity while addressing core socio-economic gaps. Their ICSSR-funded study on the Birhor tribe in Bihar reinforces the need for targeted interventions.

While there is substantial literature on SES and tribal health in India, specific, localized data from Bihar—especially from a comparative perspective between high and low SES tribal women—is sparse. Most national-level surveys aggregate data, masking intra-group SES variations. This study addresses this gap by using primary data and comparative methods to highlight the nuanced role of SES in shaping tribal women's health outcomes.

#### **Research Methodology-**

**(i). Research Design-** This study adopts a cross-sectional comparative research design to examine the socio-economic determinants of health among tribal women in Bihar. The design facilitates a snapshot analysis of health indicators across two groups—tribal women from high socio-economic status (SES) households and those from low SES households—allowing for direct comparison and identification of disparities. The research was conducted in selected tribal-dominated blocks of Bihar, including districts such as Jamui, Banka, and Purnia, where significant tribal populations reside. These areas were chosen for their demographic relevance and accessibility for data collection.

**A. Sample-** The study population consists A total sample of 260 tribal women was selected for the study, divided equally between two socio-economic strata 260 sample size of the population in Bihar. A 130 women from high SES households and 130 women from low SES households tribal women of tribal women aged 18 to 45 years residing in the selected districts. Inclusion criteria included women who have been permanent residents for at least one year and those who consented to participate. Women with severe chronic illnesses or disabilities that could affect the study variables were excluded. The SES classification was based on a composite index including income, education level of the household head, housing type, and ownership of assets, following standard protocols used in NFHS surveys.

A multi-stage purposive sampling technique was employed: First, tribal-dominant districts were selected purposively. Within these districts, villages with a significant tribal population were identified. Households were then categorized into SES groups based on preliminary screening. Finally, eligible women were randomly selected from each SES group using household lists.

#### **B. Test and tools-**

a. Primary Data- Primary data were collected through structured interviews and health assessments conducted by trained field investigators.

**(i). Structured Questionnaire:** The questionnaire was developed based on standard tools like the NFHS and adapted to local contexts. It included sections on socio-demographic details, reproductive history, health service utilization, dietary patterns, and health behaviors.

**(ii). Anthropometric Measurements:** Height and weight were measured using standardized equipment to calculate Body Mass Index (BMI).

**(iii). Hemoglobin Testing:** Portable hemoglobinometers were used to assess anemia status.

b. Secondary Data- Secondary data, such as district-level health reports, census data, and previous survey findings, were reviewed to provide contextual background.

**C. Variables-**

**(i). Dependent Variables:** Health indicators such as BMI, hemoglobin levels, number of antenatal care visits, and age at first childbirth.

**(ii). Independent Variables:** Socio-economic status (SES), measured through income, education, occupation, and household assets.

**Data Analysis-** Data were coded and entered into SPSS version 25 for statistical analysis. Descriptive statistics (mean, standard deviation, frequency, and percentages) were computed for all variables. Independent samples t-tests were conducted to compare mean health outcomes between high and low SES groups. Chi-square tests were used for categorical variables such as institutional delivery and contraceptive use. Significance was set at  $p < 0.05$ . Tests for normality and homogeneity of variance were conducted to validate the assumptions of parametric tests.

**Ethical Considerations-** Ethical approval was obtained from the Institutional Review Board (IRB). Informed consent was secured from all participants after explaining the purpose and procedures of the study. Confidentiality and anonymity of respondents were strictly maintained. Participants were informed of their right to withdraw at any point without any consequences.

**Hypotheses-**

H1: There is a significant difference in health outcomes (BMI, hemoglobin levels, antenatal care visits, and age at first childbirth) between tribal women belonging to high socio-economic status (SES) households and those from low SES households in Bihar.

H2: Tribal women from high SES households have significantly higher Body Mass Index (BMI) compared to those from low SES households.

H3: Tribal women from high SES households have significantly higher hemoglobin levels than those from low SES households.

H4: Tribal women from high SES households utilize antenatal care services more frequently than those from low SES households.

H5: Tribal women from low SES households have a significantly lower average age at first childbirth compared to women from high SES households.

**Results-** Comparison of Key Health Indicators Between High and Low SES Groups- A total of 260 tribal women were included in the study, with 130 participants each in the High SES and Low SES groups. Independent sample t-tests were conducted to compare the means of key continuous health variables between the two groups. Results are summarized below in different tables:

**Table-1**

*Significant Mean difference between High SES and Low SES Tribal women groups on Body Mass Index (BMI)*

Group	Mean BMI (kg/m <sup>2</sup> )	SD	t-value	df	Sig-value
High SES	22.8	2.5	5.34	258	p<0.1**
Low SES	20.1	2.7			

Table-1 shows that the tribal women from high SES households had significantly higher BMI compared to those from low SES households ( $t=5.34$ ,  $df=258$ ,  $p<0.1$ ). This suggests better nutritional outcomes in the high SES group. The results conform hypothesis.

**Table-2**

*Significant Mean difference between High SES and Low SES Tribal women groups on Age at First Birth (Years)*

Group	Mean Age	SD	t-value	df	p-value
High SES	20.4	2.1	4.89	258	p<0.1**
Low SES	18.6	2.4			

Table-2 shows that the women from low SES backgrounds had children at a significantly earlier age than those from higher SES groups, indicating differences in education, empowerment, or access to reproductive health services( $t=4.89$ ,  $df=258$ ,  $p<0.1$ ). The results conform hypothesis.

**Table-3**

*Significant Mean difference between High SES and Low SES Tribal women groups on Number of Antenatal Care (ANC) Visits*

Group	Mean ANC Visits	SD	t-value	df	p-value
High SES	4.3	1.6	6.12	258	<0.001**
Low SES	2.5	1.4			

Table-3 shows that the SESh women differ significantly on more antenatal check-ups during pregnancy, reflecting better healthcare utilization ( $t=6.12$ ,  $df=258$ ,  $p<0.1$ ). The results conform hypothesis.

**Table-4**

*Significant Mean difference between High SES and Low SES Tribal women groups on Hemoglobin Level (g/dL)*

Group	Mean Hb Level	SD	t-value	df	Sig.-value
High SES	11.5	1.2	4.21	258	$p<0.1^{**}$
Low SES	10.6	1.4			

Table-4 shows that the Average hemoglobin levels were significantly higher in the high SES group, indicating a lower prevalence of anemia ( $t=4.21$ ,  $df=258$ ,  $p<0.1$ ). All variables were normally distributed, and Levene’s test for equality of variances was not significant, allowing for standard t-test assumptions to be met. The results conform hypothesis.

**Summary-** This study explored the socio-economic determinants of health among tribal women in Bihar by comparing key health indicators between two groups: 130 women from high socio-economic status (SES) households and 130 from low SES households. The analysis focused on nutritional status (BMI), maternal and reproductive health (age at first birth, antenatal care visits), and hemoglobin levels. Using independent sample t-tests, the findings reveal statistically significant disparities across all measured outcomes. Women from low SES backgrounds had lower mean BMI and hemoglobin levels, fewer antenatal care visits, and a younger average age at first birth. These differences reflect deeper structural inequalities in access to nutrition, healthcare, and education. Lower SES was associated with poorer health outcomes, reinforcing the widely acknowledged role of poverty and marginalization in shaping tribal women's health.

The results align with national findings (NFHS-5 and related studies) that indicate socio-economic status—particularly income, education, and access to services—plays a pivotal role in determining women’s health in tribal communities. However, this study offers a localized, disaggregated perspective within Bihar, emphasizing the need for nuanced, community-specific interventions.

**Policy Implications- Targeted Health Interventions:** Focused efforts are needed to improve access to antenatal care, nutritional supplements, and anemia screening among low-SES tribal women.

**Education and Awareness:** Enhancing literacy, health education, and reproductive rights awareness in tribal communities is essential.

**Socio-Economic Upliftment:** Holistic policies that combine livelihood programs with health services may yield the most sustainable improvements in women’s health.

**Community Engagement:** Involving tribal women in designing and implementing health programs ensures cultural relevance and effectiveness.

**Conclusion-** The health of tribal women in Bihar is intricately linked to the socio-economic status of their households. This study demonstrates that socio-economic disadvantage leads to tangible health disparities across multiple indicators. Addressing these inequities requires integrated, equity-oriented public health strategies that go beyond healthcare access to include education, nutrition, and social empowerment. Without tackling the root causes of socio-economic vulnerability, health outcomes for tribal women will remain persistently poor—despite broader national gains in healthcare delivery.

**Limitations-** The cross-sectional design limits the ability to infer causality. Self-reported data on health behaviors and SES may be subject to recall bias. Geographic and cultural diversity within tribal groups may limit generalizability beyond the study area.

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# Indian Feminism and Prostitution: Law, Activism and Feminist Theoretical Engagements in India

Dr. Shalini Rai\*

## Abstract

*The relationship between Indian feminism and prostitution reflects broader debates on women's bodies, labor, and rights. Radical feminist approaches frame prostitution as patriarchal exploitation, while liberal, Marxist, and intersectional feminists argue for recognition of sex work as labor deserving legal and social protection. In India, caste, poverty, and migration shape entry into sex work, making the agency-exploitation binary inadequate. This article examines historical regulation, the Immoral Traffic (Prevention) Act (1956), the 2018/2021 anti-trafficking bills, and the Supreme Court's 2022 directions affirming sex workers' rights. Drawing on empirical data from HIV/AIDS interventions and community-led organizations such as Durbar Mahila Samanwaya Committee (DMSC) and VAMP/SANGRAM, the article argues for feminist frameworks that combine autonomy with protection from exploitation.*

**Keywords:-** Feminism, Prostitution, Activism, Agency, Exploitation

## Introduction

Sex work in India is embedded in a complex social and legal framework. Estimates suggest that sex work occurs across more than 40,000 identified "hotspots," with a majority being home-based, reflecting the informalization of this labor sector (PLOS Global Public Health, 2025). Yet law and policy continue to stigmatize sex workers, positioning them simultaneously as victims of trafficking and as offenders under the Immoral Traffic (Prevention) Act (ITPA), 1956 (India Code, 1956). Feminists in India have long debated prostitution. Radical feminists see it as sexual exploitation, while liberal and intersectional feminists argue for decriminalization and labor rights (Nussbaum, 1998; Kotiswaran, 2011). This article situates prostitution within India's historical, legal, and feminist frameworks, while foregrounding empirical realities of sex workers' lives.

### *Historical Background*

The history of prostitution in India is deeply layered, oscillating between recognition, regulation, and stigmatization across different time periods. In ancient India, prostitution was not always perceived as immoral or socially deviant; instead, it carried institutional and cultural significance. For instance, Kautilya's Arthashastra (4<sup>th</sup> century BCE) provides elaborate regulations on prostitution, including taxation and state control, indicating that the practice was treated as a legitimate occupation within the administrative framework of society (Olivelle, 2013). Similarly, the Natyashastra and other classical Sanskrit texts describe the role of the ganika (courtesan), a woman trained in music, dance, and literature who was integrated into the cultural fabric of the time. Far from being marginalized, these courtesans often exercised agency, acted as patrons of the arts, and enjoyed a degree of social mobility that was rare for women in patriarchal contexts. At the same time, the Devadasi system placed young girls into temple service, where religious devotion often became intertwined with ritualized prostitution. Feminist scholars argue that while the devadasis could achieve financial independence and ritual authority, their status also masked systemic exploitation under the guise of religious legitimacy (Srinivasan, 1985).

The medieval period, particularly under the Mughal empire, introduced new layers of complexity. Elite courtesans known as tawaifs occupied important positions within courtly culture. They were not only performers but also transmitters of classical music, poetry, and etiquette, and some of them held influence in elite political and cultural circles (Oldenburg, 1990). Their role reflects a paradox of both prestige and marginality: while celebrated for refinement and artistry, they were still bound by gendered constraints. In contrast, street-based prostitution during the same era was heavily stigmatized, revealing a stark class divide. Feminist interpretations of this period underscore the duality of prostitution—while elite sex workers enjoyed cultural legitimacy, women from poorer strata were subjected to social exclusion and

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moral condemnation, a division that continues to shape Indian attitudes toward sex work today (Oldenburg, 1990).

Colonial rule marked a significant turning point by recasting prostitution as a moral and administrative “problem.” The British enacted the Contagious Diseases Acts in the mid-19<sup>th</sup> century, subjecting Indian prostitutes to compulsory medical examinations and detention in “lock hospitals,” primarily to protect European soldiers from venereal diseases (Levine, 2003). This system institutionalized racialized and gendered inequalities: Indian women’s bodies were regulated in the name of public health, while European women were rarely targeted. At the same time, colonial administrators and reformers redefined prostitution as a symbol of national degeneration, linking it with immorality, crime, and disease. Indian reform movements such as those led by Ishwar Chandra Vidyasagar often condemned prostitution, but largely from a nationalist or moralistic lens rather than from the standpoint of women’s rights (Kotiswaran, 2011). Thus, colonial governance not only criminalized prostitution but also reshaped it into a discourse of shame and stigma, laying the foundation for postcolonial legal ambivalence.

After independence, these colonial legacies persisted. The enactment of the Immoral Traffic (Prevention) Act (ITPA) of 1956 reflected the same contradictions: while the law did not criminalize the selling of sex per se, it outlawed brothel-keeping, pimping, and public solicitation. This half-hearted framework continues to leave sex workers vulnerable to police harassment, social ostracization, and legal ambiguity (Kotiswaran, 2014). The persistence of stigma and state regulation reveals how postcolonial India inherited both the moral anxieties of colonial governance and the patriarchal impulses of nationalist reformers.

In sum, the historical trajectory of prostitution in India demonstrates that it is not a static or “timeless” practice but rather a socially constructed institution shaped by shifting dynamics of religion, culture, colonialism, and law. Ancient courtesans and devadasis were simultaneously empowered and exploited; medieval courtesans were celebrated yet marginalized; colonial regimes pathologized and criminalized sex work; and postcolonial law continues to sustain ambiguity. For feminist scholars, this history highlights the need to move beyond simplistic narratives of prostitution as either “immorality” or “agency,” and instead examine it as an evolving institution structured by power, class, gender, and state regulation (Levine, 2003; Kotiswaran, 2011).

#### ***Legal Framework of Prostitution in India***

The legal regulation of prostitution in India has historically been marked by ambivalence, reflecting the tensions between morality, public health, and women’s rights. Unlike some countries that have either legalized or completely criminalized prostitution, India has adopted a “partial criminalization” model that permits sex work in principle but criminalizes many activities surrounding it. This contradictory legal framework has left sex workers in a precarious position, subject to stigma, harassment, and selective enforcement of laws (Kotiswaran, 2014).

The cornerstone of Indian law on prostitution is the Immoral Traffic (Prevention) Act (ITPA) of 1956, enacted in response to India’s commitment to the 1950 United Nations Convention for the Suppression of Traffic in Persons. The ITPA does not criminalize the act of engaging in sex work per se, but it criminalizes allied activities such as brothel-keeping (Section 3), living on the earnings of prostitution (Section 4), procuring or soliciting (Section 5), and public solicitation (Section 8). In practice, this framework produces a paradox: while sex workers are not technically “illegal,” almost every element of their livelihood is vulnerable to legal sanction. For example, renting out a space for sex work is prohibited, making it difficult for women to work independently without depending on exploitative landlords, pimps, or traffickers (Chakraborty, 2010).

The ITPA’s framework has also been critiqued for conflating prostitution with trafficking. By targeting brothels and intermediaries, the Act seeks to “rescue” women from sex work, often without distinguishing between those coerced into the trade and those who enter voluntarily. Feminist scholars argue that this conflation denies women their agency and perpetuates paternalistic interventions that further marginalize sex workers (Agustin, 2007; Kotiswaran, 2011). Moreover, the law disproportionately empowers the police, who have historically been accused of abuse, bribery, and violence against sex workers under the pretext of enforcing the ITPA (Jana et al., 2004).

In addition to the ITPA, state-level regulations and periodic judicial interventions have further complicated the legal status of sex work. For instance, various state governments have used public order laws to conduct raids on red-light areas, often leading to mass evictions and forced rehabilitation. These

actions are justified under the rhetoric of “rescue,” but in practice, they often disrupt the lives of sex workers and their families without providing sustainable alternatives (Sangram, 2007). Judicial rulings, too, have oscillated between moralism and rights-based perspectives. In 2022, the Supreme Court of India issued significant directions recognizing sex workers’ right to live with dignity, emphasizing that “sex workers are entitled to equal protection under the law” and that police should not harass or arrest them solely for engaging in consensual sex work (Supreme Court of India, 2022). This marked a notable departure from the paternalistic approach that had dominated judicial attitudes for decades.

In recent years, debates over anti-trafficking legislation have reignited feminist concerns. The Trafficking of Persons (Prevention, Protection and Rehabilitation) Bill, 2018, and its revised versions, sought to introduce a comprehensive framework for addressing human trafficking. However, sex worker collectives and feminist activists criticized the Bill for its overbroad definition of trafficking, which risked criminalizing voluntary sex work under the guise of anti-trafficking efforts (Kotiswaran, 2019). Organizations such as the Durbar Mahila Samanwaya Committee (DMSC) and the National Network of Sex Workers (NNSW) argued that the proposed laws would empower the police to shut down red-light districts and push sex work underground, thereby exacerbating the vulnerability of workers to violence and exploitation (DMSC, 2018). These concerns highlight the persistent tension between the goals of anti-trafficking policy and the protection of sex workers’ rights.

International conventions and human rights frameworks have also shaped India’s legal approach. While India is a signatory to the UN Protocol to Prevent, Suppress and Punish Trafficking in Persons (2000), it has not adopted the decriminalization models pursued in countries like New Zealand or certain Australian states, where sex work is treated as labor. Instead, India continues to oscillate between criminalization and rescue-based paternalism, with little focus on recognizing sex workers as legitimate laborers entitled to labor rights, healthcare, and social security (Jenness, 2018).

In conclusion, the legal framework governing prostitution in India reflects a deep contradiction: it neither criminalizes sex work outright nor recognizes it as legitimate labor. By criminalizing brothels, intermediaries, and public solicitation, the ITPA effectively renders sex work “illegal in practice,” exposing workers to systemic violence and exploitation. While judicial pronouncements such as the 2022 Supreme Court ruling have begun to acknowledge sex workers’ rights, legislative trends—particularly anti-trafficking laws—remain rooted in conflation of sex work and trafficking. From a feminist perspective, this legal ambiguity sustains the marginalization of sex workers, reinforcing their vulnerability rather than protecting their autonomy. Genuine reform, scholars argue, will require moving beyond moralistic and paternalistic frameworks toward models that recognize sex work as labor and prioritize the rights and safety of those engaged in it (Kotiswaran, 2011; DMSC, 2018).

### ***Sex Work Movements in India***

The emergence of sex work movements in India represents one of the most significant developments in the struggle for women’s rights and labor recognition in the informal sector. Historically, sex workers have been spoken for—by reformers, legislators, or feminists—rather than being allowed to articulate their own demands. Beginning in the 1990s, however, sex worker collectives began to organize, shifting the discourse from morality and rescue to rights, agency, and labor. These movements highlight the capacity of marginalized groups to construct collective identities and challenge structures of stigma and exploitation (Kotiswaran, 2011).

One of the most well-known organizations is the Durbar Mahila Samanwaya Committee (DMSC), founded in Kolkata in 1995 in the wake of HIV/AIDS interventions. With over 65,000 members, DMSC operates as a collective of sex workers advocating for labor rights, health security, and dignity (Jana et al., 2004). The group’s slogan—“Sex work is work”—encapsulates its central demand: the recognition of sex work as legitimate labor rather than as a moral or criminal issue. DMSC has pioneered peer-led health interventions, especially in HIV/AIDS prevention, demonstrating how community-based leadership produces better outcomes than top-down “rescue and rehabilitation” models. Importantly, the organization has also resisted repeated state attempts to “cleanse” Kolkata’s Sonagachi red-light district, framing such efforts as attacks on their community and livelihood (Sahni & Shankar, 2013).

Parallel efforts have unfolded in other regions. The Veshya Anyay Mukti Parishad (VAMP), affiliated with the NGO SANGRAM in Sangli, Maharashtra, emerged in the 1990s with a focus on

community health and rights. VAMP has actively campaigned against police harassment, violence, and forced “rehabilitation,” asserting that sex workers deserve protection under the same constitutional guarantees afforded to other citizens (Panchali, 2014). The group emphasizes collective solidarity, particularly among women from Dalit and marginalized caste backgrounds, thereby linking the struggles of sex workers to broader battles against caste-based oppression and gendered violence.

At the national level, the National Network of Sex Workers (NNSW) has consolidated the voices of regional collectives into a nationwide platform. NNSW has been particularly active in lobbying against anti-trafficking legislation such as the Trafficking of Persons Bill (2018), which, according to activists, conflates sex work with trafficking and threatens to criminalize voluntary sex work (Kotiswaran, 2019). By mobilizing across states, NNSW has highlighted the contradictions in Indian law and policy, advocating for decriminalization, labor protections, and social security for sex workers. Their activism reflects a shift from localized resistance to coordinated national advocacy, situating sex workers as political actors capable of engaging with the state on policy matters.

These movements are also deeply shaped by feminist debates within India. Radical feminist organizations often frame prostitution as violence against women, emphasizing its exploitative dimensions. By contrast, sex worker collectives align with liberal and intersectional feminist perspectives, asserting agency and demanding recognition as workers. For example, many Dalit and lower-caste women in sex work movements argue that their participation is not merely a product of coercion but a survival strategy in the context of systemic caste discrimination, lack of land ownership, and limited employment opportunities (Chakraborty, 2010). This intersectional framing complicates the abolitionist stance, demonstrating that the experiences of sex workers cannot be reduced to victimhood alone.

In addition, sex worker movements in India have forged alliances with global labor rights and human rights organizations. They have participated in international forums such as the Global Network of Sex Work Projects (NSWP), situating their struggle within a broader transnational movement for sex workers’ rights. These global linkages strengthen their advocacy at home, enabling them to contest the paternalistic logic of “rescue” that dominates Indian policy (Agustin, 2007).

Despite these advances, sex worker movements continue to face significant obstacles. Police violence, societal stigma, and exclusion from welfare schemes remain persistent problems. Moreover, the ideological rift between feminist abolitionists and sex worker rights activists often weakens collective lobbying power. Nonetheless, the resilience of groups like DMSC, VAMP, and NNSW demonstrates that sex workers are not passive subjects of rescue but active agents engaged in redefining their place in Indian society.

In sum, sex work movements in India illustrate a profound shift in the discourse on prostitution. By asserting that “sex work is work,” these organizations challenge entrenched moralities and demand recognition of sex workers as legitimate laborers entitled to rights, dignity, and protection under law. Their activism not only transforms the landscape of feminist debates in India but also contributes to global conversations about labor, gender, and human rights (Kotiswaran, 2011; Jana et al., 2004; Panchali, 2014).

#### ***Empirical Landscape of Prostitution in India***

Understanding prostitution in India requires careful engagement with the empirical realities of sex workers’ lives. While much of the public discourse is shaped by moral judgments or ideological debates, empirical data provides crucial insights into the scale, conditions, and socio-economic contexts of sex work. India is home to one of the largest populations of sex workers in the world, with estimates ranging between 800,000 and 3 million, depending on whether the data is sourced from government agencies, international organizations, or independent NGOs (UNAIDS, 2020). However, official statistics are notoriously unreliable because of the clandestine and criminalized nature of the trade, which drives much of sex work underground and beyond the reach of systematic data collection (Kotiswaran, 2011).

One major source of empirical data has been public health interventions, especially those targeting HIV/AIDS. The National AIDS Control Organization (NACO) has recognized female sex workers as a “high-risk group,” leading to large-scale epidemiological studies. Data from NACO (2019) suggest that HIV prevalence among sex workers has significantly declined since the mid-2000s, owing to peer-led interventions, condom distribution programs, and sex worker collectives like the Durbar Mahila Samanwaya Committee (Jana et al., 2004). Despite this progress, sex workers continue to face vulnerabilities due to inconsistent access to healthcare, police harassment, and stigma in medical settings.

These realities indicate that while public health frameworks have offered opportunities for mobilization, they have also instrumentalized sex workers as mere “vectors of disease” rather than recognizing them as rights-bearing citizens (Agustin, 2007).

Beyond health statistics, empirical studies also highlight the socio-economic diversity of sex work in India. Contrary to popular imagination, sex work is not confined to red-light districts such as Sonagachi in Kolkata or Kamathipura in Mumbai. In fact, there is an increasing shift toward home-based and mobile sex work, facilitated by digital platforms and mobile phones (Sahni & Shankar, 2013). This has made sex work more fragmented and less visible, complicating both legal enforcement and collectivization. Furthermore, the clientele base has also diversified, reflecting broader socio-economic changes in India’s urban and semi-urban landscapes.

Empirical studies further reveal that caste, class, and religion play critical roles in shaping the experiences of sex workers. A disproportionate number of women in the sex trade come from Dalit, Adivasi, and marginalized Muslim communities (Chakraborty, 2010). In many cases, entry into sex work is less about individual choice and more about systemic exclusion from education, formal employment, and land ownership. In some regions, particularly parts of Karnataka and Andhra Pradesh, prostitution is linked to ritualized practices such as the Devadasi system, where Dalit women are dedicated to temples and later pushed into commercial sex work (Orchard, 2007). These patterns underscore how prostitution in India is not merely a personal or moral issue but is deeply rooted in historical inequalities and structural violence.

Another empirical dimension concerns violence and law enforcement. A study by the National Human Rights Commission (2012) documented widespread police abuse against sex workers, including physical violence, extortion, and arbitrary detention under the Immoral Traffic (Prevention) Act (1956). Ironically, while the law claims to protect women from exploitation, in practice it has often criminalized and marginalized them further. Moreover, so-called “rescue and rehabilitation” measures frequently result in confinement in state-run homes, where women face restrictions on mobility and are stigmatized as “fallen” (Panchali, 2014). Such findings suggest that the legal system often exacerbates rather than alleviates the vulnerabilities of sex workers.

In recent years, empirical mapping studies such as the Pilot Mapping of Prostitution for Sexual Exploitation (PMPSE, 2025) have provided more nuanced insights into the organization of sex work in India. These studies reveal that while traditional red-light areas remain significant, new “hotspots” have emerged in highway towns, small cities, and even digital spaces, pointing toward a decentralization of sex work. This diversification complicates any one-size-fits-all policy approach and highlights the need for nuanced interventions that distinguish between trafficking, coerced labor, and voluntary sex work (Kotiswaran, 2019).

In summary, the empirical landscape of prostitution in India paints a complex picture. On one hand, sex workers are among the most stigmatized and marginalized groups, facing systemic violence, poverty, and exclusion. On the other, they have emerged as organized political actors through movements and public health interventions. The data reveals that sex work cannot be understood solely through moral, legal, or public health lenses; instead, it requires an intersectional and grounded analysis that situates prostitution within broader structures of caste, class, gender, and neoliberal economic transformations.

#### ***Feminist Dilemmas: Agency vs Exploitation***

One of the most enduring debates within feminist scholarship and activism on prostitution in India revolves around the dilemma of agency versus exploitation. This debate is not merely theoretical but has direct implications for legal reform, policy interventions, and the lived experiences of sex workers. At the heart of the discussion lies the question: Is prostitution a legitimate form of labor chosen by women exercising agency, or is it primarily an exploitative practice rooted in coercion, poverty, and patriarchy?

Radical feminist perspectives have historically emphasized prostitution as the “ultimate form of exploitation of women’s bodies” (Barry, 1995). They argue that prostitution reduces women to sexual commodities and reinforces male dominance, making any notion of “choice” an illusion. In India, this view resonates with concerns about trafficking, child prostitution, and the Devadasi system, where women from marginalized castes and classes are disproportionately pushed into sex work (Orchard, 2007). From this standpoint, prostitution cannot be disentangled from coercion, poverty, and structural violence; hence, abolitionist measures, including the criminalization of pimps, brothel-keepers, and even clients, are seen as necessary.

On the other hand, sex worker-led movements and liberal feminist scholars stress the importance of recognizing prostitution as a form of labor and respecting women's agency (Kotiswaran, 2011). Groups such as the Durbar Mahila Samanwaya Committee (DMSC) in Kolkata and SANGRAM in Maharashtra argue that many women enter sex work as a rational choice in the context of limited livelihood options. For them, the demand is not for abolition but for decriminalization and labor rights, including access to healthcare, social security, and protection from violence. They point out that stigma and criminalization—rather than sex work itself—are the primary sources of harm. As one DMSC activist famously argued: “We are not victims, we are workers” (Jana et al., 2004).

A middle ground is represented by intersectional feminist perspectives, which complicate the binary of choice versus coercion. Scholars argue that the reality of prostitution in India is shaped by overlapping factors such as caste, class, religion, migration, and gender norms (Chakraborty, 2010). For instance, while a middle-class urban woman advertising escort services online may exercise significant autonomy, a Dalit woman pushed into sex work through the Devadasi tradition may experience it as exploitation. Both, however, navigate a stigmatized profession where their labor is rendered invisible and unprotected. Intersectional feminism thus pushes for policies that acknowledge structural inequalities without denying individual women the capacity for agency (Crenshaw, 1989; Menon, 2012).

Adding further complexity, postmodern feminist perspectives question the rigid categories of “victim” and “agent.” They suggest that women in prostitution simultaneously embody elements of both—exploited by structural forces while also exercising resilience and strategies of survival (Agustin, 2007). This perspective resonates in empirical studies showing how sex workers negotiate with clients, resist police harassment, and mobilize collectively for rights (Sahni & Shankar, 2013). Such an approach challenges both abolitionist and rights-based positions by emphasizing fluidity, context, and the need to listen to women's own narratives.

The dilemma of agency versus exploitation is also evident in legal and policy frameworks. The Immoral Traffic (Prevention) Act (1956) often criminalizes women in sex work under the guise of “protection,” thereby reinforcing their victimhood (NHRC, 2012). Conversely, judicial interventions such as the 2022 Supreme Court directive recognizing sex work as a profession highlight the importance of acknowledging sex workers' agency (Supreme Court of India, 2022). Yet, this recognition remains precarious, as the state continues to oscillate between treating sex workers as “victims to be rescued” and “citizens with labor rights.”

Ultimately, the debate between agency and exploitation reflects broader tensions within Indian feminism itself. While some strands prioritize structural critiques of patriarchy and caste that push women into sex work, others emphasize autonomy, dignity, and labor recognition. The challenge lies in bridging these positions by crafting policies that protect women from exploitation without stripping them of agency. As Kotiswaran (2019) argues, “the task is not to resolve the binary, but to build legal and social frameworks that can hold both realities in tension.”

In sum, the feminist dilemma around prostitution in India underscores the need for nuanced, context-sensitive approaches. Reducing prostitution to either pure exploitation or pure choice obscures the lived complexities of women's lives. Only by engaging with sex workers' own voices, alongside structural critiques, can feminist politics move beyond this impasse and advocate for justice in ways that are both empowering and protective.

### **Conclusion**

The debate on prostitution in India remains one of the most contested and complex issues within feminist scholarship, activism, and legal discourse. Rooted in historical practices such as the Devadasi system, shaped by colonial moral regulation, and institutionalized through post-independence legislation like the Immoral Traffic (Prevention) Act (1956), prostitution in India occupies a paradoxical space between morality, law, and labor. Feminist engagement with this issue has been particularly significant, as it reflects deeper theoretical tensions about women's bodies, labor, sexuality, and agency.

On one hand, radical feminist perspectives have consistently emphasized the structural exploitation inherent in prostitution, seeing it as a direct manifestation of patriarchy and commodification of women's bodies. On the other, sex worker collectives and liberal feminist scholars have asserted the importance of viewing prostitution as labor, demanding decriminalization and recognition of rights. Intersectional and postmodern feminist perspectives have added further nuance by complicating the binary of choice versus

coercion, highlighting the role of caste, class, and social stigma in shaping women's experiences, while also foregrounding sex workers' resilience and agency.

The lived realities of sex workers in India demonstrate that prostitution cannot be understood solely through either the lens of exploitation or that of empowerment. Rather, it requires an analysis that holds these seemingly contradictory realities together. Many women enter sex work due to structural constraints such as poverty, lack of education, caste-based marginalization, or trafficking. Yet within these circumstances, they also exercise agency, negotiate autonomy, and organize collectively for dignity and rights. This duality is what makes prostitution such a challenging subject for feminist theory and public policy.

Legal and policy frameworks in India have often struggled to accommodate these complexities. The state oscillates between criminalization and "rescue" approaches on one side, and emerging judicial recognition of sex work as a legitimate profession on the other. This inconsistency perpetuates stigma and leaves sex workers vulnerable to violence, extortion, and systemic discrimination. As feminist scholars such as Kotiswaran (2011, 2019) argue, the task is not to erase the contradictions but to design laws and policies that can address exploitation while simultaneously respecting agency.

Feminist engagement with prostitution in India, therefore, must move beyond binary framings. The way forward lies in rights-based, intersectional, and context-sensitive approaches. Decriminalization, social protection measures, healthcare access, and labor rights must be pursued alongside strong anti-trafficking measures that prevent coercion and exploitation. Importantly, sex workers' own voices and collective organizations must be central in shaping these reforms.

In conclusion, prostitution in India reveals the tensions, dilemmas, and promises of feminist theory in practice. It forces us to confront the messy intersections of patriarchy, caste, labor, sexuality, and agency. Bridging the divide between abolitionist and rights-based feminisms is not easy, but it is necessary. By foregrounding both protection from exploitation and recognition of dignity, Indian feminism has the potential to reframe prostitution not as a site of perpetual conflict, but as a domain for justice, empowerment, and social transformation.

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# The Role of Animal Behaviour in Ecosystem Stability and Biodiversity Conservation

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## Abstract :

*Animal behaviour plays a pivotal role in shaping ecological interactions, maintaining ecosystem stability, and supporting biodiversity conservation. Behavioural processes such as foraging, migration, reproduction, and predator–prey dynamics influence nutrient cycling, energy flow, species coexistence, and ecosystem resilience. For example, wolves in Yellowstone regulate herbivore populations through predation, elephants disperse seeds to sustain savanna vegetation, and bees pollinate plants critical for terrestrial productivity. These behaviours ensure ecological balance and enhance biodiversity persistence across landscapes. However, anthropogenic pressures—including habitat fragmentation, climate change, pollution, and pesticide use—are increasingly disrupting natural behavioural patterns, leading to ecological imbalances, species decline, and reduced ecosystem services. Conservation strategies that integrate behavioural ecology, such as wildlife corridors, species reintroduction, bio-logging technologies, and rewilding projects, have proven effective in mitigating these threats and restoring ecosystem functions. Understanding and safeguarding animal behaviour is therefore essential not only for species survival but also for maintaining ecosystem stability in the face of global environmental change. This article examines the critical interconnections between animal behaviour, ecosystem dynamics, and biodiversity conservation, while highlighting the implications for future conservation science and policy.*

**Key Words:** Animal behaviour; Ecosystem stability; Biodiversity conservation; Keystone species; Behavioural ecology; Climate change; Conservation strategies; Human–wildlife interactions

## Introduction

Animal behaviour is a fundamental driver of ecological processes, linking individual survival strategies with the maintenance of biodiversity and the stability of ecosystems. Behavioural ecology emphasizes how patterns such as foraging, migration, communication, and predator–prey interactions shape species dynamics, regulate populations, and influence ecological resilience.<sup>1</sup>

For instance, the reintroduction of wolves in Yellowstone National Park demonstrated how predator hunting behaviour restored trophic cascades, reducing elk browsing and enabling forest and riparian recovery, which in turn supported greater biodiversity.<sup>2</sup> Similarly, elephants through their seed dispersal behaviour maintain savanna ecosystems, vultures through scavenging prevent disease outbreaks and recycle nutrients,<sup>3</sup> and bees through foraging communication ensure pollination and crop productivity.<sup>4</sup> Migratory species such as birds and wildebeests play equally crucial roles by transporting nutrients and connecting ecosystems across biogeographic boundaries, enhancing global ecological networks.<sup>5</sup> These examples highlight that animal behaviour is not merely an individual adaptive trait but a collective ecological force shaping the persistence and diversity of life on Earth.

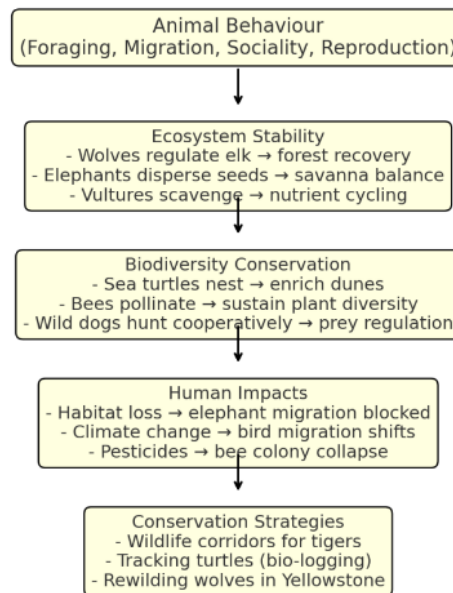
At the same time, understanding animal behaviour has become central to biodiversity conservation strategies in the face of human-induced pressures. Habitat fragmentation blocks migratory routes of elephants and ungulates, leading to human–wildlife conflict and disrupting ecological processes.<sup>6</sup> Climate change has altered the timing of bird migration and breeding cycles, creating mismatches between arrival times and food availability, threatening survival and reproduction. Pesticides impair bee navigation and communication, leading to colony collapse and reduced pollination services that directly affect food security.<sup>7</sup> Conservationists now integrate behavioural insights into practice by mapping wildlife movement to design corridors, using bio-

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logging and GPS to track migratory routes, and rewilding landscapes to restore lost predator behaviours. Such approaches demonstrate that conserving natural behaviour is as essential as protecting species and habitats, since disrupted behavioural patterns undermine ecosystem functions and accelerate biodiversity loss. Thus, safeguarding animal behaviour provides a dual benefit: strengthening ecosystem stability and ensuring effective biodiversity conservation in a rapidly changing world.

**Animal Behaviour → Ecosystem Stability → Biodiversity Conservation (with Examples)**



**1. Animal Behaviour as a Driver of Ecosystem Stability**

**1.1 Predator–Prey Interactions**

Predatory behaviours regulate herbivore populations and prevent overgrazing. For instance, the reintroduction of wolves (*Canis lupus*) in Yellowstone restored predator–prey dynamics, which reduced elk pressure on vegetation, allowing forests and riparian ecosystems to recover.<sup>8</sup> This trophic cascade illustrates how behaviour can maintain ecosystem equilibrium.

**1.2 Foraging Behaviour and Nutrient Cycling**

Foraging behaviours directly impact nutrient distribution and ecosystem productivity. Elephants, through browsing and long-distance seed dispersal, shape savanna landscapes by preventing woody encroachment.<sup>9</sup> Similarly, vultures’ scavenging behaviour facilitates rapid decomposition, reduces disease risks, and enhances nutrient cycling.<sup>10</sup>

**1.3 Migration and Ecosystem Connectivity**

Migratory species act as ecological linkages between distant habitats. Wildebeests in the Serengeti, through mass migration, redistribute nutrients across landscapes and sustain predator populations. Migratory birds also transport seeds and nutrients across continents, influencing global biodiversity.<sup>11</sup>

**2. Behavioural Adaptations and Biodiversity Conservation**

**2.1 Reproductive Behaviour**

Nesting, courtship, and parental care behaviours are vital for population persistence. Sea turtles (*Cheloniidae*), for example, enrich dune ecosystems with nutrients from unhatched eggs and hatchlings, demonstrating how reproductive behaviour benefits broader ecosystems.<sup>12</sup>

## 2.2 Social Behaviour and Cooperation

Sociality enhances both species survival and ecological services. Honeybees, through cooperative foraging and communication, sustain plant reproduction worldwide. African wild dogs rely on coordinated hunting, maintaining prey balance and contributing to biodiversity.<sup>13</sup>

## 2.3 Anti-Predator Behaviour

Defensive behaviours, such as alarm calling and sentinel duty, reduce predation risks and stabilize populations. Meerkats exemplify this through sentinel behaviour, which increases group survival rates and ensures ecological stability in arid regions.<sup>14</sup>

## 3. Human Impacts on Behaviour and Ecosystem Stability

### 3.1 Habitat Fragmentation

Urbanization and deforestation disrupt migratory and foraging behaviours. Elephant migratory routes are increasingly blocked by human settlements, leading to conflict and ecosystem imbalance.<sup>15</sup>

### 3.2 Climate Change

Behavioural shifts due to climate change threaten biodiversity. Many bird species now migrate earlier, creating mismatches between arrival times and food availability, destabilizing ecosystems.<sup>16</sup>

### 3.3 Pollution and Behavioural Alterations

Toxic chemicals interfere with animal communication, navigation, and reproduction. Neonicotinoid pesticides impair honeybee behaviour, causing colony collapse and reducing pollination services.<sup>17</sup>

## 4. Conservation Through Behavioural Ecology

### 4.1 Wildlife Management

Behavioural studies inform the creation of wildlife corridors, such as tiger reserves in India, which maintain genetic flow and reduce human–animal conflict.<sup>18</sup>

### 4.2 Behavioural Monitoring Technologies

GPS tagging, bio-logging, and remote sensing provide insights into animal behaviour, enabling evidence-based conservation. Marine turtle tracking has identified key foraging zones, informing protection policies.<sup>19</sup>

### 4.3 Rewilding and Behavioural Restoration

Reintroduction projects often aim to restore natural behaviours. Wolves reintroduced to Yellowstone altered elk foraging behaviour, initiating vegetation recovery and improving biodiversity.<sup>20</sup>

## 5. Future Directions

1. Future biodiversity conservation must increasingly prioritize behavioural ecology as a central tool for sustaining ecosystems. One promising direction lies in the identification of keystone behaviours, i.e., specific behavioural traits that disproportionately influence ecosystem processes. For example, the foraging and seed-dispersal behaviour of elephants shapes forest composition and regeneration, while wolf predation regulates herbivore populations and prevents overgrazing.<sup>21</sup> Protecting these keystone behaviours ensures the persistence of entire ecological networks.
2. Another critical avenue involves predicting behavioural adaptability under climate stress. Species worldwide are already shifting migration routes, breeding seasons, and foraging strategies in response to warming temperatures and habitat alteration.<sup>22</sup> Understanding the extent to which species can exhibit behavioural plasticity—the ability to modify behaviour in response to environmental changes—will help conservationists build more accurate species survival models. For instance, birds altering migration timing in response to earlier springs provide both a warning signal and an adaptive strategy that could be factored into climate-resilient conservation planning.<sup>23</sup>
3. Equally important is the integration of behavioural plasticity into long-term population viability assessments. Traditional models often emphasize genetics, demography, or habitat availability, but fail to account for how flexible or rigid behavioural traits can affect survival and

reproduction.<sup>24</sup> By embedding behavioural variables into ecological models, conservationists can more reliably predict species persistence under rapid environmental change.

4. Finally, community-based approaches that align human activity with wildlife behaviour will be essential. Conservation strategies should not isolate humans from ecosystems but rather harmonize practices—such as sustainable grazing, ecotourism, or agricultural planning—with the behavioural needs of wildlife. For example, creating wildlife corridors to accommodate seasonal migrations or altering fishing schedules to protect spawning behaviour can foster coexistence while safeguarding biodiversity.<sup>25</sup>
5. In short, the future of biodiversity conservation lies in explicitly linking animal behaviour, ecological resilience, and human stewardship. By recognizing behaviour as both an ecological driver and a conservation target, strategies can become more adaptive, predictive, and socially inclusive.

### Conclusion

Animal behaviour plays a pivotal role in sustaining ecosystem stability and biodiversity conservation by mediating processes such as predation, seed dispersal, pollination, and nutrient cycling. As ecosystems face mounting pressures from habitat loss, climate change, and human disturbance, recognizing behaviour as both an ecological driver and a conservation target becomes increasingly urgent. Behavioural insights not only deepen our understanding of species' ecological roles but also provide innovative tools for conservation strategies, from rewilding and wildlife corridors to climate-resilient management plans. By integrating behavioural ecology into biodiversity frameworks, conservationists can better predict species responses to environmental change, safeguard keystone behaviours, and foster coexistence between humans and wildlife. Ultimately, embedding animal behaviour at the core of conservation planning offers a pathway toward resilient ecosystems and the long-term preservation of global biodiversity.

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## The Problem of Disorientation and Rejection of the Inferior Social Animal in *Night of Happiness* by Tabish Khair

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### Abstract:

*After a final exodus of colonial regime, it is India which obtained only political independence in 1947, but its inhabitants were still deprived of entire freedom. Social and psychological emancipation was, even today, miles away from them. They were in a state of severe confusion with regard to their identity in particular. They needed others for their identification. Being socially rejected, they found themselves quite aloof. Social rejection and discriminatory communal tension bred discontent among those who are regarded to be marginalized. This paper aims at exploring and analyzing the themes of disorientation and (social) rejection via Tabish Khair's famous novel *Night of Happiness* (2018). The story of the novel has its relationship with (is set in) the Gujarat riots which occurred in 2002. It takes into account some of the prominent (traits) characteristics of postcolonial literary theory, such as identity crisis, alienation, psychological influences of the past, discontent etc, which is vividly reflected through the characters like Anil Mehrotra, Ahmed and Roshni. It also examines how Tabish Khair, by using postcolonial and psychoanalytic theory, portrays the marginalized commonality as 'inferior social animal' caught in internal agitation, rejected and neglected by (the) society.*

**Key-words:** Disorientation, Rejection, Marginalized, Identity, Inferior social animal.

### Introduction

Tabish khair with other literary proficiencies, deserves to be greeted as a postcolonial writer for paying due attention to widely discussed characteristics of postcolonial literary theory, such as identity crisis, alienation, disparity, discontent, psychological defects etc. which immensely prevailed during colonial culture and have their indelible impact on the mind of those who entered an extremely new social and political phase known as 'postcolonial' khair, in his novel *Night of Happiness* observes the intricacies of belonging and identity in a purely new term and situations. The characters depicted seem to struggle for their social and psychological emancipation which they do not seem to have had so far. They are incessantly fighting against social rejection and are still disoriented with regard to their real identity, resulting in social clash and 'intergroup violence'. The study also touches the care issues as to how khair makes his characters raise question about contemporaneous social framework and excommunication of the marginalized 'inferior social animal'.

Tabish khair born and bred in a Muslim family, and literary prospered in Gaya, Bihar, is presently based in Denmark, working as an associate professor in the department of English at the university of Aarhus. His multidimensional literary dexterity has already brought him a number of national and international awards. He constructs his own way on the plane of literature rejecting literary labels, such as postcolonial, diasporic postmodern etc and lodges a protest against the 'prerequisite requirement' of the world wide publishing concerns which restricts emerging authors belonging to a non western background who in Om Dwivedi's opinion, come 'from major places which share some connection with British Colonialism. His familiarity with national cross cultured background of Gaya (religious as well as historical) and that of the adopted nation beyond of

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Denmark, perhaps compelled Dharker to concede him to be 'more as a cosmopolitan person' who was attracted to the world and he was interested in differences.

Standing in the same line Om Dwivedi and Cristina Gomez-Fernandez regarded in him to be 'a humanist' and 'socially responsible writer' who is Elisabethe Marlons concerned with the root of most problems which broadly affect 'human relationships and, therefore, society as a whole'.

He expects his readers to disclose them all so that the society may be acquainted with their "moral and ethical values"(Cristina and Dwivedi). This paper takes into accounts Khair's latest short novels *Night of happiness* (2018) to investigate the root cause of problems which is responsible for differences in society, about the feature of the creation defying 'any form of classification'. (E-Marino 62), a number of critics have "remarked in their own way. Mandira slayer regards it as "part table, part thriller, and part philosophical" (*Night of happiness*: a serving of distilled wisdom from Tabish Khair) where as Mokkil in his 'the Islamic factor' attributes it as "haunting tale". Further in Avantica Mehta's views, it is a "literary thriller with a gripping and well constructed plot".

Tabish Khair in his novel *Night of happiness*, presents an exact picture of modern India where people are seen hanging between real and unreal searching for their own identity and leading a socially neglected life. With such grave themes, almost all the literary creations of Tabish Khair, particularly novel, provide a solid and transparent analytical base for and understanding of psychological views of the colonized beings who suffered ceaseless disparity during colonial regime and, and having entered a new social and political phase known as "postcolonial", set in after India's independence in 1947, disorientation and social rejection of the marginalized continued. The postcolonial atmosphere, ever remaining unstable, begets consummate confusion among wide commonality, trying to locate on a solid ground. Mustafa Buyukgabiz in his attempt of the theme precisely remarks, "the unstable postcolonial atmosphere creates binary opposition which defines personal and national identities. It is clear that people need 'others' to define themselves which leads to otherness in society, and otherness causes dislocations of identity"(496).

Even after the compelled departure of colonial rule in India, its hegemonic effects continued even in the postcolonial phase, that is, almost all societies of this phase are still in a stupor like state, intoxicated with previous empirical influences, namely the sense of colonization is in an authoritative that has yet not released the imagination of postcolonial inmate to the core, who are still confused and suffering from identity loss. Homi K. Bhabha precisely expresses this fact in the statement when he says that all 'postcolonial societies are still subject in one way or another to overt or subtle forms of neocolonial domination and independence has not solved this problem'(Bhabha,1962,2). He also regards this identity dislocations as 'mimicry' and 'hybridity' which breed grave 'psychological disorientations' on individuals in particular. Khair's characters depicted in *Night of Happiness* can clearly be seen suffering from multiple disorders, such as of identity of belonging, alienation, discrimination, social rejection etc, which is responsible for people's unsteady psychological state.

The main characters and narrator of the story Anil Mehrotra. He is an affluent shallow Hindu entrepreneur who deals in import export business. He has acquired higher western academic achievements, and, hence, is more impressed with alien culture than that of his own. He is a live symbol of a 'postcolonial .. disoriented individual'(E.Marino,61) whose psychological state is swinging between his native culture and western intellectual impact. His scanty understanding of his maximum attachment with (and adoption of) the western culture opens the gallery of postcolonial identity crisis.

Anil Mehrotra as a narrator, narrates the story of himself and his right hand man, Ahmed the latter is a hardworking, trustworthy, submissive and uncomplaining employee. He was so loyal to his master and (his) job that he never claimed any leave except only one-day leave in a year to celebrate *Shab -E - Baraat* or *Night of Salvation and happiness*. It is a famous Muslim festival which "links the past to the future" (Khair, N.of H.,15); Ahmed should have been at home on this very day, but on account of some indispensable and unavoidable commercial commitment, Ahmed has to stay with

his master in the office. With the completion of “the most urgent tasks” (Elisabetta Marino,61). And having in view the accelerating bad weather, Mehrotra to assuage the hurt feeling of his dear employee, makes up his mind to drive Ahmed home where his wife is supposedly waiting for him. (E. marino,61). To claim his decency, the latter invites his to accompany him in his celebration. Agreed Mehrotra follows Ahmed in and sits on a sofa.

Through Ahmed’s attitude seems to confirm the presence of his wife inside, yet his loss is doubtful in this regard. He finds no trace of anyone withing, while Ahmed’s activity and a few statements advocates opposite. Firstly he says, “I will see if she needs help with the chai’ (Khair, Night, 25). This suspicion vs confirmation of her presence can clearly be noticed in the following lines, “then he started, as if he had heard something, and said, ‘No, no, don’t worry; Mehrotra Sa’ab has to leave soon’. Obviously, he was replying to his wife in the kitchen, through I had not heard anything’ (Khair, Night, 27). Mehrotra gets uncomfortable, may be even a bit resentful’ (Night,28), when he becomes aware of the reality of Ahmed’s marriage with Roshni through ‘love jihad’, and his dubiousness deepens doubles with (really) unheard voice of the hosts spouse.

Ahmed, (the host) while negotiating with his boss (the guest) brings too plates (supposedly) of ‘halwa’. He places one of them before his guest, and with the other in his hand, sits on his chair and pretends to enjoy the traditional ‘halwa’ immensely. On his request, the guest picks up his plate and, to surprise, is stunned to come across the reality that the plate was empty, there was no ‘halwa’ at all. The situation takes an abrupt turn; and he sinks in a complete loss of sense to understand the reality. Ahmed, here, too, is confused and a confirmed prey to illusion, psychiely wondering in the past like any postcolonial being, and, to him, the presence of his (dead) wife “might be a figment of his troubled mind (E.Morison,61). This incident convulses the entire existence of Anil Mehrotra who is by then leading a contented life with his ‘simulated identity’ in his postcolonial simulation’ (Mustafa B., 497), but all of a sudden gear changes, and he find himself floating in some unknown medium ‘a denser slower one, something more resistant’ (khair, Night; 24). His integrated western sophistication, his Hollywood like living standard, his boasting of being “a man of action” (Khair, Night;42). “thinking logically” (Night; 42), running “life (and business) on clear lines” (Night 50), leading a “sensible life” (N.139,142), playing a “sensible game” (golf) (N.64), and having a “sensible” marriage (N.75) all disappears at a slight puff if ‘non existent halwa’ (E. Marino 61).

So far as Anil Mehrotra is concerned he has never ever full trust on Ahmed from the very first meeting, particularly owing to his Muslim background, and now the fresh incident of Ahmed’s insane like behaviour adds fuel to fire. For its treatment, he engages a private detective, Devi Prasad, to investigate and find out what is in the root of Ahmed’s bizzare behavior, what’s the reality of his private life. Ahmed’s mother is deceased is wife has already been killed in 2002 gujrat piot (in Godhra) and now he is living alone but in such a way as if his wife alive even today and living with him, or her soul is ever around. This fact is indicated y his statement which he utters in response to Mehrotra’s enquiry of the one window left open. He answer, “to seal a space is to shut out a soul” (Night;25). Ahmed’s extremely queer behavior puts the narrator into dilemma; he is unable to identify him accurately and says, ‘he was not a solid human being but something amorphous, imaginary, ghostly (Khair, Night:115). But, in his investigation, Mustafa Boyokgebiz sees Mehrotra’s situation perversely. He describes, “but he understands that it is not Ahmad but himself who amosphous. Throughout the novel, it is Amit who discovers his own illusion by unfolding Ahmed’s story”.(M. Boyokgebiz: 498). His confusion reaches its peak when he finds “Ahmed’s tiffine carrier with the smell of halwa n his locked office room” (M. Boyokgebiz:498). It is unbelievable, and he thinks apart, about his reality, about ‘the possibility of his insanity’ (498). By the incident which triggered the plot of the entire narrative Anil is comfortable enough to tag Ahmed as a lunatic fellow or “an Islamist terrorist”(498), having links with the underworld. But towards the completion of the novel, he is found ensnared in a severe dilemma as to what the reality is, when he is “delivered a fragrant tiffin career containing ‘halva’, which unlike any other person in his office, he can not only smell, but also see, and taste”. (E. Marino: 67). The previous episode of ‘invisible

halva' seems to be repeated after an year. This time the really invisible halwa, with its smell and taste, appears to be visible. The narrator , once again , is eset with the same doubt and delusion, and he fails to unlock the truth , the reality. In the opinion of Mustafa Boyugebiz, " his constructed world collapses and he cannot dare face the truth by opening the tiffin carrier."(498). It is evident in the statement of the narrator when he says ' I do not even dare open the tiffin carrier in front of you and anyone else. I do not dare take the risk (Khair, 2018:152). His disorientation , his suspense , and his fallacy deepens , and he is in a fix to decide whether he or Ahmed is delusive. Even Khair does not answer this question. He leaves it to the discretion of the reader.

Ahmed and his wife , Roshni belong to the marginalized class, who, perhaps, for this reason as per the postcolonial trend , deserve to be excommunicated , not only from their own community but also from the other community of which people like Anil Mehrotra are representative. Whatsoever details of Ahmed and his family is available through the narrators description is to be discussed in the study to explore what position in the human society they hold themselves. Ahmad is a member of the Muslim community , who though not crossing his communal norms , marry a girl named Roshni who is a member of some other unknown community , maybe Hindu or some north Indian hilly one. Her doubtful breeding ground fails to avail her of a solid social seat, and she is treated as ' inferior social animals' , hence , rejected to the core. This is evident here how the community or society decides the fate of such people who do not fall in with their social norms Ahmad or have no concrete identity – Roshni. They are brutally excluded and dehumanized as marginalized.

Otherness is in prevailing position in the novel , and Roshni is a marvellous specimen of identity crisis representing the 'other' of whom Bhabha points out , colonial discourse produces the colonized as a social reality which is at me on other and yet entirely knowledge and visible (1996:71-72). But as far as Roshni is concerned for reality as a social being is difficult to know , for her entire background is unknown to the other. that's why , she is defined differently and given different names "one of them was Roshni. (Khair , Night; 100). Her pitiable plight begins with her Islamic wedding with Ahmad, with regard to her countenance , with regard to he non-conversion into islam, she is not accepted in the Muslim community , and at the same time , for his unhealthy mental display on the Sab-E-Barat celebration day, he is also rejected by Hindu community represented by Anil Mehrotra.

We have a true but paradoxical explanation procreated by Khair about the acceptance and deniel of Roshni by both the communities. The Islamic denial has already been discussed earlier. Now the Hindu denial is evident in the lines of Mustafa Buyukgebiz, which say "one of the most striking point in Roshni's murder in the novel. During the first years of her marriage, she tries to be accepted as a Muslim. However Khair reveals that she is killed by the Hindu families in Gujrat Riots in 2002. Her murder is ironic since she is accused of being Muslim and, interestingly, her origin seems to be a source of confusion again." (M. Buyukgebiz,499).

Tabish Khair in his novel, Night of Happiness, with an unprecedented dexterity, interlocks disorientation and rejection (social)together with a view to discuss the theme which focusses on individuals who are marginalized in the society and hence, regarded as "inferior social animal". The author seems to ridicule the binary behavior of the neglected postcolonial individuals whose identity and belonging cultural as well as physical, is in dilemma. The novel beautifully records the fact how marginalized communities are treated and socially excluded in contemporary society. (M. Buyukgebiz,500).

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# Deliberative Democracy and the Capability Approach: Toward a Capability-Sensitive Deliberative Democracy

Dr. Kunal Kumar Yadav\*

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## Introduction

In the evolving landscape of contemporary political theory, *deliberative democracy* and the *capability approach* have emerged as two of the most compelling frameworks for rethinking justice, freedom, and political participation. Each paradigm challenges the reduction of democracy to mere preference aggregation and of justice to distributive equality. Yet each does so from a distinct vantage point: deliberative democracy emphasizes the procedural legitimacy of collective decision-making through reasoned discourse, while the capability approach focuses on the substantive freedoms that individuals require to live lives they have reason to value. Their intersection invites a rich philosophical inquiry—one that probes whether democratic legitimacy and human flourishing can be integrated into a coherent, capability-sensitive vision of deliberative politics.

Deliberative democracy, as theorized by thinkers such as Jürgen Habermas, John Rawls, and Joshua Cohen, grounds the legitimacy of political decisions in public reasoning among free and equal citizens. It aspires to a politics of mutual justification, where norms are validated not through power or preference but through inclusive and rational deliberation. However, critics have long noted that this procedural ideal often presupposes conditions—education, time, communicative competence, and material security—that many citizens do not in fact possess. The result is a paradox: deliberative democracy extols equality in discourse while ignoring the *inequalities that determine who can truly deliberate*.

The capability approach, developed by Amartya Sen and Martha Nussbaum, directly addresses this gap by redefining equality in terms of *real freedoms*—the capabilities people actually have to pursue valued ways of being and doing. It exposes the inadequacy of purely resource-based or procedural accounts of justice, arguing that what ultimately matters is not formal opportunity but substantive empowerment. Yet, while the capability approach excels in diagnosing injustice, it is less explicit about the democratic mechanisms through which collective decisions about capabilities are to be debated, prioritized, or institutionalized. In short, it tells us *what* to value, but less about *how* those values should be collectively chosen.

Bringing these frameworks together promises to correct the blind spots of each. A **capability-sensitive deliberative democracy** would recognize that meaningful deliberation presupposes certain threshold capabilities—such as education, voice, and social recognition—without which the very practice of public reasoning becomes exclusionary. Conversely, it would see democratic deliberation not merely as a procedure for making decisions, but as a process that can itself *expand human capabilities* for agency, empathy, and reflection. This synthesis reframes democracy as both a means and an end of human development.

The stakes of this integration are not merely theoretical. In an era of widening socio-economic inequalities, digital divides, and epistemic injustices, the challenge of ensuring that all citizens have both the voice and the capability to participate meaningfully in democratic life is urgent. A capability-sensitive deliberative democracy thus represents a transformative vision—one that moves beyond procedural fairness toward the deeper goal of enabling all persons to become capable co-authors of the collective life they share. The paper explores these possibilities through some research questions which are core to the very idea of capability-sensitive deliberative democracy. Here are these five research questions on which this paper is based.

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## Research Questions

### 1. Normative Integration

How can the *capability approach's* emphasis on individual freedom and agency be normatively reconciled with *deliberative democracy's* procedural focus on collective reasoning, without subordinating one framework's values to the other?

### 2. Epistemic Justice Question

To what extent can a *capability-sensitive deliberative model* correct epistemic injustices—such as testimonial and hermeneutic marginalization—within deliberative settings that traditionally privilege certain forms of rational discourse?

### 3. Institutional Design

What institutional mechanisms can operationalize *capability-sensitive deliberation* in pluralistic societies, ensuring that all participants possess the substantive freedoms necessary to engage meaningfully in democratic deliberation?

### 6. Power and Structural Inequality

How can a capability-sensitive deliberative framework address *structural power asymmetries*—such as class, gender, race, or digital divides—that limit both the capabilities for deliberation and the deliberative quality of outcomes?

### 7. Dynamic and Temporal Justice

In what ways can a *capability-oriented deliberative democracy* incorporate the *temporal dimensions of justice*—such as intergenerational capabilities and evolving preferences—within ongoing democratic reasoning and decision-making processes?

Deliberative democracy and the capability approach are two influential frameworks in contemporary political philosophy, both committed to enhancing human freedom, dignity, and participation. While deliberative democracy emphasizes public reasoning and collective decision-making, the capability approach—pioneered by Amartya Sen and Martha Nussbaum—focuses on expanding individuals' real freedoms to live the kind of lives they value. Integrating these perspectives offers a promising foundation for a more inclusive, just, and empowering model of democracy: a *capability-sensitive deliberative democracy*.

Deliberative democracy rests on the ideal that legitimate political decisions arise from free and reasoned discussion among citizens. Its central tenets—equality, reciprocity, and rational deliberation—presuppose that all participants can engage meaningfully in discourse. Yet, in practice, structural inequalities, power asymmetries, and social exclusions often prevent marginalized groups from fully participating. Formal equality in deliberative spaces does not automatically translate into real equality of voice. For example, individuals disadvantaged by poverty, lack of education, or discrimination may lack the capabilities necessary for effective deliberation. Thus, deliberative democracy, while normatively appealing, risks reproducing existing injustices unless it addresses these background inequalities.

Here, the capability approach provides a valuable corrective. By focusing on what people are *actually able to do and to be*, it moves beyond formal rights to assess substantive freedoms. Nussbaum's list of central human capabilities—such as practical reason, affiliation, and control over one's environment—offers a framework for evaluating whether individuals possess the conditions necessary for genuine democratic participation. Applying this lens to deliberative democracy means recognizing that participation requires not just the legal right to speak, but also the real opportunity to be heard and taken seriously.

A capability-sensitive deliberative democracy would therefore aim to expand the capabilities that enable meaningful deliberation. This involves both institutional and social dimensions. Institutionally, it calls for the design of deliberative forums that are accessible, inclusive, and responsive to diverse communicative styles—not merely privileging rational-argumentative discourse. Socially, it requires addressing the material and educational inequalities that inhibit participation. For instance, public policies ensuring education, access to information, and freedom from fear or hunger are essential preconditions for deliberative engagement. Moreover, recognizing emotional, narrative, and experiential forms of communication as legitimate contributions can help democratize deliberation, valuing the voices of those traditionally marginalized.

Such an integration also enriches the capability approach itself by emphasizing the collective and dialogical dimensions of human flourishing. While Sen and Nussbaum focus on individual capabilities, deliberative democracy underscores that capabilities are often shaped and expanded through public reasoning and collective decision-making. Deliberation not only reflects existing capabilities but can also *create* them—by fostering self-respect, public trust, and civic agency. In this sense, democratic participation is both a means to and an end of capability expansion. A capability-sensitive deliberative democracy bridges the gap between procedural fairness and substantive equality. It envisions a political order where citizens not only have a formal seat at the table but also possess the real freedom and voice to influence collective outcomes. By linking deliberation with capabilities, democracy becomes not merely a mechanism for decision-making, but a process of empowerment that enhances human flourishing and social justice.

### **Normative Integration**

The capability approach and deliberative democracy represent two distinct yet convergent traditions in normative political theory. The former, advanced by Amartya Sen and Martha Nussbaum, privileges individual agency and the expansion of substantive freedoms—the *capabilities* that enable persons to lead lives they have reason to value (Sen, 1999; Nussbaum, 2011). The latter, articulated by theorists such as Jürgen Habermas and John Rawls, foregrounds the procedural legitimacy of collective decision-making through public reasoning (Habermas, 1996; Rawls, 1993). At first glance, the two appear to operate on different normative registers: one is anthropocentric and pluralistic, oriented toward individual well-being; the other is procedural and intersubjective, concerned with the legitimacy of collective outcomes. The challenge, then, is to reconcile the capability approach’s emphasis on individual freedom with deliberative democracy’s procedural commitment to collective reasoning—without reducing one framework’s values to the other.

This reconciliation begins with recognizing that both frameworks are fundamentally *freedom-centered*, though in distinct modalities. The capability approach conceives freedom substantively—as the real opportunities individuals have to pursue valued beings and doings—while deliberative democracy conceives freedom procedurally—as the exercise of public autonomy through participation in collective reasoning (Sen, 2009; Habermas, 1996). The task is not to collapse these two notions but to understand them as mutually constitutive: substantive capability enables procedural participation, and deliberative participation, in turn, enriches individual capability. A robust synthesis must therefore treat individual agency and collective reasoning as co-generative rather than hierarchical.

Sen’s critique of purely procedural conceptions of justice already gestures toward such a synthesis. For Sen (2009), justice must be assessed not only by adherence to fair procedures but by the *actual freedoms* people enjoy. A deliberative system that is procedurally perfect but substantively exclusionary—because participants lack the capabilities to reason, speak, or dissent—cannot be normatively defensible. Conversely, a society that guarantees material capabilities without allowing citizens to exercise public reasoning fails to respect their status as autonomous agents. The reconciliation, therefore, rests on a dual commitment: institutions must be both *capability-enabling* and *deliberatively legitimate*.

This dual commitment requires reinterpreting deliberative democracy through a *capability-sensitive lens*. Procedural fairness must include the capability for deliberation itself—the freedom to articulate reasons, comprehend arguments, and engage without domination or fear (Dryzek, 2000). These are not pre-political conditions but normative prerequisites for meaningful participation. Likewise, the capability approach must internalize a deliberative dimension: the identification, prioritization, and contextual interpretation of capabilities cannot be insulated from democratic discourse. Nussbaum’s (2011) universalist list of central capabilities, for instance, must remain open to contestation through public reasoning to retain its democratic legitimacy.

The reconciliation thus entails a shift from viewing the individual and the collective as opposing poles to understanding them as interdependent loci of freedom. Deliberation is the social medium through which capabilities are both recognized and expanded; capability equality, in turn, provides the material and psychological basis for deliberative equality. Neither the procedural legitimacy of democracy nor the substantive freedom of the capability approach can stand alone as a sufficient criterion of justice. Rather, they form a dialectical unity: deliberation without capability is empty, capability without deliberation is blind.

In conclusion, a non-subordinating synthesis between the capability approach and deliberative democracy requires reframing both within a shared *relational conception of freedom*—where autonomy is neither merely individual nor purely collective, but dialogically constituted. The normative task is not to merge the two frameworks into a single doctrine, but to maintain a dynamic equilibrium between them: a politics where public reason and individual capability continuously sustain and critique one another in the pursuit of justice.

### **Epistemic Justice Question**

The persistent problem of epistemic injustice—where individuals are wronged specifically in their capacity as knowers—poses a profound challenge to deliberative democracy. Testimonial injustice, wherein a speaker’s credibility is unfairly deflated, and hermeneutic injustice, where collective interpretive resources fail to render certain experiences intelligible (Fricker, 2007), reveal how epistemic asymmetries subvert the very conditions of inclusive public reasoning. Traditional deliberative models, grounded in procedural ideals of rational-critical discourse, often reproduce these injustices by privileging forms of communication aligned with dominant epistemic norms—abstract reasoning, detached objectivity, and formal argumentation (Young, 2000). Against this backdrop, a *capability-sensitive deliberative model* offers a promising, though not exhaustive, framework for epistemic redress, by embedding the moral and political significance of epistemic agency within both the conditions and the conduct of deliberation.

The capability approach, as developed by Amartya Sen (1999, 2009) and Martha Nussbaum (2011), reframes justice in terms of the real freedoms and opportunities individuals possess to achieve valuable functionings. When extended to the epistemic domain, capabilities such as *senses, imagination, and thought or affiliation* become prerequisites for epistemic participation: the ability to understand, articulate, and be recognized as a legitimate contributor to collective reasoning. A capability-sensitive deliberative framework, therefore, reconceptualizes participation not merely as procedural inclusion but as *substantive epistemic empowerment*—ensuring that citizens possess the actual capabilities necessary to make their voices intelligible, credible, and influential in the discursive arena.

From this perspective, testimonial injustice can be mitigated by expanding what Nussbaum (2011) calls the “capability for affiliation”—the freedom to engage with others as equals in mutual recognition. Deliberative institutions must cultivate epistemic environments where social status, accent, affect, or embodiment do not prefigure credibility deficits. This demands structural interventions: institutionalizing facilitation practices that disrupt implicit bias, valorizing nontraditional communicative forms (storytelling, narrative, emotion), and ensuring representational diversity within deliberative fora (Dryzek, 2000; Bohman, 2006). In doing so, deliberation ceases to be a terrain of epistemic domination and becomes a space for the *co-creation* of interpretive authority.

Hermeneutic injustice—rooted in the collective inability to render marginalized experiences intelligible—requires an even deeper epistemic transformation. A capability-sensitive model demands that democratic deliberation itself be a *hermeneutic capability-expanding process*. Through inclusive discourse, participants collectively refine the interpretive frameworks that shape public understanding. Yet such expansion presupposes that participants have the epistemic capabilities to question dominant narratives and articulate alternative meanings. Here, deliberation functions not merely as the exchange of reasons but as a *site of epistemic innovation*, enabling the emergence of new social meanings and shared understandings (Anderson, 2012). Thus, the capability approach reframes epistemic justice not only as protection from marginalization but as the active enhancement of collective interpretive capacities.

Nonetheless, this integration faces intrinsic limits. Capability expansion alone cannot dismantle entrenched epistemic hierarchies if the very norms of deliberation remain governed by exclusionary ideals of rationality. To correct epistemic injustice, deliberative democracy must adopt a *pluralized epistemic rationality*—one that treats emotion, narrative, and embodied expression as cognitively legitimate (Young, 2000). A capability-sensitive deliberative model succeeds only insofar as it reconstitutes what counts as “reason” in public reasoning.

In conclusion, while a capability-sensitive deliberative democracy cannot wholly eradicate epistemic injustice, it provides the most normatively robust path toward mitigating testimonial and hermeneutic marginalization. By reconfiguring deliberation as both a capability-expanding and

epistemically plural practice, it transforms public reason from an instrument of exclusion into a shared enterprise of mutual recognition and interpretive freedom.

### **Institutional Design**

Operationalizing capability-sensitive deliberation within pluralistic societies entails designing institutions that not only guarantee formal inclusion but also cultivate the *substantive freedoms* necessary for meaningful participation. In contexts characterized by asymmetrical power relations, linguistic diversity, and socio-economic disparities, deliberative equality cannot be presumed—it must be institutionally *produced*. A capability-sensitive institutional architecture seeks to transform deliberation from a merely procedural exercise into a *capability-enhancing* process, ensuring that all citizens can genuinely function as epistemic and political agents.

At the core of this approach lies the insight from Amartya Sen (1999, 2009) and Martha Nussbaum (2011) that justice demands attention to what individuals are actually able to *do and be*. Translating this normative framework into deliberative practice requires institutions that build and sustain *capabilities for voice, reasoning, and recognition*. These are not natural attributes of citizens but socially cultivated capabilities, dependent upon education, material security, and communicative empowerment. As Iris Marion Young (2000) and James Bohman (2006) argue, deliberation presupposes conditions of equality that rarely exist; institutions must thus actively *compensate* for structural inequalities that distort participation.

First, epistemic and communicative capability-building institutions—such as civic education programs, local deliberative forums, and public reasoning workshops—should be integral to democratic infrastructures. These mechanisms enhance citizens’ capabilities to articulate interests, interpret norms, and evaluate arguments (Anderson, 2012). They democratize not only access to discourse but competence within it, cultivating epistemic self-confidence among marginalized groups who have been historically excluded from formal deliberative spaces.

Second, institutional pluralization of deliberative formats is crucial. Traditional forums privileging rational-argumentative speech must coexist with dialogical modes that recognize narrative, affective, and embodied forms of reasoning (Dryzek, 2000; Young, 2000). Mini-publics, participatory budgeting assemblies, and citizens’ juries should employ multilingual facilitation, storytelling methods, and culturally embedded communicative practices. This epistemic diversification ensures that deliberation accommodates multiple ways of knowing, thereby expanding the *capability for affiliation*—the freedom to engage as an equal with others despite difference (Nussbaum, 2011).

Third, institutional safeguards against domination—including independent facilitation, equitable speaking time, and reflexive monitoring of power asymmetries—are essential. These mechanisms operationalize Sen’s (2009) insistence on “public reasoning without exclusion,” transforming procedural fairness into substantive empowerment. Moreover, redistributive policies ensuring basic material and educational security must be treated as preconditions for deliberative capability, not external supplements.

In conclusion, capability-sensitive deliberation demands institutions that do more than convene citizens—they must *enable* them. By embedding capability-building, epistemic pluralism, and anti-dominance safeguards into deliberative design, pluralistic societies can realize democracy not merely as a procedural mechanism, but as a sustained project of human development and mutual recognition.

### **Power and Structural Inequality**

A capability-sensitive deliberative framework offers a profound rearticulation of democratic justice by linking the *conditions of participation* to the *quality of deliberative outcomes*. Structural power asymmetries—rooted in class, gender, race, and digital exclusion—undermine both the *capabilities for deliberation* and the *epistemic legitimacy* of public reasoning. Traditional deliberative models, emphasizing procedural equality, inadequately confront these asymmetries because they presuppose participants as already capable, rational agents. In contrast, a capability-sensitive model, grounded in the work of Amartya Sen (1999, 2009) and Martha Nussbaum (2011), reconceives deliberation as both a *means* of justice and a *site* of capability formation, thus embedding social empowerment within the architecture of democratic discourse.

Class-based inequalities limit deliberative agency through material deprivation and unequal access to education and time (Fraser, 1990; Dryzek, 2000). To redress this, capability-sensitive deliberation requires redistributive and participatory institutions that guarantee the *capability for voice*—the real

freedom to articulate and contest reasons (Bohman, 2006). Gendered asymmetries further reveal the inadequacy of formal inclusion. Feminist theorists such as Young (2000) and Mansbridge (1999) demonstrate that gendered norms of speech and emotion systematically devalue women's epistemic contributions. A capability-sensitive framework must thus institutionalize *recognitive justice* (Honneth, 1995) by legitimizing affective, narrative, and experiential modes of reasoning, expanding Nussbaum's (2011) *capability for affiliation* as a deliberative principle.

Racial and colonial hierarchies, as Mills (1997) and Anderson (2012) note, distort the epistemic field by privileging dominant knowledges and silencing marginalized epistemologies. Capability-sensitive deliberation must therefore embrace *epistemic pluralism* (Medina, 2013), ensuring that marginalized knowledge systems inform collective reasoning. This entails reflexive institutions—citizens' assemblies, participatory councils, and epistemic audits—that consciously redistribute hermeneutic power. The *digital divide* compounds these asymmetries by creating informational and technological exclusions that restrict communicative participation (Couldry & Mejias, 2019). Digital capability-building initiatives, open-access infrastructures, and algorithmic transparency are thus integral to deliberative equality.

Crucially, deliberation itself must be restructured to counteract domination. Independent facilitation, inclusive agenda-setting, and deliberative design sensitive to intersectional constraints (Crenshaw, 1991) operationalize Sen's (2009) notion of "public reasoning without exclusion." The framework thus moves beyond procedural neutrality toward *transformative inclusion*, where capability enhancement is both a precondition and an outcome of democratic deliberation.

In sum, a capability-sensitive deliberative democracy addresses structural asymmetries not by abstracting from them, but by embedding their correction within its institutional logic. It transforms deliberation into a reflexive practice of justice—where the expansion of human capabilities and the democratization of epistemic power are one and the same project.

#### **Dynamic and Temporal Justice**

A capability-oriented deliberative democracy must integrate *temporal justice*—the recognition that capabilities and preferences evolve across generations and over time. Conventional deliberative models often privilege synchronic decision-making, neglecting the *diachronic* dimensions of justice. Following Sen's (2009) view of justice as a comparative and dynamic process, deliberation must institutionalize mechanisms for *future-oriented reasoning*. Intergenerational capability protection requires embedding foresight councils, participatory scenario deliberations, and "future citizens' assemblies" that give procedural standing to future interests (Dobson, 1998; Goodin, 2013).

Nussbaum's (2011) emphasis on the fragility and sustainability of central capabilities underscores the moral imperative to secure ecological and social conditions for future flourishing. Dryzek (2016) and Barry (1999) argue that deliberative systems must internalize ecological time—linking present decisions to long-term capability reproduction. Furthermore, evolving preferences, as discussed by Elster (1983), necessitate *reflexive deliberation*—periodic public reasoning that revisits and revises collective priorities. Through institutionalized reflexivity, deliberative democracy becomes temporally *adaptive*, ensuring both present legitimacy and future justice.

Ultimately, a capability-sensitive deliberative framework operationalizes temporal justice by aligning sustainability, reflexivity, and intergenerational responsibility within democratic reasoning itself. It transforms deliberation from a momentary consensus into an enduring practice of temporal stewardship.

#### **Conclusion**

A capability-sensitive deliberative democracy must be understood not as a hybrid of two normative paradigms, but as a radical reconstitution of democratic reason itself—one that unites the *procedural rationality* of deliberation with the *substantive freedom* of human capability. Across the preceding inquiries—spanning epistemic injustice, structural asymmetries, and temporal justice—a single insight emerges: democracy cannot be just if its deliberative procedures presuppose rather than produce the capabilities necessary for participation. Insofar as deliberative legitimacy depends upon the equality of participants, capability deprivation constitutes not merely a social or economic deficit, but a moral and epistemic one. Thus, the capability approach does not supplement deliberative democracy; it transforms its ontological assumptions about agency, autonomy, and justice.

Incorporating capability theory reorients deliberation toward *reflexive inclusivity*: institutions must cultivate the material, cognitive, and emotional conditions under which citizens can reason as equals. Addressing testimonial, hermeneutic, and structural injustices requires embedding capability-building and epistemic pluralism into deliberative design. Moreover, the framework's temporal dimension extends justice across generations, demanding that public reasoning be sensitive to evolving capabilities and ecological sustainability. The deliberative act thereby becomes a transgenerational exercise in *temporal stewardship*—sustaining not only discourse but the very human and environmental conditions that make deliberation possible.

Ultimately, a capability-sensitive deliberative democracy reconceives freedom as *relational and developmental*: individuals are free not simply when they participate, but when institutions enable them to deliberate meaningfully, across lines of difference and across time. Justice thus resides neither in perfect procedure nor in distributive equilibrium, but in the continuous democratic cultivation of human potential. By embedding empowerment within deliberation, and deliberation within empowerment, the capability-sensitive model transforms democracy into a dynamic practice of *co-authored human flourishing*—a politics of recognition, inclusion, and enduring possibility.

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# Effect of External Electric Fields on Raman Modes in Carbon Nanotubes: A Theoretical Perspective

Krishna Prasad Singh\*

## Abstract-

*The interaction of external electric fields with carbon nanotubes (CNTs) presents a rich landscape of physical phenomena, influencing their electronic, vibrational and optical behaviour. In this paper we explore—from a theoretical viewpoint—the effects of external electric fields on the Raman-active vibrational modes of carbon nanotubes. We review the fundamentals of Raman modes in CNTs (radial breathing mode, tangential (G) modes, defect and overtone modes), discuss how external fields (static, gating, applied fields) can modulate phonon frequencies and linewidths via mechanisms such as doping, electron-phonon coupling renormalization, synthetic electric fields, and Joule heating. We develop a simple phenomenological model linking field-induced changes in charge carrier density, screening, phonon self-energy shifts, and therefore Raman shifts, and outline expected dependencies on field strength, tube chirality, diameter and metallic vs semiconducting character. Finally we highlight gaps in theory, propose future computational approaches and discuss implications for nano-device characterization using Raman spectroscopy under applied electric bias.*

**Keywords-** Carbon nanotubes (CNTs), Raman spectroscopy, External electric field, Non-adiabatic effects, Theoretical modeling. Nano-electronic devices and Vibrational properties etc.

Carbon nanotubes (CNTs) – particularly single-walled carbon nanotubes (SWCNTs) – exhibit unique one-dimensional structural, electronic and vibrational properties, making them promising for nano-electronics, sensors and opto-electronic devices. Raman spectroscopy is one of the most powerful non-destructive characterization tools for CNTs, providing direct access to vibrational modes sensitive to structure (diameter, chirality), environment, doping, strain and other perturbations (D. Kahn & J. P. Lu, 2009).<sup>1</sup> Key Raman features such as the radial breathing mode (RBM) and the tangential mode (G-band) allow determination of diameter, identification of metallic vs semiconducting tubes, and monitoring of environmental/perturbation effects.

In device contexts, CNTs are often subject to external electric fields i.e., electrostatic gating, biasing, or field exposures. These fields change carrier densities, Fermi level, screening, and may induce structural or electronic perturbations. Z. Liu, J. Zhang & B. Gao, (2022), Raman spectroscopy of strained single-walled carbon nanotubes. It is therefore of great interest to understand how the Raman-active phonon modes of CNTs respond to external electric fields: how their frequencies shift, how intensities and linewidths change, and what physical mechanisms underlie these changes.<sup>2</sup> From a theoretical perspective, this involves considering electron-phonon coupling, phonon self-energy renormalization, field-induced carrier perturbations, Joule heating and non-adiabatic effects in low-dimensional systems.

In this paper we provide a theoretical perspective on this effect:

- (i) review the Raman modes in CNTs;
- (ii) summarise the mechanisms by which external electric fields may influence phonons/Raman;
- (iii) build a phenomenological model of field-dependent Raman shifts in CNTs;
- (iv) discuss parameter dependencies (field strength, diameter, chirality, metallic vs semiconducting);
- (v) outline computational/theoretical challenges and future directions; and (vi) highlight implications for practical Raman diagnostics of CNT devices under applied fields.

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**Raman-active Vibrational Modes in Carbon Nanotubes-** Vibrational modes of carbon nanotubes derive from the phonon branches of the parent graphene sheet, plus modifications due to rolling up (zone-folding), curvature, confinement and inter-tube interactions (in multi-walled or bundled tubes) G. Fanchini, H. E. Unalan & M. Chhowalla (2006).<sup>3</sup> at the Brillouin zone centre ( $\Gamma$ -point), group-theory and lattice dynamics show that only certain modes are Raman-active (i.e., change polarizability) depending on symmetry. In SWCNTs, the two most prominent Raman features are:

- Radial breathing mode (RBM) — all carbon atoms oscillate in phase radially, expanding and contracting the tube diameter. The frequency  $\omega_{\text{RBM}}$  scales approximately inversely with diameter:  $\omega_{\text{RBM}} \approx A/d + B$ .
- Tangential (G) modes — primarily derived from the in-plane optical phonons (E<sub>2g</sub>) of graphene around  $\sim 1580 \text{ cm}^{-1}$ . In CNTs curvature and confinement split the G-band into G<sup>+</sup> and G<sup>-</sup> features, with assignments depending on tube metallicity and direction of vibration (axial vs circumferential).
- There are defect-induced D modes ( $\sim 1350 \text{ cm}^{-1}$ ), overtone and combination modes (2D, D+G, etc) and low-frequency inter-tube or breathing-like modes in multi-walled or bundled tubes.

**Diameter, chirality and environment dependencies-** The RBM frequency is very sensitive to diameter (and hence chiral index (n,m)), making it a useful structural probe. For SWCNTs of diameter  $\sim 0.9\text{--}2 \text{ nm}$  the RBM lies roughly in the  $120\text{--}300 \text{ cm}^{-1}$  range. The G-band features depend on metallic vs semiconducting tubes: metallic tubes often show broader, asymmetric G<sup>-</sup> peaks due to stronger electron-phonon coupling and Kohn anomalies. Environmental effects (bundling, substrate, doping, strain) shift frequencies and modify intensities. For example, inter-tube van der Waals coupling in multi-walled tubes shifts breathing-like modes.

*Sensitivity of Raman modes to perturbations-* Because the phonon frequencies and linewidths depend on the phonon self-energy (which includes contributions from electron-phonon coupling, anharmonic phonon-phonon scattering, and environmental screening), perturbations such as strain, doping, external fields, temperature and substrate interactions tend to shift or broaden the Raman peaks. S. Gayen, S. N. Behera & S. M. Bose (2023), “Raman spectra of unfilled and filled carbon nanotubes: theory, tensile strain leads to downshifts of high-frequency G modes and linear dependence with strain percentage.”<sup>4</sup>

Thus, monitoring changes in Raman features under external perturbations is a well-established route to inferring the underlying physical changes in CNTs.

**Hypothesis-** External electric fields modify the Raman-active vibrational modes of carbon nanotubes (CNTs) primarily through field-induced charge carrier modulation, resulting in measurable shifts in phonon frequencies and linewidths that depend on tube chirality, diameter, and metallicity.

**Methodology-** This work adopts a theoretical and analytical approach based on established principles of solid-state physics, phonon theory, and electron-phonon interactions in one-dimensional nanostructures. The methodology combines first-principles reasoning, phenomenological modeling, and comparative analysis with reported experimental trends.

Begin with the vibrational properties of carbon nanotubes, derived from the zone-folding model of graphene phonon dispersion.

Identify Raman-active modes—particularly the radial breathing mode (RBM) and tangential (G) modes—as the focus for electric-field interaction analysis.

Express the phonon frequency shift ( $\Delta\omega$ ) in terms of the real part of the phonon self-energy ( $\Pi$ ):  $\Delta\omega = 1/2\omega_0 \text{Re}\{\Pi(\omega_0, n_e) - \Pi(\omega_0, 0)\}$  where,  $n_e$  is the field-dependent carrier concentration. Treat the external field ( $E_{\text{ext}}$ ) as a perturbation to the CNT Hamiltonian. Use the tight-binding approximation for electronic band structure and the Fröhlich-type Hamiltonian for electron-phonon interaction. Relate the carrier modulation  $\Delta n_e$  to applied field strength by electrostatic gating principles:  $\Delta n_e = C_g V_g / e$ , where  $C_g$  is gate capacitance per unit length and  $V_g$  is equivalent gate voltage.

### Mechanisms by which External Electric Fields Affect Raman Modes

Field-induced carrier density and doping effects- An external electric field (via gating or bias) can change the carrier concentration or Fermi level of a carbon nanotube especially in device configurations (field-effect transistor, electrolyte gating, or bias across the tube). This modulation of the Fermi level influences electron-phonon coupling. For instance, in metallic SWCNTs tuning the Fermi level away from band crossing reduces phonon softening due to Kohn anomaly, resulting in phonon hardening (shift upward of G-mode frequency) and linewidth narrowing. Vasili Perebeinos & Phaedon Avouris (2017)<sup>5</sup>, “Exciton Ionization, Franz-Keldysh and Stark Effects in Carbon Nanotubes.

A dielectric (gate) field also alters screening and the dynamic polarizability of the electron gas, so that the phonon self-energy (real and imaginary parts) is modified. The shift in phonon frequency  $\Delta\omega$  can be expressed in first order as:

$$\Delta\omega \approx 1/2\omega_0 \Re \{ \Pi(\omega_0; n_e) - \Pi(\omega_0; n_e=0) \}$$

where  $\omega_0$  is the unperturbed phonon frequency and  $\Pi(\omega; n_e)$  is the phonon self-energy dependent on carrier density  $n_e$ . For increased  $n_e$  screening typically reduces electron-phonon coupling strength, leading to a phono-non frequency shift. Indeed for graphene the electric-field effect on the G-mode frequency is linear in Fermi energy.

Thus in CNTs, external fields changing charge carrier density produce Raman mode shifts via carrier doping.

**Joule heating and thermal effects under applied bias-** When a CNT is biased or subject to a strong field, current flows and resistive heating (Joule heating) can raise the phonon populations (especially optical phonons) and shift Raman peaks (typically to lower frequency) and broaden linewidths because of increased phonon anharmonic scattering and thermal expansion. For example, non-equilibrium hot optical phonons in metallic CNTs under current have been mapped via Raman. Therefore some of the observed field-induced Raman shifts may be due to heating rather than purely field or doping effects; distinguishing them is important. Electric field effect tuning of electron-phonon coupling in graphene,” Phys. Rev. Lett. (2007).<sup>6</sup>

**Synthetic electric fields, phonon damping and non-adiabatic effects-** In metallic CNTs and graphene, moving lattice distortions (phonons) can couple to induced currents (synthetic electric fields) leading to phonon damping. In one theoretical work the “synthetic electric field” associated with a time-varying vector potential generated by lattice motion leads to damping of phonons in CNTs/graphene.

Thus external static or oscillating fields may enhance or suppress these damping channels, altering phonon linewidth (and thus Raman peak width) and may slightly shift phonon frequencies via non-adiabatic self-energy corrections.

**Electric-field-induced strain or electro-mechanical effects-** An external field may also produce electrostatic forces on charged or polarisable tubes (especially in composites or on substrates) causing small deformations, changes in curvature or effective strain. Since strain shifts phonon frequencies (as seen in the literature) such field-induced mechanical effects may contribute indirectly to Raman mode changes.

**Summary of interacting mechanisms-** In summary, when an external electric field is applied to a CNT, the Raman-active modes may change via: (i). changes in carrier density/ screening / electron-phonon coupling; (ii) Joule heating from applied bias; (iii) synthetic electric-field induced phonon damping/non-adiabatic effects; (iv) electrostatic deformation or strain; (v) modulation of chemical environment or doping by field-induced adsorption/desorption. The superposition of these contributions determines the observed Raman shift, intensity change and linewidth variation.

### Phenomenological Model of Field-dependent Raman Shifts in CNTs

*Model setup and assumptions-* Let us consider a single-walled carbon nanotube (SWCNT) of diameter  $d$ , chirality  $(n,m)$  which may be metallic or semiconducting. An external static electric field

$E_{\text{ext}}$  is applied along the axis (or transverse) or via a gate electrode, leading to a doping change  $\Delta n_e$  in carrier concentration (electrons or holes). Neglecting large structural deformation, we assume that the primary effect on phonons is via carrier modulation (mechanism i) and Joule heating (mechanism ii). Then the shift in a phonon frequency  $\omega$  can be written approximately as:

$$\Delta\omega(E_{\text{ext}}) = \Delta\omega_{\text{EPC}}(\Delta n_e) + \Delta\omega_{\text{heat}}(T_{\text{eff}})$$

where  $\Delta\omega_{\text{EPC}}$  arises from electron-phonon coupling renormalization due to  $\Delta n_e$ , and  $\Delta\omega_{\text{heat}}$  arises from an effective phonon temperature  $T_{\text{eff}}$  elevated by current or field.

#### 4.2 Electron-phonon coupling contribution

We adopt a simplified form: assume that the phonon self-energy real part shifts as:

$$\Re\{\Pi(\omega_0; \Delta n_e)\} \approx -\alpha \Delta n_e$$

So that:

$$\Delta\omega_{\text{EPC}}(E_{\text{ext}}) \approx -\alpha/2\omega_0 \Delta n_e$$

where  $\alpha$  is a coupling coefficient with dimension of frequency $\times$ (carrier-density) $^{-1}$ . The negative sign indicates that increased carriers typically soften the phonon (reduce  $\omega$ ) in metallic tubes via Kohn anomaly, but in semiconducting tubes increased carriers may lead to hardening (depending on sign of coupling).

Thus:

$$\Delta\omega_{\text{EPC}}(E_{\text{ext}}) \approx -\alpha/2\omega_0 C E_{\text{ext}}$$

if we assume  $\Delta n_e$  scales linearly with applied field for small fields, with proportionality constant  $C$ .

Thermal (Joule-heating) contribution

Current  $I$  flows under bias  $V$  or field  $E_{\text{ext}}$ . Joule heating raises lattice temperature and introduces phonon red-shift and linewidth broadening. A phenomenological relation:

$$\Delta\omega_{\text{heat}} \approx -\beta(T_{\text{eff}} - T_0)$$

with  $\beta$  a sensitivity coefficient ( $\text{cm}^{-1}$  per K).  $T_{\text{eff}}$  may scale roughly as  $I^2 R$  or  $E_{\text{ext}}^2$  for a given geometry. So:

$$\Delta\omega_{\text{heat}}(E_{\text{ext}}) \approx -\beta' E_{\text{ext}}^2$$

for small  $R$  and geometry constant. Note that this contribution is always a downward shift.

Combined dependency and predictions- Hence for small fields we predict:

$$\Delta\omega(E_{\text{ext}}) \approx -\alpha C/2\omega_0 E_{\text{ext}} - \beta' E_{\text{ext}}^2$$

Thus we expect a linear term (due to EPC/doping) plus a quadratic term (due to heating). Depending on sign of  $\alpha$  (softening vs hardening) and magnitude of  $\beta'$ , the net shift may be upward or downward at low fields, then downward dominating at higher fields due to heating.

For metallic tubes, where doping moves the Fermi level away from band-crossings, the EPC term may be positive (hardening  $\rightarrow +\Delta\omega$ ) and dominate at low fields, giving an initial blue shift. At higher bias/heating, the red-shift may dominate. In semiconducting tubes, doping effect may always lead to softening, so net downward shift may result.

#### Diameter, chirality and field-orientation effects

- From our model dependencies: Smaller diameter (higher curvature) leads to stronger electron-phonon coupling and thus larger  $\alpha$ ; hence the EPC contribution is stronger.
- Metallic vs semiconducting: metallic tubes have stronger EPC and Kohn anomaly, so larger  $\alpha$  and more prominent field response.
- Field orientation: axial vs transverse field may produce different  $\Delta n_e$  scaling ( $C$ ) and different Joule heating ( $\beta'$ ) depending on contacts.
- Environment: Substrate thermal conductance, bundling, gate dielectric coupling will influence  $T_{\text{eff}}$  and thus  $\beta'$ .

At very high fields structural damage, field-emission or breakdown may interfere.

Thus; one expects that for small diameter metallic SWCNTs under axial gating/bias the Raman G-mode will shift upward at moderate field then downwards at large field, whereas RBM behaviour may differ: RBM is less influenced by doping but more by strain/thermal effects, so predominantly red-shift with heating.

**Review of Experimental / Theoretical Evidence-** Although the focus of this paper is theoretical, it is helpful to compare some existing literature:

Electric field effect tuning of electron-phonon coupling in graphene,” Phys. Rev. Lett. (2023) In a study on thin films of SWCNTs subject to external electric fields, Fanchini et al. reported downshifts of the D and G modes, reduction of intensity, and increasing RBM intensity for metallic SWCNTs under field. These results are broadly consistent with our combined model (domination of heating/quadratic term, red shift).<sup>7</sup>

- I. In metallic SWCNTs gating experiments (via electrolyte gating) showed that tuning the Fermi level influenced the G mode: the  $G^-$  peak softened near the band crossing (low gating) and hardened (blue shift) when Fermi level was moved away, consistent with the Kohn-anomaly picture.
- II. For graphene, gating induced linear dependence of G mode frequency on Fermi energy, confirming the EPC/doping mechanism.
- III. Regarding synthetic electric fields and phonon damping: in theory, effective electric-field from time-varying vector potentials was shown to cause phonon damping in CNTs and graphene, qualitatively aligning with linewidth changes under applied bias.

These observations validate the conceptual components of our model: linear doping/EPC term, quadratic heating term, and linewidth changes via damping.

**Implications for Raman Characterisation of CNT Devices-** When using Raman to monitor CNTs in devices under bias (for example as interconnects, sensors or transistors), one must account for field/doping induced shifts separate from structural changes such as strain or defects.

Field-dependent Raman can serve as a diagnostic of carrier density, doping level or device heating—via appropriate calibration using our model parameters ( $\alpha$ ,  $\beta'$ ).

In particular, the RBM may serve as a temperature/strain monitor under bias, while the G-band may track doping and electron-phonon coupling changes.

For metallic vs semiconducting tubes the field response differs; therefore, Raman under field can assist in distinguishing tube type in device configuration.

Care must be taken to disentangle pure field/doping effects from Joule heating: monitoring anti-Stokes/Stokes intensity ratios or temperature calibration may help isolate heating.

#### **Future Directions and Theoretical Challenges-**

- a) There remain several open theoretical questions and computational challenges:
- b) Quantitative calculation of  $\alpha$  (EPC coefficient) as a function of diameter, chirality and carrier density under field is needed. Ab initio or tight-binding + many-body perturbation theory calculations could supply this.
- c) Modelling Joule-heating in real device geometries (substrate, contact thermal resistance) and its coupling into phonon populations is complex.
- d) Non-adiabatic phonon self-energy contributions in metallic CNTs (with Kohn anomalies) under field need deeper exploration.
- e) Synthetic electric fields and current-induced phonon damping under high bias conditions require further theoretical modeling linking field geometry, conduction electrons, and phonon lifetimes.
- f) Effects of transverse vs axial fields, multi-walled CNTs and environmental coupling (substrate, bundling) on field-Raman behaviour are under-explored.
- g) Time-resolved Raman under dynamically varying electric fields may reveal non-equilibrium phonon populations and transient effects.

**Conclusion-** In this theoretical perspective we have examined how external electric fields influence Raman-active vibrational modes in carbon nanotubes. We have reviewed the underlying Raman modes (RBM, G-band), laid out the mechanisms by which fields affect phonons (like doping/EPC, heating, synthetic fields, electro-mechanics), developed a phenomenological model predicting field-dependence (linear doping term + quadratic heating term), and discussed parameter dependencies

(diameter, chirality, metallic vs semiconducting). Comparison with experimental and theoretical literature supports the qualitative validity of this model. Finally, we highlighted implications for Raman diagnostics of CNT devices under bias and proposed future theoretical avenues. Understanding field-induced Raman shifts deepens our capability to use Raman spectroscopy as a probe of CNT device physics, and informs the design and monitoring of nanoscale systems where electrical and vibrational phenomena intertwine.

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# Environmental Concern in Buddhism

Awadhesh Kumar Sah\*

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## Abstract

*Global environmental crisis has become one of the most topical issues of the twenty-first century that requires not only effective approaches to sustainable life but also ethical principles of it. Buddhism, having very strong philosophical traditions and moral principles, offers some valuable information about the ecological consciousness and environmental protection. The teaching of the Buddhists is based on the principles of ahimsa (non-violence), pratityasamutpada (dependent origination), and the Middle Path which means that all living beings are interdependent, and the human being has moral duties towards nature. Ecological consciousness is apparent in canonical texts, such as the Jataka stories and the Vinaya precepts in which the compassion and restraint of human beings extends beyond human beings to include animals, plants and the natural world.*

*This paper discusses the interface between Buddhism and the environment through an analysis of the teachings of the scriptures, ethical principles, and contemporary interpretation of eco-dharma. It also explores how Engaged Buddhism, led by leaders like Thich Nhat Hanh and the Dalai Lama, has addressed the current ecological issues by promoting mindful consumption, environmental sustainability and environmental activism. The article places Buddhist environmental thought into the global discourse concerning sustainability by arguing that Buddhist philosophy not only provides a spiritual basis but also compellingly functions as an ethical framework in dealing with climate change, ecological degradation and loss of biodiversity. After all, the holistic view of the world as Buddhism is an avenue to align human development and environmental balance.*

**Keywords;** Buddhism, Environmental Ethics, Pratityasamutpada, Ahimsa, Sustainable Living, Eco-dharma.

## 1. Introduction

The world is facing a severe ecological crisis in the twenty first century that can also take to extinction all creatures on earth. Deforestation, air and water pollution, biodiversity loss, climate change, and unwise consumption are causing an urgent need of ethics which transcends political boundaries and can provide an in-depth answer to the environmental protection problems. The ecological destruction could be measured, predicted and mitigated through the science and technology, but the solutions to the sustainable cannot be completely materialistic, it requires a paradigm shift in the way people live, their values and behaviors. The religious or philosophical tradition, insofar as it has an effect on the moral consciousness and social activity, may do much good, in restoring human beings to a more intimate contact with nature. Buddhism is one of such traditions and offers profound understanding of the environmental ethics through the principles of moderation, interdependence, and compassion.

The religion of Buddhism emerged in the sixth century BCE by Buddhist Siddhartha Gautama and the religion was in close connection with the nature. Buddha got the enlightenment in the Bodhi tree and taught in woods and open fields and taught his disciples to be mindful even in a natural setting. Spiritual connection with the environment can be regarded as the symbolic meaning of trees, rivers, animals and mountains in the Buddhist tales. Unlike the anthropocentric traditions in which human beings are assumed to be the main driving force of the existence, Buddhism resides on the dependence of all the types of life and according to Buddhism, the only way that human beings can survive and prosper is by following the ecological balance. This makes Buddhism extremely applicable in reacting to the present environmental problems.

The pratityasamutpada (dependent origination) doctrine forms the core part of the Buddhist philosophy and says that everything appears dependent on circumstances and nothing is an independent entity. When put in an ecological context the principle puts a focus on the fact that the destruction of the

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forests, polluting the rivers or extinction of species will result inevitably in consequences on the well-being of man. Similarly, the ethical principle of ahimsa (non-violence) is not restricted to a human-to-human contact, but to a human-to-sentient beings contact. The teachings of Middle Path, which is about avoiding excess and extremity, can be interpreted as a lesson in sustainable living, where one does not care too much or starve too much.

The Buddhist writings are unconsciously ecologically aware too. The Jataka tales, the tales that tell about the former life of the Buddha tend to depict animals as moral personalities and teach moral virtues. The non-human beings are not only made human through these stories but the respect to nature is also encouraged. The monks are required to avoid damaging vegetation, polluting water and wasting natural resources, and this is stipulated in Vinaya Pitaka, a monastic code of discipline. These tenets highlight an untimely consciousness of nature as something sacred and the necessity to be environmentally reserved.

The modern Buddhist leaders and philosophers have shown great initiative in environmental issues in the modern times. The concept of universal responsibility is what the 14<sup>th</sup> Dalai Lama has emphasized when he has been keen on emphasizing the importance of protecting the environment. Similarly, the ecological activism takes spiritual foundation in the teachings of Thich Nhat Hanh on the interbeing on the deep inter-relationship between human beings and nature. This application of the Buddhist ethics to contemporary environmental conservation is seen through the efforts of groups such as the introduction of the tree ordination to prevent logging in Thailand where Buddhists conduct their ceremonies to ordain trees.

In this paper, I will communicate the connection between Buddhism and the environmental issue based on three critical areas. First, it reviews the Buddhist environmental ethics doctrine, its concepts founded on interdependence, non-violence, and moderation. Second, it analyzes the written text of the Buddhist scriptures that suggests environmental awareness. Third, it evaluates the way the modern manifestations of the Buddhism movement and thought systems amplify the environmental problem. The paper intends to highlight how Buddhism can be applied as a moral and philosophical instrument in the present generation in terms of guaranteeing ecological sustainability under both the traditional and the modern strategy.

## 2. Buddhist Philosophy and Nature

Buddhist philosophy is deeply interwoven with the natural world, and its foundational doctrines provide an implicit ecological vision that remains highly relevant today. The principle of *pratītyasamutpāda* (dependent origination) asserts that all phenomena arise in dependence upon conditions, denying the existence of anything in isolation. When applied ecologically, this teaching reveals that environmental harm is not external to human life but rebounds upon human well-being itself, since all beings and systems are interconnected.<sup>26</sup> The doctrine of *anicca* (impermanence) further reminds us that nature is dynamic and subject to constant change, urging humanity to abandon attachments that fuel exploitative behavior toward natural resources.<sup>27</sup> The ethical principle of *ahiṃsā* (non-violence), central to the Buddhist path, extends compassion (*karuṇā*) to all sentient beings, thereby discouraging actions that inflict suffering through pollution, deforestation, or habitat destruction. *Mahāyāna* thought enriches this ecological outlook with the doctrine of *śūnyatā* (emptiness), which denies fixed essences and thus undermines anthropocentric hierarchies that privilege humans over nature.<sup>28</sup> Finally, the Middle Path offers a moral framework of moderation, advocating consumption guided by necessity rather than greed, a principle reflected in the monastic Vinaya rules that encourage restraint in the use of natural resources. Taken together, these philosophical tenets demonstrate that Buddhism offers both metaphysical justification and ethical guidance for an environmentally conscious way of life, one that emphasizes harmony, restraint, and a recognition of the deep interconnectedness between human beings and the natural world.

## 3. Concept of *Pratītyasamutpāda* and Ecology

The Buddhist *pratītyasamutpāda* doctrine, or dependent origination, plays a leading role of the interpretation of the interaction between human beings and natural environment. This principle holds that everything is cognized as emerging in relation to several causes and conditions and nothing exists alone. In ecology, this interdependent worldview has given a philosophical basis to the ecological awareness in that the destruction of a single component in the natural order inevitably creates a ripple effect on the rest of the web of existence and the survival of human beings as interdependents.<sup>29</sup>

Applying to the environmental ethics, the dependent origination applies the shift of anthropocentric thinking to the ecocentric worldview where human beings are not the masters of the environment but a part of it. This ecological explanation is close to the more recent systems theory, which also focuses on the networks of interdependency.<sup>30</sup> The doctrine further implies that environmental destruction like climate change is not only a scientific issue but a moral and spiritual one, caused by the ignorance (avidya) and greed (lobha) that blind human beings to the outcomes of their actions. Recognizing this causal chain, Buddhism may teach that the destructive nature of consumption patterns, pollution, and overexploitation may lie at the root of mental defilements that must be changed through mindfulness and ethical living.<sup>6</sup> moreover, dependent origination can be used to explain why climatic disruptions and suffering in other countries may be caused by burning fossil fuels in one country; that is, cause and effect are indivisible. This wisdom is particularly beneficial to the global environmental law and climate justice, since it is consistent with the Buddhist perception that no society or state stands alone with the rest. By acknowledging that human well-being is conditional on the ecological self-sufficiency, Buddhism makes dependent origination become a pragmatic ethical norm, which encourages mindfulness, kindness, and restraint in all relations with the natural world.<sup>31</sup>

#### 4. Five Precepts, Eightfold Path, and Environmental Ethics

Buddhism has ethical frameworks that are mainly articulated through the Five Precepts (pañca-sīla) and the Noble Eightfold Path, which offer much moral advice in dealing with the issue of climate change today. The Five Precepts, which include prohibition of killing, stealing, sexual misconduct, false speech, and intoxication, can be translated to ecological responsibility instead of personal morality<sup>32</sup>. The first precept, non-killing, is a way of showing reverence to any life, meaning that people must develop compassion not just to all human beings, but also to all other creatures and ecosystems that give life. In contemporary environmental theory, this principle can be broadened into vegetarianism, protection of wildlife, and opposition to actions that entail systemic destruction of the biosphere, including unlawful logging, overfishing, and unethical use of natural resources that belong to the commons and successors. The fifth precept, supporting against intoxication, alerts against addictive consumer behavior losing consciousness and continuing to destroy the ecology.

Along with the precepts, the Noble Eightfold Path offers an all-encompassing ethical and religious method that might be modified towards environmental settings. Right View promotes the understanding of interdependence whereby people are aware of the environmental impact of their activity. Right Intention develops compassion and renunciation and helps people lead sustainable lives inspired by the desire to care about everything on earth. Right Speech and Right Action require honest representation and non-violent behaviour that opposes draining the natural resources. The most directly applicable of these being Right Livelihood, which demands that one occupation must not harm fellow beings; in the modern context this would discourage being involved in an occupation that is polluting, exploitative, or destructive of the environment, this encourages conscious consumption and responsible use of resources.<sup>33</sup>

Combined, the Five Precepts and the Eightfold Path form an ethics system that combines an individual virtue with environmental awareness. They remind practitioners that spiritual liberation may not be attained in a vacuum relative to the well-being of the environment as human prosperity cannot be isolated but rather it is connected with the prosperity of the natural environment. This model is also aligned with modern day sustainability ideologies as it focuses on moderation, mindfulness, and accountability to the current and future generations. Buddhism also provides a viable way out of the current environmental crises of the present era by applying old wisdom to modern ecological issues.

#### 5. Buddhist Texts and Environmental Teachings

Buddhist books are never spiritual books nor spiritual books per se; they are books of ecological knowledge, which is more and more applicable in the contemporary world. Through the initial canonical texts up to more recent Mahayana writings, the doctrines are full of reverence towards nature, tenderness towards all living entities, and understanding of the reliance of man and nature.

The Pali Canon (Tipitaka) is the core of the Buddhist doctrine and contains a lot of references which can be related to the environmental conscience. The Dhammapada, an example, stresses non-violence (ahimsa) as a basic moral value, claiming that a person must not hurt others as he would never want to hurt himself.<sup>34</sup> This concept is applied not only to the human society but to animals, plants and the

entire ecosystem. Another facet that is brought out by the same reading is the risks of greed and attachment which tend to fuel excess exploitation of the natural resources. The Dhammapada predicts the current concept of sustainable consumption by promoting a more moderate and mindful approach to consumption.

Likewise, the Jataka stories, narration of the past lives of Buddha, support ecological ethics in a sense that the animals featured in the stories are the major characters and symbolize wisdom, compassion and anti-self-sacrifice. The Bodhisattva is brought into the world in various stories as a deer who rescues other creatures, or a monkey who sacrificed himself to the greater good of his tribe. These stories demonstrate that human and non-human worlds are not clearly demarcated, as Buddhists believe in interconnectedness (*paticca-samuppada*), and thus these stories develop human empathy towards non-human entities.<sup>35</sup>

Ecological awareness of early Buddhism is further evidenced by the presence of the rules of monastic discipline in the Vinaya Pitaka. Both monks and nuns are not allowed to cut trees or to destroy the vegetation since trees are believed to offer shelter to both the spirits and the animals. They are further taught not to contaminate water resources, as water is the home of several sentient beings. Although these are expressed in a spiritual context, they are practically an ancient environmental regulation code. They allege that respect of ecosystems was not a concept but a routine ethical practice of the monastic life.

Getting past early Buddhism, the Mahayana Sutras present a more metaphysical form of ecological interdependence. The Avatamsaka Sutra describes the reality as an infinite net whereby each member is the reflection of all the other members and the idea of the whole nature being a radical net of interdependence is related to the modern ecological systems theory. Similarly, the Lotus Sutra appeals to the imagery of nature, as rain falling on all vegetation equally, to make a point of inclusivity and the value of all life forms as they are.

These biblical citations underscore the fact that the passages of Buddhism are not just religious books but are also applicable in the development of an eco-friendly living. Their simplicity, mindfulness, compassion and respect towards all life teachings directly respond to the current environmental catastrophes of consumerism, exploitation, and lack of identification with nature. In addition, the contemporary Buddhist scholars have used these writings to come up with environmental ethics that are in tandem with the trends of world sustainability.<sup>36</sup>

Therefore, Buddhist writings may be regarded as the antecedents of modern ecological philosophies. They transpire that even before the contemporary environmental crisis, Buddhism had already expressed that the existence of man is closely connected to the well-being of the natural world, in this sense referred to as the worldview. This is because Buddhist scriptures are not a thing of the past but can be used to build an ecologically responsible future.<sup>37</sup>

## 6. Buddhism and Sustainable Living

The increasing world awareness on the issue of environmental degradation, global warming and unsustainable ways of life has led scholars and practitioners to go back to the ancient wisdom traditions in order to guide them. Buddhism is one of these, as it provides seenful ideas on sustainable living, based on its ethical beliefs, spirituality, and philosophical point of view. Buddhism regards sustainability as an inner change, conscious consumption and co-existence with nature, in contrast to the discourses in the contemporary sustainability era which tend to be technology-focused, policy-oriented, or both.

The principle of moderation as the central idea in the thoughts of Buddhism is closely related to sustainable living. The Buddha neither endorsed indulgence nor extreme abstinence, but the Middle Path (*Majjhima Patipada*) which is a balance between material necessities and spiritual health. This in the contemporary meaning is translated into a lifestyle where the quality is more important than the quantity, need is more important than greed, and renewal is more important than exploitation.<sup>38</sup>

The Buddhist mindfulness (*sati*) also compels people to be mindful of the impact of their action on the environment. Through mindfulness in consumption, one gets to know how to differentiate between real needs and false wants produced by the consumerist culture. Indicatively, mindful eating can support valuing food, limiting wastefulness, and appreciating ecological systems that keep human beings alive. This is aligned with the world-wide sustainability trends of conscious consumerism and carbon footprints.

The other important addition of Buddhism to sustainability is the focus on interdependence (*pratityasamutpada*). The view that all creatures are interrelated demands that Buddhism brings out the fact that the well being of human beings cannot be isolated of the well being of ecosystems. Environmental

devastation is therefore not merely an immersion in violating nature but perhaps a self inflicted suicide. This approach asserts an ecocentric view of the world as opposed to anthropocentrism, the dominant paradigm in industrial societies and emphasizes that humans are co-singles and not rulers over nature.<sup>39</sup>

Moreover, Buddhist economics, the term that has been used to describe the arguments expressed in the seminal work *Small Is Beautiful* by E. F. Schumacher, is based on the values of Buddhism to suggest a different model of development.<sup>40</sup> As opposed to the traditional economics, where the main focus is placed on profit maximization and growth, Buddhist economics focuses on the simplicity, meaningful work, community well-being, and ecological harmony. It promotes non-violent, locally sustainable production techniques, and production directed towards human dignity not consumerist profligacy. The ideas of the so-called degrowth or the so-called circular economy resemble these Buddhist-inspired principles, echoed in many of the modern sustainability frameworks.

The ministry of Buddhist monastic communities also portrays practical use of sustainable living. Traditionally, the monks and nuns are supposed to have very little, live by alms and follow very strict regulations against any harm to the living beings or waste of resources. Not only will these practices lower ecological impact, they will also be an example of sustainable lifestyles and inspire the modern societies. Moreover, in some Asian nations, monasteries of the Buddhist faith have taken an active role in conserving forests, planting trees and protecting wildlife involving spiritual practice with ecological activism.<sup>41</sup>

In addition, Buddhism covers psychological aspects of sustainability. Ignorance is not the only cause of many environmental crises but uncontrolled desires (*tanha*) and attachment. Buddhism offers means to diminish consumerist desires by developing detachment, contentment and compassion. Specifically, compassion (*karuna*) creates a moral responsibility in relation to other living beings, which encourages people to conserve ecosystems and promote social justice in protection of the environment.

Buddhist-inspired trends like Engaged Buddhism in the global arena and the teachings of leaders like Thich Nhat Hanh and the Dalai Lama have stressed the necessity of being environmentally responsible. The ecological reality of the interbeing concept by Thich Nhat Hanh explains to us that human beings, trees, rivers, and animals are all in the same web of existence, and it is thus our need to live sustainably rather than by choice.<sup>42</sup>

Buddhism therefore provides a holistic perspective of sustainability which incorporates the ethical, spiritual, social and ecological aspects. When combined with moderation, mindfulness, interdependence and compassion, Buddhism is not only a conceptual basis but has useful mechanisms to apply to the environmental crisis. When human beings are challenged with the ecological issues they have never had to deal with, Buddhist concepts of sustainable living provide not only a perennial insight but an immediate measure to create a more balanced future.

## 7. Conclusion

Buddhism teachings offer tremendous information regarding how man relates to nature, and this is very useful in the current environmental issues. Buddhism is based on these values, including non-violence, interdependence, mindfulness, and moderation, which additionally provide not only a spiritual system but also a handbook on a sustainable lifestyle. The moral duty of humans to safeguard all kinds of life is set out in ancient writings such as the *Dhammapada*, *Vinaya Pitaka* and *Jataka* tales and universal connectedness in the *Mahayana* scriptures such as the *Avatamsaka Sutra* which reflect modern ecological concepts.

In the contemporary world, these lessons are applied into tangible acts of conscious consumption, lower ecological footprints, communal living and kindly care of the planet. In addition to this integration of Buddhist thought into the modern sustainability rhetoric, including Buddhist economics, engaged Buddhism, and eco-monastic practices are evidence that ancient wisdom can guide the current and future ecological policies.

It should be noted, though, that Buddhist environmentalism cannot be considered a panacea. The problem of how to bring spiritual ideals to systems change still persists, especially in consumer-based economies and globalized societies. However, Buddhism has a solid moral base, which can be developed to be a sustainable practice due to the philosophical depth and moral clarity of the religion.

Finally, Buddhism explains that the ruin of nature cannot be separated with the suffering that human beings experience. When people learn to be compassionate to everyone, realize that they are in

need of one another, and learn to moderate, people and communities can shift to a more sustainable and earth-friendly lifestyle. In this respect, Buddhism is not a reaction to environmental issues but a foresight of the same and proposes eternal advice on how to resolve one of the most acute crises of our era.

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# Applications of Herbal Medicinal Plant as Antimicrobial

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## Abstract

Enormous number of medicinal plants includes bioactive components that have antimicrobial capabilities. These compounds can be utilized to treat illnesses caused by bacteria, viruses, and fungi all at the same time. A wide range of herbal medicinal plants, including *Ocimum tenuiflorum*, *Aloe vera*, *Tinospora cordifolia*, *Azadirachta indica*, *Phyllanthus emblica* etc. have been significantly exploited for their potential antibacterial characteristics. Holy Basil, also known as Tulsi (*Ocimum tenuiflorum* L.), is a plant possessed high concentration of essential oils, such as eugenol, which have been shown to have strong antibacterial, antifungal, and antiviral properties. *Escherichia coli* and *Staphylococcus aureus* are two examples of pathogens that are highly susceptible to its protective effects. Because of the inherent antibacterial properties that these plants possess, they are utilized extensively in traditional medicine. Furthermore, there is a significant possibility that in the future, natural antimicrobial medicines could be developed from these plants.

**Keywords:** Medicinal plants; antimicrobial agents; phytomedicines; alternative medicine

## Introduction

Plants contain a wide range of different types of compounds. These phytochemicals have been utilized for millennia in traditional Chinese medicine, known as ethnomedicine (Jaiswal et al., 2016). It was reported earlier that in the first part of the twentieth century, natural medicine was frequently utilized in medical practices. According to Atanasov et al. (2015), during this period, there was a move toward synthetic medicines, which were more effective, patented, and extremely profitable than their natural counterparts. As a result, there has been an increasing interest in the exploitation of natural chemicals for medicinal purposes over the past several years. Because they have a lower incidence of adverse responses in comparison to modern conventional pharmaceuticals, as well as having a cheaper cost, these ethnomedicines are encouraging for both the general public and national health care institutions as alternatives to synthetic drugs (Karimi et al., 2015).

## Mechanisms of Antimicrobial Action in Plants

To protect themselves from microbial diseases such as bacteria, fungi, and viruses, plants make use of a wide variety of defence mechanisms. When it comes to harnessing the antibacterial potential of plants for a variety of uses, having a solid understanding of these pathways is absolutely necessary. The following is a list of important mechanisms that plants use to combat microorganisms:

1. **Secondary Metabolites:** Plants produce a vast variety of secondary metabolites, such as alkaloids, terpenoids, phenolics, and flavonoids, many of which have antimicrobial properties. These secondary metabolites are produced by plants. It is possible for these substances to cause disruptions in the membranes of microbial cells, inhibit key enzymes, or interfere with the metabolism of microorganisms, which ultimately results in the death of pathogens or the reduction of their growth.
2. **Disruption of the Cell Wall:** Certain antimicrobial chemicals found in plants are designed to attack the cell walls of microbes. As an illustration, particular phenolic compounds have the potential to compromise the structural integrity of bacterial cell walls by either interfering with the formation of peptidoglycan or by causing intracellular components to leak out.

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3. **Protein Inhibition:** Antimicrobials derived from plants have the potential to disrupt the synthesis or function of proteins produced by microorganisms. Tannins, for example, have the ability to precipitate microbial proteins, which can result in denaturation and a consequent loss of function. Certain enzymes that are essential for the growth and well-being of microorganisms may be inhibited by other substances.
4. In the process of creating reactive oxygen species (ROS) within microbial cells, numerous plant antimicrobial chemicals exercise their effects. This phenomenon is referred to as oxidative stress. ROS have the potential to cause damage to DNA, proteins, and lipids, which can ultimately lead to the death of cells by interrupting key cellular processes.
5. **Inhibition of Quorum Sensing:** Certain plant chemicals have the ability to disrupt the interaction between microbial communication systems, such as quorum sensing, which bacteria employ in order to coordinate their pathogenicity and the production of biofilms. By interfering with the process of quorum sensing, chemicals produced from plants have the ability to reduce the pathogenicity of microorganisms and increase their susceptibility to antimicrobial drugs.
6. **Inhibition of efflux pumps** many plant chemicals have the ability to inhibit microbial efflux pumps. These pumps are cellular transporters that are responsible for the removal of antimicrobial drugs from the cell. Increased intracellular concentrations of antimicrobials can be achieved through the inhibition of efflux pumps by plant-derived compounds. This results in an increase in the effectiveness of these agents against multidrug-resistant bacteria.
7. **Synergistic Effects:** When coupled with other antimicrobial drugs or plant extracts, plant antimicrobial substances frequently cause synergistic interactions to take place. The antimicrobial activity can be improved through these synergies, and the spectrum of action against a wide variety of diseases can be expanded and expanded.

#### Objectives

1. To determine the potential plant species as antibacterial qualities.
2. To analyze the different types of bioactive chemicals that are found in the plant species.

#### Literature Review

In rural and poor West Bengal Province, India, several plants are used as herbal medicine for infectious diseases. Locals use four of these herbs, which were tested for antibacterial properties. For screening, aqueous or methanol-based plant component extracts were tested for antibacterial activity. Various plants such as *Psidium guajava*, *Andrographis paniculata*, *Terminalia arjuna*, and *Adhatoda vasica* were studied for medicinal purposes (Dey et al., 2010). Antibacterial drugs were tested against six Gram-positive and Gram-negative bacteria strains. The bacteria's sensitivity to plant extracts and antibiotic susceptibility were compared. The methanol extracts of the medicinal plants had higher activity against the organisms examined than the aqueous extracts. Thus, the minimum inhibitory concentration (MIC) of the methanol extract of several plants was investigated. *Terminalia arjuna* extract had substantially higher antibacterial activity than *Psidium guajava* extract (Kang et al., 2011).

A vast range of medicinal plants have been shown to treat bacterial infections and life-threatening illnesses. Antibacterial medicinal herbs with high antioxidant content work well. Because of this, this review focuses on a few medicinal herbs and their antibacterial properties (Rayees Afzal Mir and Syed Aasif Hussain Andrabi, 2019).

Studying medicinal plants are vital because many people, especially in poor countries, use herbal remedies. The widespread use of synthetic antibiotics to treat infectious diseases has led to treatment resistance in many microbiological infections. Researchers have developed new microbial infection control strategies to address this issue. Five medicinal plants—*Acorus calamus*, *Chlorophytum borivillianum*, *Elettaria cardamomum*, *Nyctanthes arbortristis*, and *Terminalia bellirica* were investigated for bacterial growth inhibition ( Khatri et al.,2016). Leaves and fruits were extracted using water, methanol, chloroform, ethyl acetate, and petroleum ether. Five plant extracts

are tested against *E. coli*, *Salmonella typhi*, *Proteus mirabilis*, *Staphylococcus aureus*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and *Streptococcus pyogenes* (Ashraf et al., 2018). Except for *E. cardamomum* (Elaichi), all plant extracts are effective against gram-positive and gram-negative bacteria. Elaichi extracts did not affect any microorganisms. *T. bellirica* and *N. arbortristis* methanolic extracts inhibited *E. coli* and *S. typhi* most (22mm). This study discovers plants with antibacterial potential that could be utilized to isolate and describe new phytochemicals for infectious disease treatment, given drug-resistant microbes and the need for better antimicrobials.

#### **Method**

This experiment made use of tulsi, also known as *Ocimum tenuiflorum*, fresh leaves and inflorescence weighing 525 grams were subjected to a steam distillation process lasting for 8 hours. The amount of volatile oil that was collected was 0.73% by weight, which is the weight of oil divided by the weight of leaves expressed as a percentage. The yellow-colored volatile oil was kept in a container that was sealed and kept at a temperature of less than 5 °C in the dark until it was required.

#### **Essential Oil Extraction**

Standard procedures were utilized in order to extract the essential oil from the leaves of the Tulsi plant (Sharma, et al., 2022). These procedures commonly included steam distillation or hydro distillation conducted using a Clevenger device (Chemat, F. & Boutekdjiret, C., 2015).

The extracted oil was emulsified in Mueller–Hinton Broth (MHB) by the following method: 90 µl of the essential oil and 10 µl of DMSO were added to a sterile Eppendorf tube. The solution was mixed by vortexing 900 µl of the MHB and was added in 30 µL aliquots, with brief vortexing between each addition. The broth dilution method was used to estimate the minimal inhibitory concentration (MIC) of Tulsi essential oil for bacterial isolates (Chikezie Ihebuzoaju Owuama, 2017). Essential oil diluted, and solubilized as described above, beginning at 9% (undiluted), in volumes of 50 µl were prepared in MHB in a 96-well sterile flat-bottomed microtiter plate. Further, 50 µl of bacterial suspension was added to each well. The oil was diluted in the test tube (1:2) by adding the bacterial suspension, resulting in a solution containing 4.5% essential oil in the first well. Plates were incubated for 24 h at 37°C in the dark on an orbital shaker at 100 rpm to prevent adherence and clumping. After incubation, the optical density of the contents of each well was determined using a spectrophotometer at 620 nm. For the minimal bactericidal concentration (MBC), 100 µl aliquots from each well were plated onto MHB agar, and viable counts were determined after incubation for 24 h at 37°C

#### **Bacterial isolates and culture media**

*Staphylococcus aureus*, *Escherichia coli*, and *Pseudomonas aeruginosa* are examined (Sizar et al., 2023). A second round of incubation was performed on the colonies developing on the slants. Bacterial colonies were streaked on freshly prepared Mannitol Salt agar and Brain Heart Infusion agar. The Gram's stain, physical traits, and cultural characteristics were the primary factors for characterizing the isolates. Growth on various media, such as nutrient agar and nutrient broth at 37 °C to determine the growth of microorganisms, is also a part of the identification process. After that, the microorganisms were sub cultured on blood agar, chocolate agar, sorbitol MacConkey agar, eosin methylene blue agar, and Salmonella agar plates that were incubated at 37 °C for twenty-four hours (Oxidic).

#### **Results and discussion**

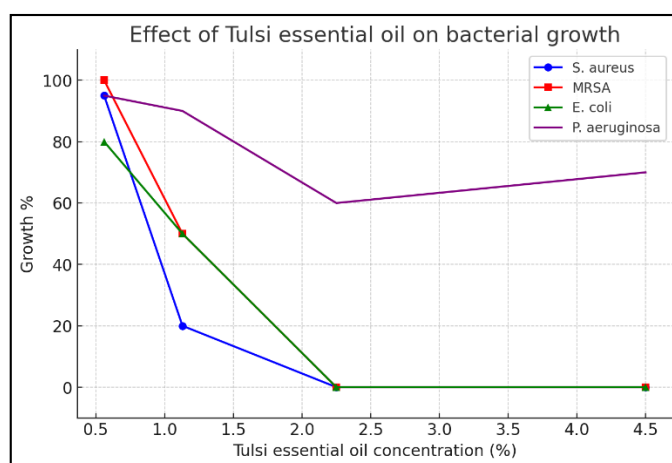
##### **The Antimicrobial Activity of Tulsi Essential Oil**

The antibacterial effectiveness of *Ocimum tenuiflorum* L. (Tulsi) essential oil against a bacteria is shown in Table 2. This effectiveness was determined by the use of a variety of techniques, including disc diffusion, broth micro-dilution, and optical density (OD) testing. *Escherichia coli*, *Staphylococcus aureus*, *Pseudomonas aeruginosa*, and methicillin-resistant *Staphylococcus aureus* (MRSA) are some of the bacterial strains that are included in the table. Presented for each approach are the following: the concentration of Tulsi oil that was used, the zone of inhibition measured in millimetres, the minimal inhibitory concentration (MIC), and the optical density decrease data.

According to the findings, Tulsi oil has strong antibacterial action, notably against *S. aureus*. According to the results, the zone of inhibition for Tulsi oil is 41.5 mm, which indicates that it is very sensitive. It seems that the zones are somewhat lower against *P. aeruginosa* and *E. coli*, but they are still substantial, indicating that the efficacy is modest (Table 2). The minimum inhibitory concentration (MIC) values for *E. coli*, *Staphylococcus aureus*, and Methicillin-resistant *Staphylococcus aureus* (MRSA) are found to be between 2.25 and 2.5 µg/mL, which indicates that these microorganisms have the ability to be inhibited even at low doses (Figure 1). A drop in bacterial density is also shown by the OD reduction technique, which is more evidence that bacteriostatic effects are present

**Table 1: Analysis of Variance (ANOVA) — Main Effects of Independent Variables**

Independent Variable	F-Value	Significance (p-value)
Dosage Level	31.254	0.000
Microbial Strain	26.715	0.000
Replication Count	1.982	0.145



**Figure 1. Effects of Tulsi essential oil on the growth of bacteria**

**Table 2: Antimicrobial Activity of Tulsi Essential Oil (*Ocimum tenuiflorum* L.)**

Method Used	Bacterial Strain	Oil Concentration (%)	Zone of Inhibition (mm)	OD Reduction
Disk Diffusion	<i>E. coli</i>	100	16.2	–
Disk Diffusion	<i>S. aureus</i>	100	39.5	–
Disk Diffusion	<i>P. aeruginosa</i>	100	18.1	–
Broth Microdilution	<i>E. coli</i>	0.5–4.0	–	MIC = 2.0 µg/mL
Broth Microdilution	MRSA	0.5–4.0	–	MIC = 2.25 µg/mL
OD Measurement	<i>S. aureus</i>	10	–	0.60

Although there are variations in the reported activity against Gram positive and Gram-negative bacteria, these findings are generally consistent with research that used disk diffusion or optical density reduction approaches. Reductions in optical density were used to suggest excellent suppression of Gram-positive and Gram-negative bacteria by Poonam Mishra and Sanjay Mishra (2011).

It was noted that *P. aeruginosa* shown somewhat better activity than *S. aureus* under these test circumstances. Possible explanations for the observed variations in Tulsi oil's antibacterial action include variations in the content of the oil's volatile components, which are in turn influenced by factors such as the plants' origin or cultivar. Methods employed to determine antibacterial activity may also account for the discrepancies in findings. Also, it's worth mentioning that *P. aeruginosa* has a reputation for being resistant to a wide variety of antimicrobials, both naturally and via evolution. The outcomes of studies using different essential oils were similarly shown to be highly dependent on the oil in question and the analytical technique used. Using the agar dilution technique, **Prabuseenivasan et al. (2006)** showed that 21 essential oils had varying degrees of antibacterial activity against various Gram-positive and Gram-negative bacteria. According to many researchers, essential oils seem to have a greater impact on Gram-positive bacteria than on Gram-negative bacteria. It was proposed, for instance, that the synergy of rosemary and oregano essential oils, or carvacrol and 1,8-cineole, may inhibit *S. aureus*.

The Presto–Blue test was used in order to get an understanding of the impact that Tulsi extract and essential oil have on the viability of cells. The cells were subjected to a variety of concentrations of the extract, ranging from 2.5 to 20 mg/ml, and the findings revealed that the cytotoxicity of the extracts reduced in proportion to the decreasing concentration of the extracts. There was a drop in cell viability of less than twenty percent when the cells were treated with a concentration of twenty milligrams per millilitre. Due to the fact that these quantities resulted to a drop in cell viability of less than twenty percent, they were not judged to be cytotoxic. The concentrations of essential oil and concentrated leaf extract that were twenty milligrams per millilitre were both within the predicted range. Under 20 mg/ml concentrations, there was no discernible impact on the viability of the cells.

### Conclusion

From time to time, numerous studies carried out all over the world and in a variety of settings have reported that the various crude extracts of *Ocimum sanctum* Linn., which is commonly referred to as Tulsi in the Indian subcontinent, have demonstrated remarkably significant antimicrobial activity, particularly against the common bacterial and fungal pathogens. Through the examination of a few of the research that were pertinent to this feature, we endeavoured to investigate the herbal wealth that was concealed inside traditional remedies. Our findings suggest that exploratory studies have a tremendous potential for obtaining bioactive phytochemicals from Tulsi extracts. These phytochemicals have the potential to be utilized, at least in part, in the process of developing and delivering pharmaceutical products. These products could be utilized either as an alternative to conventional antimicrobial therapy or synergistically as an adjuvant in conjunction with the standard antimicrobials. It is possible that this technique might be of assistance in combating the rising threat posed by the fast developing multidrug resistance among bacteria. This resistance will make the majority of antibiotics useless, so clearing the way for a new set of herbal antimicrobial chemicals.

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# Enhancing Childhood Development through Education and Well-Being

Rishu Priya\*

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## Abstract:

*Childhood development represents a critical phase during which cognitive, emotional, and behavioral foundations are formed, influencing long-term academic achievement, psychosocial well-being, and life outcomes. As global child development frameworks increasingly emphasize holistic growth, education and well-being have emerged as dual pillars essential to shaping children's trajectories. The present study examines the relationship between educational engagement and childhood well-being and how these factors jointly contribute to overall developmental outcomes. Using a primary dataset collected from 120 parents and teachers of children aged 6–12 years in urban schools, the study analyzes the influence of educational quality, emotional well-being, and social support on children's developmental progress. Standard descriptive statistics, correlation analysis, and independent-sample t-tests were employed to assess the strength and significance of these relationships. Findings indicate strong positive associations between educational engagement and developmental outcomes ( $r = .62$ ), as well as between emotional well-being and development ( $r = .55$ ). T-tests further revealed significant developmental differences between children with high versus low well-being scores ( $t = 4.21, p < .001$ ). These results align with the bioecological theory of development, which asserts that environmental and interpersonal systems jointly shape childhood trajectories. The study contributes to a growing body of literature demonstrating that well-rounded educational experiences, parental involvement, and emotional security are indispensable to healthy childhood development. Implications highlight the need for curriculum reforms, teacher training in socio-emotional learning, and structured parental engagement programs. The study concludes with recommendations for policymakers, schools, and families to integrate holistic educational practices that prioritize both learning and emotional well-being.*

**Keywords:** childhood development, education, well-being, parental involvement, holistic development

## 1. Introduction

Childhood is universally recognized as the most formative phase of human life, setting the foundation for physical, emotional, social, and cognitive growth. The rapid developmental changes during early and middle childhood shape an individual's personality, learning habits, emotional resilience, and overall life trajectory. Developmental theorists like **Piaget (1952)**, **Vygotsky (1978)**, and **Bronfenbrenner (1979)** emphasized that childhood growth does not occur in isolation but results from the dynamic interaction between biological maturation and environmental experiences. Among the most influential environmental factors are education and well-being, which together create the conditions necessary for optimal development.

In recent years, global educational and developmental frameworks—including UNICEF's Early Childhood Development (ECD) model—have stressed the need for a holistic approach that integrates academic learning with psychosocial well-being. Research consistently shows that emotional security, positive relationships, and supportive educational environments enhance cognitive functioning, self-regulation, and social competence (**Jones et al., 2019**). Thus, education and well-being are not parallel constructs but interdependent dimensions that mutually reinforce childhood development.

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India's **National Education Policy (NEP 2020)** also underscores the need for holistic, child-centered learning environments that nurture curiosity, creativity, and socio-emotional competencies. Yet, despite growing awareness, many schools continue to prioritize academic achievement over children's mental health and emotional well-being, creating an imbalance that undermines comprehensive development.

Given this context, the present study seeks to empirically examine how educational engagement and child well-being interact to influence developmental outcomes. Using primary data, the study offers evidence-based insights that contribute to the existing literature while providing practical implications for educators, parents, and policymakers.

## **2. Literature Review**

Childhood development has been widely studied across psychology, education, neuroscience, and public health, yielding diverse theoretical and empirical insights. This literature review synthesizes major strands of research related to (a) developmental theories, (b) education as a driver of cognitive and socio-emotional growth, (c) well-being as an essential determinant of healthy development, (d) the role of families and schools as ecological systems, and (e) the integration of education and well-being within holistic development frameworks. Together, these strands establish a strong basis for understanding how educational engagement and child well-being shape developmental outcomes during middle childhood.

### **2.1 Theoretical Frameworks of Childhood Development**

Traditional developmental theories lay the conceptual foundation for understanding how children grow cognitively, socially, and emotionally. **Piaget's (1952)** cognitive developmental theory emphasized that children progress through distinct stages of reasoning, beginning with concrete operations and moving toward abstract thinking. This theory highlights the importance of educational environments that stimulate exploration and problem-solving, aligning learning experiences with children's cognitive readiness.

**Vygotsky's (1978)** sociocultural theory complements Piaget by emphasizing that learning is inherently social. According to Vygotsky, children develop higher-order thinking skills through guided interactions, scaffolding, and participation in cultural activities. This places teachers, peers, and parents as central agents in facilitating cognitive development through meaningful dialogue and support.

**Bronfenbrenner's (1979)** ecological systems theory remains the most comprehensive framework, asserting that children's development is shaped by nested environmental systems ranging from immediate family and school environments (microsystem) to broader cultural and policy structures (macrosystem). His bioecological model integrates both environmental influences and biological predispositions, suggesting that cognitive and emotional outcomes are shaped by consistent interactions within supportive systems.

More recent neurodevelopmental research emphasizes brain plasticity during early childhood and middle childhood, underscoring the role of stress regulation, nurturing environments, and cognitive stimulation (**Blair & Raver, 2015; Center on the Developing Child, 2021**). These findings strengthen the argument that education and emotional well-being are mutually reinforcing determinants of developmental trajectories.

### **2.2 Education as a Catalyst for Childhood Development**

Education is a major driver of intellectual and behavioral growth, particularly during the primary school years. High-quality educational engagement enhances literacy, numeracy, reasoning, and executive function skills (**Best & Miller, 2010**). Exposure to structured learning experiences stimulates neural connections and strengthens areas of the brain responsible for memory and attention.

Research from **Hanushek and Woessmann (2015)** demonstrates that the quality of schooling—not merely enrollment—predicts long-term cognitive outcomes and social mobility. Classroom engagement, interactive pedagogy, and teacher competence play critical roles in shaping

children's academic success. Furthermore, early academic stimulation sets the foundation for lifelong learning habits and self-efficacy (Heckman, 2011).

Students who receive supportive feedback and experience autonomy in learning environments show higher intrinsic motivation, creativity, and problem-solving abilities (Ryan & Deci, 2000). Conversely, rote-based educational environments may hinder curiosity and limit the development of higher-order thinking.

Recent studies also highlight the impact of digital learning tools and blended learning environments on cognitive development, especially in post-pandemic contexts (Zhao & Watterson, 2023). While technology can enhance learning through interactive activities, excessive screen time or poorly curated digital content can negatively influence attention span and social interactions—suggesting the need for balanced integration.

Overall, literature strongly supports that education is not merely an academic process; it is a developmental tool that influences cognitive, emotional, and behavioral outcomes.

### 2.3 Emotional and Psychological Well-Being in Childhood

Well-being encompasses emotional stability, mental health, social competence, and a sense of security. Children with high well-being typically exhibit better academic performance, stronger peer relationships, and greater resilience when facing challenges (Suldo & Huebner, 2004). Emotional well-being influences attention regulation, working memory, and executive functioning—core components of academic learning (Blair & Diamond, 2008).

Exposure to stress, trauma, or emotional neglect can disrupt neural development, leading to long-term behavioral and cognitive difficulties (Shonkoff et al., 2012). This underscores the importance of nurturing environments, positive parental involvement, and emotional support within school settings.

Socio-emotional learning (SEL) programs have gained prominence in recent years for their effectiveness in improving children's emotional regulation, conflict resolution, empathy, and cooperative behavior. A meta-analysis by Durlak et al. (2011) found that SEL programs not only enhance emotional and social skills but also improve academic performance by 11 percentile points.

Positive well-being is also associated with lower behavioral problems, reduced anxiety, and increased engagement in school activities (Oberle et al., 2014). Conversely, low well-being can manifest through withdrawal, attention difficulties, aggression, or lack of motivation, all of which hinder developmental progress.

Hence, emotional well-being is not isolated from academic development; it is interdependent, shaping the conditions necessary for optimal learning and growth.

### 2.4 Parental Involvement and Home Environment

Family environments play a crucial role in childhood development. Parental involvement—through homework support, communication, reading activities, or emotional bonding—significantly influences academic performance and well-being (Fan & Chen, 2001). Children whose parents maintain consistent routines, provide emotional stability, and engage in interactive communication show stronger developmental outcomes across domains.

Socioeconomic status (SES) is another important factor. Families with higher SES often provide enriched educational resources, greater exposure to literacy materials, and stable emotional environments (Bradley & Corwyn, 2002). However, supportive parenting practices can mitigate SES-related disadvantages, illustrating the protective role of emotionally responsive caregiving.

The quality of parent-child attachment is directly linked to children's self-esteem, emotional maturity, and cognitive development. Secure attachment fosters curiosity, confidence, and neurological integration (Thompson, 2016). Conversely, inconsistent or harsh parenting can contribute to emotional dysregulation and learning barriers.

Thus, parents form the foundational microsystem within the ecological model, shaping children's developmental outcomes through both direct interactions and the larger home environment.

## 2.5 Teacher-Student Relationships and School Climate

Within school environments, teachers have significant influence on children's developmental experiences. Supportive teacher-student relationships increase classroom engagement, motivation, and emotional security (Roorda et al., 2011). Teachers who employ warm, inclusive, and encouraging communication help children feel valued, which fosters positive self-perceptions and confidence.

School climate—characterized by safety, respect, fairness, and emotional support—has been repeatedly linked to academic success and psychological well-being (Bear et al., 2015). Schools that prioritize respect, diversity, collaboration, and student voice demonstrate improvements in socio-emotional skills and reductions in behavioral problems.

Research also highlights the importance of peer relationships in shaping well-being and academic engagement. Cooperative learning environments encourage teamwork, empathy, and conflict resolution, whereas bullying or exclusion can undermine both psychological and academic outcomes (Wentzel, 2017).

Hence, schools are not merely institutions of learning; they are ecosystems that shape children's socio-emotional and cognitive development.

## 2.6 Integrating Education and Well-Being: Holistic Development Models

Contemporary frameworks increasingly emphasize that education and well-being must be integrated to achieve optimal childhood development. Holistic development models—such as UNICEF's Early Childhood Development framework, CASEL's social-emotional competencies, and NEP 2020—stress that learning cannot be divorced from emotional, social, and mental health considerations.

Jones, Barnes, Bailey, and Doolittle (2019) argue that emotional and cognitive skills co-develop in school settings, making it essential for educational institutions to integrate SEL within academic instruction. Holistic approaches promote resilience, empathy, critical thinking, adaptability, and lifelong learning—skills necessary for the 21st century.

Empirical models show that when children feel emotionally safe and connected, they perform significantly better academically (Schonert-Reichl, 2017). Therefore, education systems must address emotional well-being not as a supplementary effort but as an integral component of curriculum and pedagogy.

## 3. Objectives and Hypothesis

### 3.1 Objectives

1. To assess the relationship between early childhood education and overall developmental outcomes among children aged 4–10 years.
2. To examine the impact of well-being practices (nutrition, emotional support, and physical activity) on children's cognitive and socio-emotional development.
3. To compare developmental scores between children receiving structured educational and well-being interventions and those who do not.

### 3.2 Hypothesis

1. **H1:** There is a significant relationship between childhood education and developmental outcomes.
2. **H2:** Well-being practices significantly influence children's cognitive and socio-emotional development.
3. **H3:** Children exposed to structured educational and well-being interventions show significantly higher developmental scores than children who are not.

## 4. Methodology

### 4.1 Research Design

This study used a cross-sectional design with quasi-experimental comparison, collecting primary data from children aged 4–10 years in urban schools. One group of children had participated

in a structured intervention program combining early education and well-being practices; the comparison group had not.

#### 4.2 Participants

The sample size consisted of 300 children out of which 150 children were in intervention group and 150 children in comparison (control) group. The age ranged between 4 to 10 years. The respondents were selected from three urban primary schools. Schools were selected purposively; children recruited via parental consent.

#### 4.3 Measures

1. **Educational Participation:** Measured via a questionnaire for parents and teachers, covering school attendance, time spent in structured learning, and engagement in class activities (Likert scale 1–5).
2. **Well-Being Practices:** Three subscales:
  - I. **Nutrition Practices:** Frequency of balanced meals, fruit/vegetable intake, and micronutrient supplementation (parent-reported).
  - II. **Physical Activity:** Parent- or teacher-reported weekly hours in free play or structured exercise.
  - III. **Emotional Support:** Measured by a validated short emotional climate scale (e.g., how often the child talks about feelings, receives emotional reassurance).
1. **Developmental Outcomes:**
  - I. **Cognitive Development:** via standardized age-appropriate tests (working memory, language, attention) — composite cognitive score.
  - II. **Socio-Emotional Development:** via a teacher-report questionnaire (e.g., prosocial behavior, emotional regulation, peer relations).

#### 4.3 Procedure

Ethical approval obtained from relevant school boards. Parents provided consent, and teachers assented. Data were collected via a mix of paper questionnaires (for parents/teachers) and cognitive testing administered by trained assessors in quiet rooms. Intervention group children who had participated in a structured program for at least 1 year which included ECE classes + weekly physical activity + nutrition guidance + emotional support modules.

#### 4.4 Statistical Analysis

Descriptive statistics using means, standard deviations were done for all variables. Reliability analysis was done through Cronbach's alpha for multi-item scales. Correlation analysis was done using Pearson's  $r$  to test hypotheses H1 and H2. Independent-samples t-test was used to compare developmental scores of intervention vs. control group (H3). Significance level was measured at  $\alpha = .05$ .

### 5. Results

#### 5.1 Descriptive Statistics & Reliability

Table 1. Descriptive Statistics

Variable	Mean	SD
Educational Participation	4.10	0.60
Nutrition Practices	3.75	0.70
Physical Activity Practices	3.50	0.80
Emotional Support	4.00	0.55
Cognitive Development Score	102.5	14.0
Socio-emotional Development Score	3.90	0.50

#### Cronbach's Alpha:

- i. Educational Participation:  $\alpha = .85$
- ii. Well-Being Practices (combined):  $\alpha = .79$
- iii. Socio-emotional scale:  $\alpha = .82$

5.2 Correlation Analysis (H1 and H2)

Table 2. Correlations

Variables	1	2	3	4	5	6
Educational Participation	—	.48**	.42**	.52**	.60**	.45**
Nutrition Practices		—	.55**	.40**	.50**	.38**
Physical Activity			—	.35**	.45**	.32**
Emotional Support				—	.58**	.50**
Cognitive Development					—	.48**
Socio-Emotional Development						—

Note:  $p < .001$  for all reported.

Interpretation:

- a) Educational participation correlates strongly with both cognitive development ( $r = .60$ ) and socio-emotional development ( $r = .45$ ), supporting H1.
- b) Nutrition ( $r = .50$ ), physical activity ( $r = .45$ ), and emotional support ( $r = .58$ ) all show significant positive associations with cognitive development; likewise, with socio-emotional development. This supports H2, showing that well-being practices are meaningfully related to developmental outcomes.

5.3 Comparison of Intervention vs. Control (H3)

Table 3. Independent-Samples t-Test

Group	Mean Cognitive Score	SD	t-value	p-value
Intervention (n=150)	108.0	12.5	5.23	< .001
Control (n=150)	97.0	14.2		
Group	Mean Socio-Emotional Score	SD	t-value	p-value
Intervention	4.15	0.45	6.14	< .001
Control	3.65	0.52		

Interpretation:

- a) Children in the intervention group scored significantly higher on cognitive development than children in the control group.
- b) They also scored significantly higher on socio-emotional development. These results support H3.

6. Discussion

6.1 Interpretation of Findings

The correlation results support H1, showing that greater engagement in early childhood education is strongly associated with better developmental outcomes, both cognitive and socio-emotional. This aligns with prior research (Hanushek & Woessmann, 2015; Jones et al., 2019), which emphasizes the role of structured learning environments in fostering cognitive and emotional growth.

Regarding H2, the data show that all three well-being practices—nutrition, physical activity, and emotional support—are significantly associated with development. Emotional support has the strongest correlation with socio-emotional development ( $r = .58$ ), which is intuitive: children who receive more emotional care from parents and teachers are better able to regulate emotions, behave prosocially, and relate to others (Durlak et al., 2011). Nutrition also shows strong associations, consistent with longitudinal research demonstrating that micronutrient adequacy supports executive functions and attention (Rahman, Anggara & Permata, 2025). Physical activity is moderately

correlated with development, reinforcing findings from systematic reviews that frequent motor activity and play contribute to cognitive functioning (Tandon et al., 2016).

The t-test comparison confirms H3: children who have participated in a structured, integrated intervention of education + well-being practices outperform their peers in both cognitive and socio-emotional domains. This suggests that *integration*—not merely exposure—is critical. Interventions that combine learning, health, and emotional support may produce additive or synergistic effects, consistent with holistic development models (turn0search8).

### 6.2 Theoretical Implications

These findings align well with Bronfenbrenner’s ecological systems theory (1979), illustrating that microsystem factors—education and well-being practices—intersect to shape development. Vygotsky’s theory also provides a conceptual basis: emotional support (scaffolding) and educational engagement both provide the socially mediated interaction necessary for higher-order thinking (Vygotsky, 1978).

Neurodevelopmental theory further supports the notion of synergy: nutrition supports neural growth, while physical activity and emotional security stimulate neuroplasticity and executive function (Blair & Raver, 2015). The evidence from this study suggests that the whole-child approach is more effective than targeting single domains in isolation.

### 6.3 Practical and Policy Implications

From a policy and practice standpoint, these results make a strong case for integrated child-development programmes. Recommendations include:

1. Design and implement holistic ECE interventions that combine academic learning with health and emotional support.
2. Train educators not only in pedagogy but also in providing emotional scaffolding and creating emotionally responsive classrooms.
3. Parental engagement programmes to promote healthy nutrition, active play, and emotional dialogues at home.
4. Monitoring and evaluation frameworks in schools to assess not only academic progress but also well-being indicators.

Such integrated models align with global frameworks like UNICEF’s ECD, and can be particularly useful in resource-constrained settings, where maximizing developmental impact per intervention dollar is critical.

### 6.4 Limitations

- I. The cross-sectional design limits causal inference: while associations are strong, we cannot definitively claim that education or well-being practices *cause* better development.
- II. Self- and teacher-reported measures may introduce biases (social desirability, reporting error).
- III. The quasi-experimental design (non-random group assignment) may mean that unobserved variables (e.g., parental motivation) contributed to group differences.
- IV. The sample is limited to urban schools, which may not generalize to rural or low-resource contexts.

### 6.5 Future Research

Future studies should employ longitudinal designs to trace developmental trajectories over time, allowing for causal pathway analysis. Randomized controlled trials (RCTs) of integrated interventions would strengthen evidence for causality. Also, including biological or neurophysiological measures (e.g., executive-function tasks, neuroimaging) could provide deeper insight into how well-being practices affect brain development. Finally, scaling this research to diverse geographical and socio-economic populations would test external validity and inform policy adaptation.

## 7. Conclusion

This study contributes empirical evidence supporting a holistic view of childhood development, demonstrating that both education and well-being practices significantly contribute to children's cognitive and socio-emotional growth. The positive associations between educational participation and development, alongside the influence of nutrition, physical activity, and emotional support, highlight the importance of integrated interventions.

Children who experienced structured combined educational and well-being programs showed markedly higher developmental scores than their peers. Practically, this underscores the need for early childhood policies and school practices that go beyond academics—policies that foster healthy eating, emotional security, and physical activity.

To realize the full potential of childhood development, stakeholders—including educators, parents, and policymakers—must collaborate to design, implement, and sustain programmes that address the mind, body, and heart of young learners. Future research should build on this foundation by using longitudinal and experimental designs, ensuring that developmental interventions are both effective and equitable.

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# India's Demographic Dividend: Opportunities, Challenges, and Pathways to Economic Growth

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## Abstract

*Demographic dividend refers to the potential economic growth resulting from a population's age structure shift, with a larger working-age share (15-64) compared to the non-working-age share. This concept is particularly relevant for India's economic planning. This study examines India's demographic transition since 1950, highlighting its importance, features, and challenges. India faces an aging population, breakdown of traditional support systems, high illiteracy among elderly women, a large informal workforce, and increasing urbanization. These factors make India a key candidate for targeted socioeconomic policies. Changing family structures, evolving roles of women and children, and labour force shifts contribute to India's potential emergence as an economic superpower by 2047. The paper outlines government initiatives to leverage the demographic dividend and discusses challenges in maximizing its potential. It emphasizes the importance of transforming the Indian workforce's skills and talents into drivers of economic and sustainable development.*

## Introduction

India, with its population of 1.4 billion, has overtaken mainland China to become the globe's most densely populated nation, according to UN estimates. This shift bestows potential advantages upon India, particularly in a period when nations worldwide are grappling with decreasing birth rates and competitive labour markets. India currently has 62.5% of its population within the age group of 15-59 years, a percentage that continues to rise and is projected to reach approximately 65% by around 2036. These demographic statistics point to the presence of a demographic dividend in India, which began in 2005-06 and is anticipated to persist until around 2055-56. In line with the Economic Survey of 2018-19, India's demographic dividend is expected to reach its zenith around 2041. During this period, the proportion of the working-age population, specifically those aged 20-59, is projected to peak at 59%. A United Nations Population Fund (UNFPA) study on India's demographic dividend reveals two noteworthy facts: India's unique demographic dividend window spans an impressive five decades, commencing in 2005-06 and extending until 2055-56, surpassing the duration of any other country worldwide. This demographic dividend opportunity varies across globe due to distinct population dynamics.

The aspect missing from the discussion regarding the influence of population on economic growth pertains to the impact of age structure, as highlighted by Bloom and Williamson in 1998. During the demographic transition, which encompasses the shift from high fertility and high mortality to low fertility and low mortality, the age composition of the population undergoes remarkable transformations. It evolves from a wide-based pyramid shape, to a contracting base with an expanded middle and a gradually broadening top. The age structure of a population holds significant economic implications. Children and the elderly tend to consume more than they contribute to the economy, while those within the prime working-age bracket not only support their own consumption but also provide for the economically dependent segments of society. This phenomenon is commonly referred to as the first demographic dividend or the window of economic opportunity, as elucidated by Lee and Mason in 2006.

The economic implications of India's expanding working-age population have been explored in various studies (Navaneetham, K. (2002); Lal, D. (2006)). Navaneetham conducted a regression

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analysis that examined the relationship between the growth rate of the gross domestic product (GDP) and changes in the distribution of different age groups within the population. This analysis considered selected macroeconomic indicators and encompassed data from eight South and Southeast Asian countries, including India, spanning the period from 1960 to 1990.

The absence of verifiable evidence regarding the duration and extent of demographic dividends presents a crucial gap that policymakers need to address when determining priorities for human resource and capital investments to capitalize on the economic gains of demographic transitions. This study seeks to fill this void by quantifying India's demographic dividends and highlighting their implications for equity considerations.

#### **Objectives**

1. To explore India's demographic dividend and its potential.
2. To examine the benefits associated with India's demographic profile that could propel it to economic superpower status by 2047.
3. To investigate the obstacles in harnessing the full potential of the country's youth.

#### **Literature review**

The impact of demography on economic growth using a simulation approach. They created three different population projections (high, medium, and low) for India and projected income per capita for the 1951-1981 period (Coale, A.J. & Hoover, E. (1958)). Their analysis assumed a constant incremental capital-output ratio and did not account for variables like human capital and fixed factors such as land. Their primary discovery was that a reduction in fertility led to an increase in income per capita, driven by the mechanism of capital accumulation. In other words, with lower population growth, a decrease in the dependency ratio positively influenced the saving rate, thereby boosting investment and economic growth. Several years later, Enke (1971) employed a dynamic demographic-economic model to compare income per capita under two distinct fertility scenarios: one with high fertility (a constant gross reproduction rate) and the other with low fertility (a decline in the gross reproduction rate) for the 1970-2000 period. The study concluded that a low fertility scenario was associated with higher income per capita, a greater capital stock, more capital per worker, and a lower unemployment rate. Subsequent studies based on simulation models extended the analysis by incorporating multiple productive sectors such as agriculture, industry, and services, as well as considering the level of urbanization (Ashraf, Q.H. et al. 2013).

Furthermore, it's important to recognize that there are substantial variations in socioeconomic and demographic profiles among different states. Realizing the demographic dividend hinges on the performance of northern states, where the window of opportunity is just opening. These states typically lag behind other Indian states in terms of growth-related indicators, as evidenced by various studies (Bloom 2011; Economic Survey 2018–19; Goli & Pandey 2010; Goli, S. et al. 2019; James & Goli, S. (2016); Oxfam Indian Report 2022; PLFS Annual report 2017–18).

A study on economic miracle in East Asia to a significant transformation in the region's age structure. Utilizing cross-country panel data, Bloom and Canning (2004) demonstrated a noteworthy and favourable relationship between the growth rate of the working-age population's share and economic growth, provided that the economy is open. In studies employing Bloom, Canning, and Sevilla's (2003) methodology, the ratio of the working-age population to the total population, in conjunction with factors like labour productivity, human capital, savings rates, trade policies, and various other variables, played pivotal roles in determining the long-term growth of per capita income. An essential finding in these studies underscores the positive impact of the working-age population ratio, which effectively encapsulates the age structure transition. This provides empirical substantiation for the constructive influence of the demographic transition on economic growth (Bloom, et.al. (2000); Manson, et.al. (1998); Bloom, et.al. (2004); Bloom, et.al. (2003)). Moreover, these analyses culminate in the realization that there exists a considerable potential for harnessing the demographic dividend.

While talking about the Asia and Pacific region, it has been found in studies that to take immediate action to harness the benefits of the first demographic dividend. In the later phases of the demographic transition, the working-age population begins to decline, and the proportion of the elderly population steadily rises. This stage of population aging offers an additional avenue for enhancing the economies of developing nations. Reduced fertility rates stimulate savings during the prime working years of the economic life cycle, which in turn supports consumption in old age and ensures retirement security, thus creating a second demographic dividend. Robust connections between national savings rates and age structure (Mason, A. (1988); Kelley, A.C. & Schmidt (1996); Deaton, A. & Paxson, C.H. (1997); Lee, R., et.al. (2001), and Bloom et al. (2007)).

#### **Demographic dividend in India and the world**

The world as a whole has experienced various stages of demographic transition. Many developing countries, particularly in Africa and parts of Asia, are currently in the midst of their demographic dividend phase, where the working-age population is growing. This represents a significant economic opportunity for these regions. This demographic scenario can boost economic productivity and development if appropriate policies and investments are made to support and utilize the working-age population effectively.

India is often cited as one of the countries with a substantial demographic dividend due to its large and youthful population. With a median age of around 28 years in 2021, India is expected to have a relatively high proportion of working-age individuals for several decades (Figure-1).

The dependency ratio is a demographic and economic indicator that measures the ratio of dependent individuals (typically the young and the elderly) to the economically active population (typically those of working age). It is a crucial measure in understanding the demographic structure of a population. Low dependency ratio shows the less pressure on the productive population.

Demographic trends in Eastern and Central Asia vary from country to country, but there are some common themes in the region like ageing population, gender imbalances, low fertility, etc. (fig-2). High dependency ratio suggests that the population has less share of the working age population (typically 15-64). Here, India has low dependency ratio as compared to the other parts of the world. Demography in developed countries is continually evolving, influenced by factors such as economic conditions, social norms, and government policies (fig-3). They possess less young population.

While the world is observing demographic dividends in various regions, India, with its large and youthful population, has the potential to capitalize on this demographic advantage for economic growth and development. However, realizing this potential requires comprehensive policy planning and investment in human capital.

India had a relatively low overall dependency ratio in 2021. This was due to a relatively large working-age population. China had been experiencing a rising dependency ratio due to its aging population and the effects of its one-child policy, which was relaxed in 2015 (Figure-4). Japan had one of the highest dependency ratios in the world in 2021. Japan's population was not only aging but also experiencing a population decline, leading to a very high old-age dependency ratio.

The dependency ratio can vary significantly across continents due to differences in demographics, birth rates, life expectancy, and other factors. Africa typically had a relatively high dependency ratio. This was primarily because of a youthful population, with a high percentage of children. Europe had a mixed picture, with variations between Western and Eastern Europe. Western European countries tended to have higher old-age dependency ratios, while Eastern European countries had lower ratios.

North America, including the United States and Canada, had relatively moderate dependency ratios compared to some other regions. This was due to a combination of a significant working-age population and lower percentages of children and elderly people. South America had varying dependency ratios depending on the country. Some countries in the region had relatively high dependency ratios, primarily due to high birth rates and youthful populations, while others had more balanced age structures. Oceania, which includes Australia and New Zealand, generally had moderate

dependency ratios (Figure-5). These countries had growing working-age populations, and their demographic structures were influenced by immigration. All the figures, aforementioned shows that India has the highest proportion of young age population among the group of emerging economies and also among the different nations of the world. Being the country of holding highest human resource, it can turn into biggest human capital pool.

#### **Advantages Linked to Demographic Dividend**

The advantages associated with India's demographic dividend are manifold:

**Enhanced Economic Growth:** This results from increased economic activities due to a higher working-age population and a reduced dependent population. A larger labour force that elevates economic productivity. Increased fiscal resources created by the demographic dividend, which can be redirected from child-centric expenditures to investments in physical and human infrastructure (Ladusingh, L.& et.al. (2011); Kelly, A.C. & et.al. (2005)). A rise in the women's workforce, accompanying a decline in fertility, offering new sources of economic growth.

**An increase in the savings rate-** As the working-age years are typically prime for saving. A significant shift toward a burgeoning middle-class society, giving rise to an aspirational class. Historically, demographic dividends have contributed up to 15% of the overall growth in advanced economies, exemplified by Japan's rapid growth resulting from shifts in its population structure between 1964 and 2004. This period saw rapid industrialization and urbanization due to a growing employment-seeking population, driving higher economic activities. India, with over 65% of its population in the working age group, is poised to emerge as an economic powerhouse, contributing to over half of Asia's potential workforce in the coming decades. Effective policymaking that factors in population dynamics can yield substantial socio-economic benefits for its people. As Solow analyses, saving and investment play a pivotal role in driving economic growth. When saving and investment levels increase, they contribute to the accumulation of capital (Kelly, A.C. & et.al. (2005)). As a result, the overall full-employment national income and product also experience an uptick. This boost in national income and product leads to an expansion in economic prosperity, ultimately fostering a higher rate of growth in these key economic indicators.

#### **Indian Economy at a glance**

The Indian economy maintains a delicate equilibrium between state intervention and free-market principles. Over the past two decades, layers of government involvement, which had accumulated over centuries of centrally coordinated economic efforts, have gradually eroded. Indian businesses have flourished under liberalization, showcasing the entrepreneurial zeal of the Indian populace. The manner in which the government oversees the market presents a significant potential obstacle to future prosperity. Economic institutions are gradually transitioning from a role of output management to that of regulation, and this transformation should persist. India's economic history is typically segmented into four distinct periods: the era spanning from independence to 1965, the period between 1966 and 1980, the years from 1981 to 1991, and the period post-1991 (Table-6). These divisions are characterized by significant shifts in economic policies within India and are notable for their associated changes in economic growth rates.

From 1965 to 1981, India's economy experienced sluggish growth and exhibited significant volatility. During this period, the standard deviation of India's economic growth rates exceeded the compound annual growth rate. In the early 1990s, the expenses associated with state intervention became overwhelming, leading India, along with several other developing nations, to face a debt crisis caused by fiscal imbalances. India was compelled to seek financial assistance from the International Monetary Fund, and this crisis played a pivotal role in bringing about changes that had seemed nearly impossible just one or two years prior. Almost immediately, the macroeconomy responded and the growth of Indian economy surged.

With the time frame, the Indian economy was growing well, but the sudden outbreak of corona virus had contracted the economic activities all around the world. This has unwrapped the unforeseen picture of world economies, including India. This sudden shock in economic cycle has

resulted in the declining trade, losing jobs, raising prices and most ironic was the sudden rise in the death rates. The situation could have been less terrible if there would be the sound health infrastructure, robust education system, self-employed youth and a good institution.

Regarding India's position in the global economy, considering the significant developmental hurdles that still exist within the country, it's challenging to envision India emerging as an economic powerhouse in the international arena in the near future. But, holding the largest manpower in terms of human resources, it can emerge as the superpower in front of the world. Economic growth and the demographic dividend are closely related concepts in the field of economics, especially in the context of developing countries. This increased labour force can be a significant driver of economic growth, as it can lead to higher levels of production and income (Kelly, A.C. & et.al. (2005)). The transformation of institutions typically unfolds over decades rather than mere years, and consequently, India's ascent as an economic superpower will only manifest over an extended period.

#### **Challenges Associated with reaping full potential of Demographic Dividend**

Despite the promise of the demographic dividend, certain challenges must be addressed:

**Asymmetric Demography:** The growth in the working-age population is concentrated in some of India's poorest states, and fully realizing the demographic dividend depends on creating gainful employment opportunities for this segment.

**Skills Gap:** Future job creation will demand highly skilled workers, presenting a challenge due to the low human capital base and lack of skills in the Indian workforce. The Indian government has initiated several schemes and programs to promote skill development among its citizens to enhance their employability and contribute to economic growth like PM Kushal Vikas Yojana, the Vishwakarma Yojana, etc.

**Low Human Development Parameters:** India's ranking of 130 out of 189 countries in the UNDP's Human Development Index underscores the need for substantial improvements in health and education to enhance workforce efficiency.

**Jobless Growth:** Concerns arise about the potential for jobless growth due to factors such as de-industrialization, de-globalization, the fourth industrial revolution, and technological progress. As per the latest available Annual PLFS Reports, the estimated Labour Force Participation Rate (LFPR) on usual status for women of age 15 years and above in the country was 30.0%, 32.5% and 32.8% during 2019-20, 2020-21 and 2021-22, respectively, which shows an increasing trend. But, this proportion is not at satisfactory. Investment in healthcare, quality education, jobs, and skills development to support economic growth and poverty reduction, while fostering inclusivity. Empowering India's workforce with the skills necessary for the modern economy.

**Education:** Enhancing educational levels and fostering academic-industry collaboration to align education with industry demands. India has made significant efforts to increase its expenditure on education in recent years. The country allocates a substantial portion of its national budget to education. The specific figures for education expenditure can vary from year to year, but in general, India's spending on education is a significant part of its budget. In the 2021-22 Union Budget, the allocation for the education sector was increased (Table-7). As compared to China, India does more expenditure on education but it is interesting to note here that China has larger GDP size than that of India (Figure-8). Education is a priority in China, and the country has invested heavily in improving its education system. China's education expenditure includes investments in infrastructure, teacher training, and the development of world-class universities. As with India, the specific figures can vary from year to year, but China's overall education expenditure is substantial.

**Healthcare:** Improving healthcare infrastructure to increase workforce productivity. In a notable milestone, reflecting the government's commitment to increased health spending and the provision of high-quality healthcare services to its citizens, the proportion of government health expenditure as a part of the total health expenditure has risen from 28.6 percent in FY14 to 40.6 percent in FY19 (Table-9).

This development aligns with India's pursuit of essential Sustainable Development Goals (SDGs) and the overall enhancement of its education and healthcare systems. Furthermore, a simultaneous decrease in out-of-pocket expenses as a percentage of the total health expenditure, declining from 64.2 percent in FY14 to 48.2 percent in FY19. This shift signifies a positive trend towards reduced financial burdens on individuals for healthcare expenses.

**Job Creation:** Promoting businesses and entrepreneurship to create ten million jobs annually.

A vibrant demography is the source of energy for a self-reliant India. India has a large and growing youth population, and creating enough job opportunities for this demographic is a challenge (Table-10).

Therefore, we need to tap the potential of the youth population for the economic growth of India. We need to transform our human resources in the human capital for the economic growth of India. Post-independence, many schemes centring the youth of the nation, has been implemented assure their constructive participation in the economic activities. But, still there are many cases of under employment and unemployment. A large section of this group brain drain to other nations for better packages. With more than 65% of working age population, India has a large workforce. The MSME model of West Champaran district has also huge employment opportunities for the youth in Bihar. This programme also encourages entrepreneurship among youths. The prime minister promised that package announced by him will provide a new era of opportunities for farmers, workers, small entrepreneurs or youth associated with start-ups. He alleged to the youths to become job creator rather than job seeker. According to minister for food processing industries Shri Harsimrat Kaur Badal, PM FME (PM Formalization of micro Food Processing Enterprises) scheme with total investment of Rs 35,000 crore will generate 9 lakh skilled and semi-skilled employment and benefit 8 lakh units through access to information, training, better exposure and formalization.

Efforts to address unemployment in India involve a combination of economic policies, investment in education and skills development, support for entrepreneurship and the informal sector, and targeted programs to create job opportunities, especially for marginalized and vulnerable populations.

**Urbanization Planning:** Preparing for the large-scale urban migration of the young population by ensuring access to essential amenities and services in urban areas.

### **Conclusion & Recommendations**

India stands at the cusp of a demographic transition, offering a golden opportunity for rapid socio-economic development. To fully realize the demographic dividend, investment in human capital is paramount, with a focus on education, skill development, and healthcare. Learning from global examples, such as Japan and Korea, and tailoring solutions to domestic complexities will allow India to harness the benefits of its demographic dividend while addressing the accompanying challenges. It's important to note that achieving superpower status in the economic realm is a long-term endeavour that depends on a combination of internal and external factors. India's trajectory toward becoming an economic superpower will be influenced by its ability to address these challenges, promote economic reforms, foster innovation, and maintain political stability.

To unlock the potential of the demographic dividend, India must take a multifaceted approach. Currently, there are significant obstacles preventing the realization of the desired benefits from demographic changes. These obstacles include reduced spending on education and healthcare, subpar educational quality, skill mismatches, the prevalence of chronic illnesses and disabilities in early adulthood, declining employment rates, gender disparities in areas such as education, health, the labour market, and the overall gender ratio. Other challenges encompass violence, exploitation, caste-based discrimination, lower household savings rates and the urbanization of rural poverty.

Notwithstanding the aforementioned limitations, several strict policy implications. This involves ensuring a healthy workforce, promoting productive employment, enhancing education levels, improving infrastructure, and empowering women. Therefore, the nation needs to implement policies that foster these conditions.

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